



BOARD OF STATE AND COMMUNITY CORRECTIONS

SB 1022

ADULT LOCAL CRIMINAL JUSTICE FACILITIES

CONSTRUCTION FINANCING PROGRAM

PROPOSAL FORM

This document is not to be reformatted.

SECTION 1: PROJECT INFORMATION

A: APPLICANT INFORMATION AND PROPOSAL TYPE

COUNTY NAME San Joaquin County		STATE DOLLARS REQUESTED 40,000,000.00	
SMALL COUNTY (200,000 OR UNDER GENERAL COUNTY POPULATION) <input type="checkbox"/>	MEDIUM COUNTY (200,001 - 700,000 GENERAL COUNTY POPULATION) <input checked="" type="checkbox"/>	LARGE COUNTY (700,001 + GENERAL COUNTY POPULATION) <input type="checkbox"/>	
TYPE OF PROPOSAL – PROGRAM SPACE PROPOSAL <u>OR</u> BEDS AND PROGRAM SPACE PROPOSAL PLEASE CHECK ONE (ONLY):			
PROGRAM SPACE <input type="checkbox"/>		BEDS AND PROGRAM SPACE <input checked="" type="checkbox"/>	

B: BRIEF PROJECT DESCRIPTION

FACILITY NAME San Joaquin County Detention and Program Facility		
PROJECT DESCRIPTION Housing and Education Space for Intensive, Evidence-based, In-Custody Programming and Education for Sentenced & Pre-Sentenced Local Offenders		
STREET ADDRESS 7000 Michael N. Canlis Blvd		
CITY French Camp	STATE CA	ZIP CODE 95231

C. SCOPE OF WORK – INDICATE FACILITY TYPE AND CHECK ALL BOXES THAT APPLY.

FACILITY TYPE (II, III or IV) II	<input checked="" type="checkbox"/> NEW STAND-ALONE FACILITY	<input type="checkbox"/> RENOVATION/REMODELING	<input checked="" type="checkbox"/> CONSTRUCTING BEDS OR OTHER SPACE AT EXISTING FACILITY
---	---	---	--

D. BEDS CONSTRUCTED – Provide the number of BSCC-rated beds and non-rated special use beds that will be subject to construction as a result of the project, whether remodel/renovation or new construction.

	A. MINIMUM SECURITY BEDS	B. MEDIUM SECURITY BEDS	C. MAXIMUM SECURITY BEDS	D. SPECIAL USE BEDS
Number of beds constructed		384		
TOTAL BEDS (A+B+C+D)	384			

E: APPLICANT'S AGREEMENT

By signing this application, the authorized person assures that: a) the County will abide by the laws, regulations, policies and procedures governing this financing program, and b) certifies that the information contained in this proposal form, budget, narrative and attachments is true and correct to the best of his/her knowledge.

PERSON AUTHORIZED TO SIGN AGREEMENTNAME **Ken Vogel**TITLE **Chairman of the Board of Supervisors**

AUTHORIZED PERSON'S SIGNATURE



DATE

10/15/18**G: DESIGNATED COUNTY CONSTRUCTION ADMINISTRATOR**

This person shall be responsible to oversee construction and administer the state/county agreements. (Must be county staff, not a consultant or contractor, and must be identified in the Board of Supervisors' resolution.)

COUNTY CONSTRUCTION ADMINISTRATORNAME **Gabriel E. Karam**TITLE **Director**

DEPARTMENT

General Services

TELEPHONE NUMBER

(209) 468-2181

STREET ADDRESS

44 N. San Joaquin Street, Room 590

CITY

Stockton

STATE

CA

ZIP CODE

95202

E-MAIL ADDRESS

gkaram@sjgov.org**H: DESIGNATED PROJECT FINANCIAL OFFICER**

This person is responsible for all financial and accounting project related activities. (Must be county staff, not a consultant or contractor, and must be identified in the Board of Supervisors' resolution.)

