

Title **Yolo County District Attorney** 07/06/2023
 by **Nikki Abaurrea** in **Organized Retail Theft Vertical Prosecution Grant Program** id. 41326370
 nikki.abaurrea@yolocounty.org

Original Submission 07/06/2023

The Organized Retail Theft Vertical Prosecution Grant Program Application is divided into five sections as identified below: Background Information Contact Information Program Information Proposal Narrative and Budget Mandatory Attachments Each section has a series of questions that require a response. Applicants will be prompted to provide written text, select options from a drop down menu, select options from a multiple choice menu, or upload attachments. Questions with a red asterisk require responses. Applicants will not be able to submit the application until all questions with a red asterisk have been completed. Applicants may reference the Organized Retail Theft Vertical Prosecution Grant Program Proposal Instruction Packet for background information, key dates, rating factors, and other important information to aid in the completion of the Grant Program Application. The Proposal Instruction Packet is available on the BSCC website. NOTE: Applicants may start and stop their application but must select "Save Draft" at the bottom of the application before existing.

SECTION I - BACKGROUND INFORMATION This section requests information about the applicant's name, location, mailing address, and tax identification number.

Name of Applicant **Yolo County District Attorney**

Applicant's Physical Address **301 2nd St
Woodland
CA
95695
US**

Applicant's Mailing Address (If different than physical address) n/a

Mailing Address for Payment **301 2nd St
Woodland
CA
95695
US**

Tax Identification Number **946000548**

SECTION II - CONTACT INFORMATION **This section requests contact information for the individuals identified as the Project Director, Financial Officer, Day-to-Day Project Contact, Day-to-Day Fiscal Contact, and the Authorized Signature.**

Project Director **John Ehrk**

Project Director's Title with Agency/Department/Organization **Chief Investigator**

Project Director's Physical Address **301 2nd St
Woodland
CA
95695
US**

Project Director's Email Address **john.ehrk@yolocounty.org**

Project Director's Phone Number **+15306668180**

Financial Officer **Nikki Abaurrea**

Financial Officer's Title with Agency/Department/Organization **Chief Fiscal Admin Officer**

Financial Officer's Physical Address **301 2nd St
Woodland
CA
95695
US**

Financial Officer's Email Address **nikki.abaurrea@yolocunty.org**

Financial Officer's Phone Number **+15304064505**

Day-To-Day Program Contact **Preston Schaub**

Day-To-Day Program Contact's Title **Deputy District Attorney IV**

Day-To-Day Program **301 2nd St**
Contact's Physical **Woodland**
Address **CA**
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US

Day-To-Day Program **preston.schaub@yolocounty.org**
Contact's Email
Address

Day-To-Day Program **+15306668180**
Contact's Phone
Number

Day-To-Day Fiscal **Nancy**
Contact **Wheeler**

Day-To-Day Fiscal **Senior Accounting Technician**
Contact's Title

Day-To-Day Fiscal **301 2nd St**
Contact's Physical **Woodland**
Address **CA**
95695
US

Day-To-Day Fiscal **nancy.wheeler@yolocounty.org**
Contact's Email
Address

Day-To-Day Fiscal **+15306668385**
Contact's Phone
Number

Name of Authorized **Jonathan**
Officer **Raven**

Authorized Officer's **Chief Deputy District Attorney**
Title with
Agency/Department/Organization

Authorized Officer's **301 2nd St**
Physical Address **Woodland**
CA
95695
US

Authorized Officer's **jonathan.raven@yolocounty.org**
Email Address

Authorized Officer's **+15306668180**
Phone Number

Authorized Officer Assurances **checked**

SECTION III - PROGRAM INFORMATION **This section requests a Proposal Summary description and identification of the Funding Category.**

Project Title **Yolo County District Attorney Organized Retail Theft Vertical Prosecution**

Proposal Summary **The Yolo County District Attorney (YCDA) is applying for the California Board of State and Community Correction's Organized Retail Theft Vertical Prosecution Grant. This grant will fund a Deputy District Attorney, a District Attorney Investigator, and 50% of a Crime & Intelligence Analyst. The newly formed unit will be the primary contact for retail crime, collaborating with retailers and local agencies, and receiving reports through a retail theft prosecution portal, where retailers will be able to submit reports. This will allow for case-specific outcomes and legal strategies focused on reducing long-term rates of Organized Retail Theft in Yolo County.**

Funding Category Information **Applicants may apply for funding in a Small Scope OR Large Scope Category. The maximum an applicant may apply for is up to \$800,000 in the Small Scope category OR up to \$2,050,000 in the Large Scope category. Applicants may apply for any dollar amount up to and including the maximum grant amount identified in each category. Please reference pages 8-9 in the Proposal Instruction Packet for additional information.**

Funding Category **Large Scope (Up to \$2,050,000)**

SECTION IV - PROPOSAL NARRATIVE AND BUDGET **This section requests responses to the Rating Factors identified in the the Organized Retail Theft Vertical Prosecution Grant Program Instruction Packet.**

The Proposal Narrative must address the Project Need, Project Description, Project Organizational Capacity and Coordination, and Project Evaluation and Monitoring Rating Factors as described in the Instruction Packet (Pages 17-21). A separate narrative response is required for each Rating Factor as described below: The Project Need narrative may not may not exceed 4,474 total characters (includes punctuation, numbers, spacing and any text). In Microsoft Word, this is approximately 2 (two) pages in Arial 12-point font with one-inch margins on all four sides and at 1.5-line spacing. The Project Description narrative may not may not exceed 8,948 total characters (includes punctuation, numbers, spacing and any text). In Microsoft Word, this is approximately 4 (four) pages in Arial 12-point font with one-inch margins on all four sides and at 1.5-line spacing. The Project Organizational Capacity and Coordination narrative may not may not exceed 4,474 total characters (includes punctuation, numbers, spacing and any text). In Microsoft Word, this is approximately 2 (two) pages in Arial 12-point font with one-inch margins on all four sides and at 1.5-line spacing. The Project Evaluation and Monitoring narrative may not may not exceed 4,474 total characters (includes punctuation, numbers, spacing and any text). In Microsoft Word, this is approximately 2 (two) pages in Arial 12-point font with one-inch margins on all four sides and at 1.5-line spacing. A character counter is automatically enabled that shows the number of characters used and the remaining number of characters before the limit for each response met. If the limit is exceeded, a red prompt will appear with the message "You have exceeded the character limit". Applicants will be prohibited from submitting the Organized Retail Theft Vertical Prosecution Grant Program Application until they comply with the character limit requirements. NOTE: It is up to the applicant to determine how to use the total word limit in addressing each section, however as a guide, the percent of total point value for each section is provided in the Proposal Instruction Packet (Page 17).

Project Need

SECTION 1: PROJECT NEED

1.1 NEEDS TO BE ADDRESSED

Communities across the United States and California are experiencing a surge of organized retail crime (ORC), impacting their economies and businesses. The damage caused by these crimes not only harms retailers but also hurts consumers, leads to lower tax revenues, and hollows out communities through business closures (Sozzi) and job losses. Local businesses and communities need their law enforcement agencies to address ORC through responsive coordinated actions that will achieve long term reductions in these crimes. The Yolo County District Attorney (YCDA) is listening to our local retail partners as they confirm what national statistics also show. Specifically, data taken from the National Retail Security Survey (NRSS), a study that “provides a solid set of quantitative benchmarks about organized retail crime,” (National Retail federation & K2 Integrity, 2) shows that nationwide “retailers, on average, saw a 26.5% increase in ORC incidents in 2021.” (National Retail Federation & Loss Prevention Research Council, 4). The YCDA has diverse

resources to invest in organized retail theft cases, including a restorative justice program, known as the Restorative Justice Partnership (RJP) and an ongoing success in using data and analytics. However, the YCDA lacks the finances to establish the specific structures that will allow for the dedicated, proactive, and coordinated response that is likely to best address this growing problem. Therefore, we are applying for this grant, in the large scope category, for \$2,012,849, and are proposing to use these funds to establish a vertical prosecution model (VPM) to address the increased levels of ORC in our communities.

1.2 STATUS OF ORC IN YOLO COUNTY

Reviewing ORC data from Yolo County, gathered by our in-house case management system and other outside sources, paints a stark picture. The NRSS shows that the Sacramento Metropolitan Area, including West Sacramento, among other significant portions of Yolo County, was within the top ten “areas affected by ORC” nationwide from fiscal year 2018 through fiscal year 2021 (National Retail Federation & Loss Prevention Research Council, 33). Our local retail partners have only confirmed this dire report. Target locations within Yolo County have, in combination, reportedly lost approximately \$5 million due to retail crime, and of all Walmart Regions nationally, the Walmart Sacramento Region (which includes Yolo County) is stated to be in the top 10 most affected by retail crime.

Worse still, our retail partners in the area have told us that these incidents have become so pervasive, and the typical response by authorities so insufficient, that many of these crimes go either underreported or unreported entirely. A clear example of this is the vanishingly low rate of reported juvenile cases. Since 2019, there have been only four juvenile cases entered into our case management system. Simultaneously, our retail partners have seen such a rise in juvenile retail theft that many have been forced to institute strict rules limiting the number of juveniles allowed in a given location at any one time. While not all juvenile cases reported are forwarded to the YCDA, this number still likely reflects a breakdown in reporting and communication. Yolo County’s location between Sacramento and the Bay Area may also make it a target for retail theft, as the county is crossed by multiple interstate freeways, offering perpetrators easy access to cities in the region.

1.3 CHALLENGES WITHOUT GRANT FUNDS

Without additional funding the YCDA will lack the necessary resources to establish an organized retail theft vertical prosecution unit. This will severely impact our ability to establish a responsive and dedicated point of contact for our business partners, communities, and victims. It will also impede our desire to address ORC through specialized data analysis, and our ability to assess retail theft crimes and offenders with specialized expertise. Our retailers and communities are telling us they feel like law enforcement is not up to the task of responding to the level of crime taking place, that incidents are not even adequately tracked, and that cases are not being addressed in a way that leads to long-term reductions in retail crime. We plan to address each of these obstacles with the

grant funds requested.