PROJECT FINANCIAL OFFICERNAME **Rod Kawano**TITLE **Senior Deputy County Administrator**

DEPARTMENT

County Administrator's Office

TELEPHONE NUMBER

(209) 468-3213

STREET ADDRESS

44 N. San Joaquin Street, Room 640

CITY

Stockton

STATE

CA

ZIP CODE

95202

E-MAIL ADDRESS

rkawano@sjgov.org**I: DESIGNATED PROJECT CONTACT PERSON**

This person is responsible for project coordination and day-to-day liaison work with BSCC. (Must be county staff, not a consultant or contractor, and must be identified in the Board of Supervisors' resolution.)

PROJECT CONTACT PERSONNAME **Dina Jose**TITLE **Correctional Lieutenant**

DEPARTMENT

San Joaquin County Sheriff's Office

TELEPHONE NUMBER

(209) 468-5261

STREET ADDRESS

7000 Michael N. Canlis Blvd

CITY

French Camp

STATE

CA

ZIP CODE

95231

E-MAIL ADDRESS

djose@sjgov.org

SECTION 2: BUDGET SUMMARY

B. BUDGET SUMMARY TABLE (Report to nearest \$1000)

LINE ITEM	STATE REIMBURSED	CASH CONTRIBUTION	IN-KIND CONTRIBUTION	TOTAL
1. Construction	\$ 35,808,000	\$ 0		\$ 35,808,000
2. Additional Eligible Costs*	\$ 727,000	\$ 1,633,000		\$ 2,360,000
3. Architectural	\$ 2,212,000	\$ 474,000		\$ 2,686,000
4. Project/Construction Management	\$ 1,253,000	\$ 0		\$ 1,253,000
5. CEQA		\$ 100,000		\$ 100,000
6. State Agency Fees		\$ 51,000		\$ 51,000
7. Audit		\$ 0	\$ 20,000	\$ 20,000
8. Needs Assessment		\$ 129,000	\$ 0	\$ 129,000
9. Transition Planning		\$ 0	\$ 1,544,000	\$ 1,544,000
10. County Administration			\$ 1,060,000	\$ 1,060,000
11. Land Value			\$ 1,700,000	\$ 1,700,000
TOTAL PROJECT COSTS	\$ 40,000,000	\$ 2,387,000	\$ 4,324,000	\$ 46,711,000
PERCENT OF TOTAL	85.633%	5.110%	9.257%	100.00 %

* Additional Eligible Costs: This line item is limited to specified fees and moveable equipment and moveable furnishings (eligible for state reimbursement or cash contribution), and public art (eligible for cash contribution only).

Provide an explanation below of how the dollar figures were determined for each of the budget line items above that contain dollar amounts. Every cash contribution (match) line item shall be included with a reporting of the full amount budgeted, unless a line item is not an actual cash contribution project cost for the county. (In that case, indicate so below.) For each line item explanation below, include how state financing and the county contribution dollar amounts have been determined and calculated (be specific).

1. **Construction (includes fixed equipment and furnishings):** Cost estimate based on similar prior estimates done during 2010 project and escalated to mid-point of construction, modified scope and estimates to reflect inclusion of Education and Program building, anticipated related site costs, and includes construction contingency; \$35,808,000
2. **Additional Eligible Costs (specified allowable fees, moveable equipment and moveable furnishings, and public art):**
 - a) **Define each allowable fee type and the cost of each:** Includes permits and reviews @ \$112,000; Inspections and testing @ \$290,000; data, phone and computers @ \$330,000; and sewer, water and storm fees @ \$180,000.
 - b) **Moveable equipment and moveable furnishings total amount:** \$1,448,000
 - c) **Public art total amount:** 0.00%
3. **Architectural:**
 - a) **Describe the county's current stage in the architectural process:** County is currently working with an Architectural firm which has determined the program spaces and conceptual designs. Housing units were adjusted from previous design done with County and modified for smaller unit bed size to better accommodate desired classifications.
 - b) **Given the approval requirements of the SPWB and associated state reimbursement parameters, define which portions/phases of the architectural services the county intends to seek state dollar reimbursement:** The County currently has the cash match to accommodate full design, award of bid, and well into construction to accommodate these services/fees. The County is seeking State reimbursement for design services after Schematic Design phase. Those phases would include DD's, CD's, Bidding, and CA for reimbursements.
 - c) **Define the budgeted amount for what is described in b) above:** \$2,212,000.
 - d) **Define which portion/phases of the architectural services the county intends to cover with county contribution dollars:** As noted in 3b above, County will be contributing through Schematic Design of the Architectural fees as part of the cash match and for remaining the County will be seeking State reimbursement when eligible.
 - e) **Define the budgeted amount for what is described in d) above:** \$474,000.
4. **Project/Construction Management:**