Project Description

SECTION 2: PROJECT DESCRIPTION

2.1 OVERALL METHODOLOGY AND DEDICATED STAFF

The YCDA will establish a VPM unit to address retail crime in Yolo County. This unit will include a dedicated Deputy District Attorney (DDA), District Attorney Investigator (DAI), and a crime & intelligence analyst, who will collaborate with retailers, community prosecutors, and YCDA's Restorative Justice Partnership (RJP) program. The unit will also use real-time data from a retail theft prosecution portal, and serve as the primary contact for retail crime, developing relationships and trust. When a report is submitted from a retailer, the unit will work with the reporting party to collect evidence. The DDA will determine the case's viability and legal strategy. Meanwhile, the DAI, assisted by the crime & intelligence analyst, will investigate and analyze patterns to identify ORC. This teamwork will ensure a full examination of cases and maximize long-term outcomes to reduce ORC.

TARGET AREAS

The unit will initially focus on West Sacramento, Davis, and Woodland, communities where retailers have reported surges in ORC. Local law enforcement agencies, such as the West Sacramento Police Department (WSPD), Davis Police Department (DPD), University of California at Davis Police Department (UCDPD), Woodland Police Department (WPD), and the Yolo County Sheriff's Office (YCSO), will be critical partners in addressing ORC in Yolo County's diverse localities.

ADDRESSING THE PROJECT NEED

The retail theft VPM unit will have access to the YCDA community prosecutors in West Sacramento, Davis, and Woodland, who have reliable relationships with their communities. Yolo County is also one of two counties nationally selected for The Vibrant Communities Initiative, run by the Retail Industry Leaders Association (RILA) and the National District Attorney Association (NDAA), which aims to address the socio-economic causes, including "mental health issues, substance use, homelessness, and other" societal issues (National District Attorneys Association, 1).

The YCDA will also connect with retailers via the Retail Theft Prosecution Portal (RTPP) Project, a web-portal through which online and local retailers will be able to submit reports directly to the YCDA for various offenses, including felony crimes, ORC, conspiracies, violence against staff, recidivism, and theft over \$500. The process will begin when a retailer uploads a report to the RTPP. Complete cases will be sent to the retail crime DDA for review and consideration of charges. Other cases will be sent to the DAI for investigation or coordination with local law enforcement. The RTPP will also input data in YCDA databases, which the DAI and crime & intelligence analyst will use to find patterns and criminal networks, highlighting ORC; additionally, the DDA will track trends and outcomes for the effectiveness of different legal strategies.

ALTERNATIVES TO INCARCERATION

This leads to YCDA's adult criminal diversion program, the RJP. This program, now in its eleventh year, addresses systemic causes of criminality with “principles of restorative justice to resolve offenses outside of the traditional court,” and connects individuals with housing, mental health, or recovery resources. This program has proven to help communities by reducing recidivism. “A 2017 independent evaluation found that RJP graduates were 37 percent less likely to reoffend.”(Enterprise Staff)

2.2 GOALS & OBJECTIVES

During the set-up phase, primary objectives will include procuring resources, hiring staff, establishing an oversight team, and various preparatory tasks.

***Goal #1: Organize with retailers and local agencies to better address ORC**

- Objective 1: Launch the RTPP to facilitate collaboration, reporting, and analysis
- Objective 2: Coordinate with community prosecutors to establish bilateral communication with retailers, asset protection teams (APT), and local agencies
- Objective 3: Use information received to identify criminality, and prosecute effectively

Activity 1: Develop the RTPP

Activity 2: Collaborate directly with community prosecutors, retailers, and local agencies on RTPP usage

Activity 3: Analyze data for usable information

Process Measure 1: Number of retailers signed on to access RTPP

Process Measure 2: Number of retailers submitting feedback on RTPP

Process Measure 3: Time from launch to first actionable report

Outcome Measure 1: Number of cases submitted to portal

Outcome Measure 2: Rate of cases where charges are filed

Outcome Measure 3: Rate of cases needing extensive investigation before charging

Outcome Measure 4: Number of Juvenile cases reported

***Goal #2: Successfully prosecute ORC in Yolo County through the VPM unit**

- Objective 1: Identify repeat offenders and instances of ORC within Yolo County
- Objective 2: Increase rate of ORC cases prosecuted within Yolo County
- Objective 3: Use VPM for ORC cases, from charging to sentencing

Activity 1: Establish analytical and investigative processes to identify ORC cases

Activity 2: Prioritize investigating and prosecuting repeat and organized offenders

Activity 3: Record rates of ORC cases and prosecution over time

Process Measure 1: Number of retail crime and ORC cases filed by YCDA overall

Process Measure 2: Number of retail crime and ORC cases assigned to VPM unit

Outcome Measure 1: Number of retail crime and ORC cases convicted by YCDA

Outcome Measure 2: Number of retail crime and ORC cases convicted by VPM unit

***Goal #3: Address long-term rates of ORC within Yolo County, with dedicated case-specific outcomes and RJP sourced strategies as appropriate**

- Objective 1: Identify cases where justice will benefit from alternatives to incarceration

- Objective 2: Connect offenders with victims and community members in RJP process

- Objective 3: Achieve long-term reductions in recidivism, retail crime, and ORC rates

Activity 1: Use expertise of the VPM and RJP units to identify cases where a restorative process will benefit the community

Activity 2: Use RJP conference format to foster a sustainable resolution for all parties

Activity 3: Maintain detailed records of legal strategies and their outcomes, calculating effectiveness and informing future diversion and sentencing decisions

Process Measure 1: Number of retail crime cases diverted to RJP

Process Measure 2: Number of ORC cases diverted to RJP

Process Measure 3: Rate of retail crime and ORC cases that graduate RJP program

Outcome measure 1: Rate of recidivism for retail crime and ORC RJP graduates, as compared to offenders that undergo incarceration

Outcome measure 2: Rates of program effectiveness for different populations, such as juvenile, one-time, or repeat offenders

2.3 RATIONALE AND EVIDENCE

The YCDA is confident that this proposal will establish a successful approach to curbing retail crime and ORC.