Construction Management services will be contracted out and part of State reimbursement; 3.5% of \$35,808,000 or \$1,253,000 (rounded).
5. **CEQA:**

CEQA cost estimate based on current expenditures and recent experience on similar projects for total costs; \$100,000.
6. **State Agency Fees (maximums: due diligence \$16,000; SFM \$35,000):**
 - a) **Real estate due diligence fee:** \$16,000
 - b) **State Fire Marshal fee:** \$35,000

7. **Audit – Define whether the county is intending to use independent county auditor (in-kind) or services of contracted auditor (cash) and amount budgeted:**
Intent is to use independent county auditor (in-kind); \$20,000.
8. **Needs Assessment – Define whether work is performed by county staff (in-kind) or consultant (cash) :**
Consultant provided an updated Needs Assessment and produced information needed for this application; \$129,000.
9. **Transition Planning – Define whether work is performed by county staff (in-kind) or consultant (cash):**
Cost is for County staff to plan new facility, write operational programming and staffing plans, and plan occupancy (in-kind); \$1,544,000.
10. **County Administration:**
Estimate of cost of County personnel for continual Project Management through construction; \$1,060,000.
11. **Land Value:**
Value determined by appraised land value being developed/alterd within area of site. Actual land value appraisal was recently conducted by W.F. Bambas Appraisal Company; \$1,700,000.

SECTION 3: PROJECT TIMETABLE

Prior to completing this timetable, the county must consult with all appropriate county staff (e.g., county counsel, general services, public works, county administrator) to ensure that dates are achievable. Please consult the State Capital Outlay/Board of State and Community Corrections Process Details and Timing Requirements section of the RFP for further information. Complete the table below indicating start and completion dates for each key event, including comments if desired. Note the required timeframes for specific milestone activities in this SB 1022 process. (The BSCC Board intends to make conditional awards at a January 2014 meeting.)

KEY EVENTS	START DATES	COMPLETION DATES	COMMENTS
Site assurance/comparable long-term possession <u>within 90 days of award</u>	1/16/2014	3/9/2014	Please note: County has recently completed the requirements for CEQA, appraisal, and Due Diligence as required by RFP to obtain preference points and included with application.
Real estate due diligence package submitted <u>within 120 days of award</u>	01/16/2014	3/9/2014	Please note: County has recently completed the requirements for CEQA, appraisal, and Due Diligence as required by RFP to obtain preference points and included with application.
State Public Works Board meeting – Project Established <u>within 18 months of award</u>	4/18/2014	6/27/2014	
Schematic Design with Operational Program Statement <u>within 24 months of award</u> (design-bid-build projects)	2/11/2014	7/28/2014	
Performance criteria or performance criteria and concept drawings with Operational Program Statement <u>within 30 months of award</u> (design-build projects)	N/A	N/A	
Design Development (Preliminary drawings) with Staffing Plan	8/4/2014	2/6/2015	
Staffing/Operating Cost Analysis approved by the Board of Supervisors	3/26/2015	3/26/2016	All operational costs established and BOS approval
Construction Documents (Working drawings)	3/23/2015	11/4/2015	Additional time for SFM and BSCC reviews and SPWB
Construction Bids	2/15/2016	5/13/2016	Anticipating 3 month bid

Notice to Proceed <u>within 42 months of award</u>	6/13/2016	7/1/2016	State process for review of bids and any potential protests
Construction (maximum 3 years to complete)	7/5/2016	11/3/2017	Approximately 15 month construction period with 1 month close-out
Staffing/Occupancy <u>within 90 days of completion</u>	10/4/2017	2/2/2018	Transitional period and staff training.

SECTION 4: FACT SHEET

To synopsise and capture key information from Section 5: Narrative, applicants must complete this Fact Sheet. Minimal information is requested. Narrative information or explanations are not to be included on this Fact Sheet nor as part of the tables in this section. Explanations of what is provided in these tables may be included in the Narrative section of the Proposal Form. Proposal narratives may include reference back to one or more of these specific tables (e.g., refer to Table 4 in the Fact Sheet), rather than repeat information in the narrative that is already provided in the tables.