According to the National Criminal Justice Reference Service (NCJRS), a VPM allows “prosecutors to build rapport with victims by remaining with the case from intake to sentencing” (Office for Victims of Crime and Office of Juvenile Justice and Delinquency Prevention, 77). Our unit will also be the main contact point for retail theft crime, leading to consistent and proactive action.

Additional support will come from the YCDA’s planned retail theft online reporting portal, which will also incorporate data collection and analysis. This system will aid in pattern recognition and identifying repeat offenders, exposing ORC.

YCDA's robust RJP will provide proven alternatives "A 2018 state report found that prisoners released between 2013 and 2015 averaged a recidivism rate of about 46%, while... [RJP] participants who completed the program between 2013 and 2015 averaged a recidivism rate of just under 8%" (Salanga). This proposed VPM unit will use well-founded strategies to address and prosecute ORC, leading to a high likelihood that the project will succeed.

Project
Organizational
Capacity and
Coordination

SECTION 3: PROJECT ORGANIZATIONAL CAPACITY & COORDINATION

3.1 ABILITY TO ADMINISTER THE PROJECT

The YCDA is a capable organization that manages a variety of resources and grant funds. This includes grants such as the Edward Byrne Memorial Justice Assistance Grant, also administered by the California Board of State and Community Corrections (BSCC), in the amount of \$2,145,000. This grant provides funding for YCDA's invaluable RJP, which provides alternatives to incarceration, and services focused on addressing recidivism and community wellbeing. The YCDA has an ongoing record of using grant funds for sophisticated and effective programs that meet our goals.

REQUIRED & AVAILABLE STAFFING

Supporting the implementation of a VPM unit, will require several key positions. The unit requires a dedicated DDA and DAI, to investigate and prosecute cases. However, a crime intelligence analyst may also be required to assist with data collection and management. In addition to these new positions, the unit will also have access to various legal secretaries and legal process clerks already employed within the YCDA, who will provide administrative support.

PROJECT MANAGEMENT AND OVERSIGHT

Oversight will be principally provided by an internal oversight team, whose membership is yet to be selected, but is likely to be formed of YCDA leadership. Ideal members will have proven records in project management, oversight, and familiarity with VPMs. Internal staff, analysts or clerks, may also be needed to process data, support implementation, and aid oversight.

3.2 PARTNER AGENCIES

Implementing the project will require partnering with law enforcement agencies such as WSPD, DPD, UCDPD, and WPD. Each agency will interface with their community, but may also have a specific role, e.g., WSPD may prove a reliable in-person partner, while DPD may be a detailed source for analytics. Yolo County agencies routinely pool resources, and ensure effective coordination through detailed planning, consistent communication, and information sharing protocols. These partnerships will bring resources, skills, and local knowledge, maximizing the project's impact.

3.3 TIMELINE FOR CONTRACTS/MEMORANDUMS OF UNDERSTANDING

Once the Vertical Prosecution project is approved, we will

immediately reach out to partner organizations to establish contracts and memorandums of understanding; these will be written to go into effect by October 2023. This timeline ensures partners are aligned with project goals, and allows for the requisite negotiations, internal discussions, and final approvals. Once operational, partner agencies will assign resources and begin services. This timeline will ensure a smooth transition from funding to implementation by guiding planning and mobilizing resources.

3.4 MANAGEMENT STRUCTURE

The Yolo County District Attorney's Office is led by the Honorable District Attorney, Jeff Reisig, who oversees and sets its direction. Different divisions and units handle various legal aspects, such as prosecution or investigation. The Vertical Prosecution model project requires collaboration and input from key stakeholders, with Mr. Reisig providing overall evaluation. The office's structure supports transparency and communication, leading to ownership, commitment, and understanding of YCDA efforts.

3.5 PLANS TO SUSTAIN PROJECT AFTER GRANT EXPIRES

The YCDA is dedicated to sustaining project goals and justice beyond grant funding, through tactics that curb crime and foster our communities. Integral to this will be enhancing and maintaining the web reporting portal, a key tool for retail partners. Ongoing improvement will ensure the platform can meet evolving community needs. Collaborating with community prosecutors will allow the VPM unit to address local concerns, facilitating a responsive approach. The YCDA will also strengthen collective efforts against retail crime through collaboration with other law enforcement agencies. Program success through these tactics will attract local resources and attention, ensuring the program's longevity.

Project Evaluation and Monitoring

SECTION 4: PROJECT EVALUATION & MONITORING

4.1 DETERMINING & INCORPORATING EVALUATION

The YCDA will contract with an independent evaluator to assess the results of the project. An ideal evaluator will have a proven record in evaluation, monitoring, and VPMs. There are local agencies that may be considered.

The YCDA oversight team will provide the evaluator with comprehensive data and develop detailed documentation for each phase. Internal staff will collect and send information as needed. Evaluation will focus on meeting readiness timelines during start-up, measuring the effectiveness of strategies during service delivery, and holistically comparing results to intended outcomes during close-out.

The YCDA aims to ensure an objective assessment of the project's effects by engaging an independent evaluator and using comprehensive data. This will identify strengths and areas for improvement to enhance efforts and positively impact the community.

4.2 QUANTIFIABLE PROCESS & OUTCOME MEASURES

The following measures are quantifiable and aligned with project

objectives:

Process Measures:

- 1. Rates of reporting and detail of data collected**
- 2. Referral rate for diversion program**
- 3. Proportion of juvenile offenders among cases reported**

Outcome Measures:

- 1. Rate of recidivism for diversion programs**
- 2. Overall number of ORC cases filed, conviction rate, and resulting sentences**
- 3. Number of ORC cases sentenced where the VPM was used**
- 4. Number of theft crimes charged without VPM, and conviction rate**

By implementing this process and tracking the corresponding outcomes, this project will enhance intelligence gathering, improve data analysis, and effectively address ORC. These measures will contribute to successfully implementing the project's objectives and facilitate the reduction of theft-related property crimes through vertical prosecution and the appropriate sentencing of convicted offenders.

4.3 PRELIMINARY MONITORING PLAN

To ensure the success of the project, an internal oversight team will be established as soon as the grant is awarded. This team will assess procedures, maintain efficiency, and promote accountability. YCDA staff will closely monitor project data to evaluate performance and outcomes, conducting comprehensive preliminary monitoring activities aligned with our objectives. These will be among the primary responsibilities that the oversight team will perform or direct. This approach will enhance the likelihood of achieving desired outcomes for the program.

4.4 PRELIMINARY DATA COLLECTION & EVALUATION PLAN

The YCDA preliminary plan is to collect and evaluate two kinds of data. First, reporting data gathered from external stakeholders, largely through the RTPP. Second, data gathered from the YCDA case management system, including the outcomes achieved by the VPM unit and other legal strategies. These sets will be stored and organized in YCDA databases, and reviewed by the oversight team, ensuring data collection and analysis is successfully taking place; this data will also be forwarded to an external evaluator. Data sharing agreements may be part of contracts or memorandums of understanding with other agencies.

4.5 RESEARCH DESIGN

The proposed program aims to generate effective quantitative data that will improve on current metrics, or absence of metrics, allowing for an accurate assessment of the strategies implemented. These insights will advance through the above data collection, analysis, and evaluation methods. Regular monitoring and evaluation will track progress and key performance indicators (KPI). Data will be compared to existing literature, uncovering potential patterns or issues. Qualitative data will also be gathered from staff and other stakeholders. This information will be compiled and sent to the internal oversight team and external evaluator; each will then present

their findings to decision makers, which will further contribute to curbing ORC in Yolo County.

Budget Instructions **Applicants are required to submit a Proposal Budget and Budget Narrative (Budget Attachment). Upon submission the Budget Attachment will become Section 5: Budget (Budget Tables & Narrative) making up part of the official proposal. The Budget Attachment must be filled out completely and accurately. Applicants are solely responsible for the accuracy and completeness of the information entered in the Proposal Budget and Budget Narrative. The Proposal Budget must cover the entire grant period. For additional guidance related to grant budgets, refer to the BSCC Grant Administration Guide. The Budget Attachment is provided as a stand-alone document on the BSCC website.**

Budget Attachment

[Copy_of_Organized-Retail-Theft-Vertical-Prosecution-Grant-Program-Budget-Attachment-Final.xlsx](#)

SECTION V -
MANDATORY
ATTACHMENTS

This section list the attachments that are required at the time of submission. Project Work Plan (Appendix B) Grantee Assurance for Non-Governmental Organizations (Appendix D) Letter(s) of Commitment If Applicable (Appendix E) Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft, and Embezzlement (Appendix F) Governing Board Resolution Optional (Appendix G)

Project Work Plan (Appendix B)

[Yolo_Countys_ORC_VPM_Grant_Work_Plan.docx](#)

Grantee Assurance for Non-Governmental Organizations (Appendix D)

[Organized-Retail-Theft-Vertical-Prosecution-Grant-Program-non-governmental_organization_assurances_-_signed.pdf](#)

Letter(s) of
Commitment,
(Appendix E)

n/a

Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft, and Embezzlement (Appendix F)

[organized-Retail-Theft-Vertical-Prosecution-Grant-Program-certification_of_compliance_-_signed.pdf](#)

OPTIONAL:
Governing Board
Resolution (Appendix
G)

n/a

OPTIONAL:
Bibliography

Bibliography

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National Retail Federation, & Loss Prevention Research Council. (n.d.). National Retail Security Survey 2022. NRF. <https://nrf.com/research/national-retail-security-survey-2022>

National District Attorneys Association. "NDAA and RILA Launch Vibrant Communities Initiative to Strengthen Local Retail Ecosystems." NDAA, 2021, <https://ndaa.org/wp-content/uploads/Press-Release-RILA-Vibrant-Communities.pdf>

Enterprise Staff "Grant funding boosts Yolo DA's restorative justice efforts." Davis Enterprise, 14 June 2022. <https://www.davisenterprise.com/news/local/grant-funding-boosts-yolo-das-restorative-justice-efforts/>

Office for Victims of Crime, and Office of Juvenile Justice and Delinquency Prevention. "New Directions for Criminal and Juvenile Justice System Agencies." NCJRS, https://www.ncjrs.gov/ovc_archives/directions/pdf/txt/chap3.pdf

Salanga, Janelle Marie. "California's Restorative Justice Neighborhood Courts." CalMatters, 20 July 2020, <https://calmatters.org/justice/2020/07/california-restorative-justice-neighborhood-courts/>

CONFIDENTIALITY
NOTICE:

All documents submitted as a part of the Organized Retail Theft Vertical Prosecution Grant Program proposal are public documents and may be subject to a request pursuant to the California Public Records Act. The BSCC cannot ensure the confidentiality of any information submitted in or with this proposal. (Gov. Code, § 6250 et seq.)

Appendix B: Project Work Plan

Applicants must complete a Project Work Plan. This Project Work Plan identifies measurable goals and objectives, process and outcome measures, activities and services, responsible parties for those activities and services, data sources and estimated timelines. Completed plans should (1) identify the project's top goals and objectives; (2) identify how the goal(s) will be achieved in terms of the activities, responsible staff/partners, and start and end dates, process and outcome measures; and (3) provide goals and objectives with a clear relationship to the need and intent of the grant. As this grant term is for three (3) years, the Project Work Plan must attempt to identify activities/services and estimate timelines for the entire grant term. A minimum of one goal and corresponding objectives, process measures, etc. must be identified.

Applicants must use the Project Work Plan provided below. You will be prompted to upload this document to the BSCC-Submittable Application.

| | | | |
|---|--|-------------------------|------------------------|
| (1) Goal: | > Organize with retailers and local agencies to better address organized retail crime (ORC) | | |
| Objectives (A., B., etc.) | <p>> Objective 1: Launch the Retail Theft Prevention Program (RTPP). This program will facilitate collaboration, reporting, and analysis among retailers, asset protection teams, and law enforcement agencies by allowing stakeholders to directly submit reports to the Yolo County District Attorney (YCDA).</p> <p>> Objective 2: Coordinate with community prosecutors to establish direct communication with retailers, asset protection teams (APT), and local agencies. This step is crucial in ensuring that all stakeholders are on the same page and that critical information about ORC is shared efficiently and effectively.</p> <p>> Objective 3: Use the information received to identify criminality and prosecute effectively. By using information shared through the RTPP and other channels, law enforcement agencies can develop a better understanding of the scope and nature of ORC and target their enforcement efforts accordingly.</p> | | |
| Process Measures and Outcome Measures: | <p>> Process Measures: 1. Number of retailers signed on to access RTPP 2. Number of retailers submitting feedback on RTPP 3. Time from launch to first actionable report.</p> <p>> Outcome Measures: 1. Number of cases submitted to portal 2. Rate of cases where charges are filed 3. Rate of cases needing extensive investigation before charging.</p> | | |
| Project activities that support the identified goal and objectives: | Responsible staff/partners | Timeline | |
| <p>> Activity 1. Develop the RTPP</p> <p>> Activity 2. Initiate direct collaboration with stakeholders</p> <p>> Activity 3. Analyze initial data to identify key information for ongoing collection and tracking</p> | <p>> Community Prosecutors, IT staff, ORC Deputy District Attorney (DDA), ORC District Attorney Investigator (DAI), ORC Criminal Intelligence Analyst (CIA)</p> | Start Date 10/1/2023 | End Date 12/31/2024 |
| <p>Data and sources to be used to measure outcomes:</p> <p>> Objective 1 Data: the number of retailers and local agencies registered on the RTPP portal, the frequency of collaboration and reporting, and feedback from users about the usefulness of the portal. Sources: survey responses, user reviews and system usage analytics.</p> <p>> Objective 2 Data: number of retailers directly contacted, the frequency and quality of communication with retailers and APTs, and any feedback from these stakeholders about the effectiveness of the coordination. Sources: meeting minutes, stakeholder interviews, and survey responses.</p> <p>> Objective 3 Data: the number and types of criminal cases related to ORC identified and prosecuted, the success rate of prosecutions. Sources: court records, law enforcement reports, and survey responses.</p> | | | |

| | | | |
|--|--|------------|------------|
| (2) Goal: | > Successfully Prosecute ORC in Yolo County through the vertical prosecution model (VPM) | | |
| Objectives (A., B., etc.) | <p>> Objective 1: identify repeat retail crime offenders and ORC patterns in Yolo County. Highlighting these offenses will allow us to identify criminal networks and fundamentally address ORC.</p> <p>> Objective 2: increase the number of ORC cases that are brought to trial and prosecuted within Yolo County. This is consistent with the goal of reducing ORC in the area and providing a safer environment for residents and business.</p> <p>> Objective 3: Use the VPM to handle ORC cases from charging to sentencing. This ensures ORC cases are handled effectively and consistently, and that all stakeholders are able to be addressed by dedicated staff.</p> | | |
| Process Measures and Outcome Measures: | <p>> Process Measures: 1. Number of retail crime and ORC cases filed by YCDA overall. 2. Number of retail crime and ORC cases assigned to the VPM unit.</p> <p>> Outcome Measures: 1. Number of retail crime and ORC cases convicted by YCDA. 2. Number of retail crime and ORC cases convicted by VPM unit.</p> | | |
| Project activities that support the identified goal and objectives: | Responsible staff/partners | Timeline | |
| | | Start Date | End Date |
| <p>> Activity 1: Establish analytical and investigative processes to identify ORC cases.</p> <p>> Activity 2: Prioritize investigating and prosecuting repeat and organized offenders.</p> <p>> Activity 3: Record rates of ORC cases and prosecution over time.</p> | > ORC DDA, DAI, and CIA | > 1/1/2025 | 12/31/2026 |
| Data and sources to be used to measure outcomes: | | | |
| <p>> Objective 1 Data: Number of unique individuals involved in multiple ORC incidents. Source: YCDA Case management database that tracks ORC.</p> <p>> Objective 2 Data: Conviction rate of ORC cases. Source: YCDA case management database, which maintain data on the outcomes of ORC cases, including the number of convictions, dismissals, or acquittals.</p> <p>> Objective 3 Data: Average time taken from charging an ORC case to reaching a sentencing decision. Source: VPM database that records the timeline of each ORC case, from the initial charging to the final sentencing phase.</p> | | | |

| | | | |
|--|---|------------------------|------------------------|
| (3) Goal: | > Decrease rate of ORC in Yolo County through dedicated case-specific outcomes and Restorative Justice Partnership (RJP) diversion | | |
| Objectives (A., B., etc.) | <p>Objective 1: Identify cases where offenders and victims will benefit from alternatives to incarceration. These include rehabilitation programs or community-based interventions, that will lead to long-term justice by addressing the underlying issues and promoting rehabilitation.</p> <p>Objective 2: Reintegrate and restore relations between offenders, victims and community members through the RJP process, encouraging dialogue and addressing the harm caused by the offense. By facilitating communication and understanding, the RJP seeks to foster healing, accountability, and reconciliation between the involved parties.</p> <p>Objective 3: Achieve lasting reductions in recidivism, retail crime, and ORC rates. Implementing case-specific strategies and interventions will allow the YCDA to address the root causes of criminality, leading to safer communities.</p> | | |
| Process Measures and Outcome Measures: | <p>> Process Measures: 1. Number of retail crime cases diverted to RJP 2. Number of ORC cases diverted to RJP 3. Rate of retail crime and ORC cases that graduate RJP program.</p> <p>> Outcome Measures: 1. Rate of recidivism for retail crime and ORC RJP graduates, as compared to incarcerated offenders. 2. Rate of program effectiveness for different populations such as age groups, one-time, or repeat offenders.</p> | | |
| Project activities that support the identified goal and objectives: | Responsible staff/partners | Timeline | |
| <p>> Activity 1: Use expertise of the VPM and RJP units to identify cases for restorative process.</p> <p>> Activity 2: Use RJP conference format to foster a sustainable resolution for all parties.</p> <p>> Activity 3: Maintain detailed record of legal strategies and outcomes, as to inform future diversion and sentencing decisions.</p> | > RJP unit, ORC DDA, DAI, and CIA | Start Date 1/1/2025 | End Date 12/31/2026 |
| <p>Data and sources to be used to measure outcomes:</p> <p>> Objective 1 Data: Rate of successful completion of alternative sentencing programs. Sources: Records and data maintained by alternative sentencing programs.</p> <p>> Objective 2 Data: Participant feedback on the perceived impact of the restorative justice process. Sources: Surveys, interviews, or feedback collected from participants involved in the restorative justice process, including offenders, victims, and community members.</p> <p>> Objective 3 Data: Comparison of recidivism rates, retail crime rates, and ORC rates before and after implementing intervention strategies. Sources: Law enforcement agencies, court records, or relevant databases that track recidivism rates, retail crime rates, and ORC rates.</p> | | | |

Organized Retail Theft Vertical Prosecution Grant Program - Project Budget and Budget Narrative

Name of Applicant: Yolo County District Attorney

44-Month Budget: October 1, 2023 to June 1, 2027

Note: Rows 7-16 will auto-populate based on the information entered in the budget line items (Salaries and Benefits, Services and Supplies, etc.)

| Budget Line Item | Total |
|---|-----------------------|
| 1. Salaries & Benefits | \$1,822,446.00 |
| 2. Services and Supplies | \$13,345.00 |
| 3. Professional Services or Public Agencies | \$0.00 |
| 4. Non-Governmental Organization (NGO) Subcontracts | \$0.00 |
| 5. Data Collection and Evaluation | \$102,500.00 |
| 6. Equipment/Fixed Assets | \$40,000.00 |
| 7. Financial Audit (Up to \$25,000) | \$25,000.00 |
| 8. Other (Travel, Training, etc.) | \$9,558.00 |
| 9. Indirect Costs | \$0.00 |
| TOTAL | \$2,012,849.00 |

1a. Salaries & Benefits

| Description of Salaries & Benefits | (% FTE or Hourly Rate) & Benefits | Total |
|------------------------------------|---|-----------------------|
| Deputy District Attorney IV | \$77.87 * 40hr/wk * 156 weeks = \$485,908.80 + benefits @ 64.23% = \$312,099.22 - 100% FTE | \$798,008.00 |
| DA Investigator II | \$69.624 * 40hr/wk * 156 weeks = \$434,453.76 + benefits @ 74.315% = \$322,864.31 - 100% FTE | \$757,318.00 |
| Crime and Intelligence Analyst | \$49.635 * 40hr/wk * 156 weeks @ 50% = \$154,861.2 + benefits @ 72.49% = \$112,258.74 - 50% FTE | \$267,120.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| TOTAL | | \$1,822,446.00 |

1b. Salaries & Benefits Narrative:

We will be hiring a DA Investigator II, a Deputy District Attorney, and a 50% Crime and Intelligence Analyst. These positions will be working with the retailer in Yolo County and collaborating with the YCDA's community prosecutors and Restorative Justice Partnership. The Organized Retail Theft Unit will be receiving real-time data from the retail theft prosecution portal. The DA Investigator and Crime & Intelligence Analyst will use the data collected to identify patterns and retail crime networks. This will allow us to more efficiently partner with the retailers in Yolo County. The Deputy District Attorney will be reviewing and determining which cases to charge and will review outcomes to more effectively prosecute cases.

Deputy District Attorney (\$798,008) - January 1, 2024 to June 30, 2024, the salaries for the DDA will be \$74,744 and benefits will be \$48,964. July 1, 2024 to June 30, 2025, the salaries will be \$156,295 and benefits will be \$101,258. July 1, 2025 to June 30, 2026, the salaries will be \$167,405 and benefits will be \$106,692. July 1, 2026 to December 31, 2026, the salaries will be \$87,465 and benefits will be \$55,185.

DA Investigator II (\$757,318) - January 1, 2024 to June 30, 2024, the salaries for the DAI will be \$70,281 and benefits will be \$52,936. July 1, 2024 to June 30, 2025, the salaries will be \$143,363 and benefits will be \$106,477. July 1, 2025 to June 30, 2026, the salaries will be \$146,234 and benefits will be \$107,989. July 1, 2026 to December 31, 2026, the salaries will be \$74,576 and benefits will be \$55,462.

Crime and Intelligence Analyst (\$267,120) This position is being budgeted at 50%. - January 1, 2024 to June 30, 2024, the salaries for the Crime and Intelligence Analyst will be \$32,450 and benefits will be \$24,310. July 1, 2024 to June 30, 2025, the salaries will be \$47,269 and benefits will be \$34,333. July 1, 2025 to June 30, 2026, the salaries will be \$49,762 and benefits will be \$35,545. July 1, 2026 to December 31, 2026, the salaries will be \$25,380 and benefits will be \$18,071.

2a. Services and Supplies

| Description of Services or Supplies | Calculation for Expenditure | Total |
|-------------------------------------|--|--------------------|
| Laptops | \$2,500/laptop * 2 = \$5000 | \$5,000.00 |
| Cell Phones | \$100/cell phone * 2 = \$200 | \$200.00 |
| Cell Phone Services | \$41/month * 36 months * 2.5 cell phones = \$3,690 | \$3,690.00 |
| Landlines | \$54/month * 33 months * 2.5 employees = \$4,455 | \$4,455.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| TOTAL | | \$13,345.00 |

2b. Services and Supplies Narrative:

Laptops (\$5,000) - We will be purchasing 2 new laptops for the DA Investigator II and Deputy District Attorney IV. With the Crime & Intelligence Analyst being 50%, the department will purchase that computer. The department assigns laptops to our employees so they are able to use their computers in the field and at home when necessary.

Cell Phones (\$200) - We will be purchasing cell phones for the DA Investigator II and Deputy District Attorney IV. The department will be purchasing the cell phone for the Crime & Intelligence Analyst. This will allow each employee to be able to communicate with retailer when they are not in the office and in the field.

Cell phone service (\$3,690) - Cell phone services is be budgeted for 2.5 cell phones at \$41/month for 36 months = \$3,690.

Landlines (\$4,455) - We are also budgeting the cost of 2.5 landlines @ \$54/month * 33 months = \$4,455.
for Services and Supplies is \$13,345.

Total cost

3a. Professional Services

| Description of Professional Service(s) | Calculation for Expenditure | Total |
|--|-----------------------------|---------------|
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| TOTAL | | \$0.00 |

3b. Professional Services Narrative

Enter narrative here. You may expand cell height if needed.

4a. Non-Governmental Organization (NGO) Subcontracts

| Description of Non-Governmental Organization (NGO) Subcontracts | Calculation for Expense | Total |
|---|-------------------------|---------------|
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| TOTALS | | \$0.00 |

4b. Non-Governmental Organization (NGO) Subcontracts Narrative

Enter narrative here. You may expand cell height if needed.

5a. Data Collection and Evaluation

| Description of Data Collection and Evaluation | Calculation for Expense | Total |
|---|---|---------------------|
| External Evaluator | 5.092% of 44 month budget = \$2,012,849 | \$102,500.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| | | \$0.00 |
| TOTALS | | \$102,500.00 |

| | | | |
|---|-------|-----|-----|
| <i>Please see instructions tab for additional information regarding Indirect Costs. If the amount exceeds the maximum allowed and/or turns red, please adjust it to not exceed the line-item noted.</i> | TOTAL | \$0 | \$0 |
|---|-------|-----|-----|

9b. Indirect Costs Narrative:

N/A - Yolo County District Attorney's office will not be asking for indirect cost