Tables 1 through 7 below constitute the Fact Sheet, which shall be provided with each proposal submittal, without regard as to whether the proposal includes bed construction. These tables of information shall be used by the raters in conjunction with the information provided in the proposal narrative (see Narrative section of the Proposal Form).

The information requested in this Fact Sheet pertains to those facilities (Type II, III and IV), approaches and programs under the jurisdiction of the sheriff or local department of corrections.

Tables 1 – 4, 6 and 7: For Average Daily Population (ADP), provide the average number per day for the first six months of 2013. For programs that started after January 1, 2013, provide the average number per day for the length of time the program was in effect (after the name of the program, provide the starting date). The same time period parameters pertain to Assessments per Month. “Lack of space” releases in Table 1 refers to the total of non-sentenced inmates released and sentenced inmates released early.

Table 3: The term “approaches” includes home detention, not incarcerating misdemeanants, etc., or other policies/procedures and approaches that do not include specific measures influencing recidivism reduction.

Tables 4, 5 and 7: The term “programming” refers to the utilization of formal programs that specifically incorporate measures to influence recidivism reduction.

Table 1: Provide the following information.		
1.	County general population (U.S. Census Bureau 2010)	685,306
2.	Number of detention facilities	2
3.	BSCC-rated capacity of system	1,333
4.	ADP (Secure Detention) of system	1,432
5.	ADP (Alternatives to Detention) of system	894
6.	Percentage felony offenders of system	95%
7.	Percentage non-sentenced offenders of system	62%

8.	Arrests per month	1,775
9.	Bookings per month of system (includes remands & commitments)	2,026
10.	"Lack of space" releases per month	696

Table 2: Provide the name, BSCC-rated capacity (RC) and ADP of the adult detention facilities in your jurisdiction.			
Facility Name		RC	ADP
1.	John J. Zunino Facility	840	1021
2.	Honor Farm Facility	493	411
3.			
4.			
5.			
6.			
7.			
8.			

Table 3: List approaches currently in place to reduce the need for beds, and ADP for each.		
Pre-Trial Approaches		ADP
1.	Pre-Trial Releases from Booking: Felony OR and Promise to Appears	406
2.	Superior Court Cap	7
3.	Bail (monthly)	134
4.		
5.		
6.		
Sentenced Offender Approaches		ADP
1.	Early releases as mandated by Court-Ordered Consent Decree	290
2.	Alternative Work Program	450
3.	Electronic Monitoring/Home Detention	38
4.	Drug Court	31
5.	Alcohol Monitoring (Began in August 2013)	4
6.	Superior Court Cap	3

Table 4: List the current offender programming in place and the ADP in each program.		
	Pre-Trial Program	ADP
1.	GED-High School Diploma	61
2.	Creative Conflict Resolution	11
3.	Life Skills	24
4.	Ownership	10
5.	Parenting	11
6.	Anger Management	11
	Sentenced Offender Program	ADP
1.	Pre-Release Ownership	40
2.	GED/High School Diploma	16
3.	C-Tech	24
4.	Succeeding in Life and Career	34
5.	Math 101	16
6.	Creative Writing	16
7.	Parenting	19
8.	Substance Abuse	6
9.	52 Week Domestic Violence	6
10.	Office Technology	41
11.	Nutrition	10
12.	Dad's Peer Support	7
13.	Anger Management	7

Table 5: List the offender programming gaps and deficiencies.	
	Gaps and Deficiencies
1.	Lack of adequate program space
2.	Competing for the same pool of participants for all programs available. Certain classes have to have a minimum for funding purposes, (i.e. County Office of Education)
3.	Classification Transfers
4.	Length of custody stay (planning for long and short term programming)-
5.	
6.	

Table 6: List the offender assessments used for the purpose of jail population management.		
	Assessment Tools	Assessments per Month
1.	Guidelines as set forth in the Court-Ordered Consent Decree	All
2.	Jail Classification Unit	All
3.	Virginia Pretrial Risk Assessment Instrument	Pending implementation
4.		
5.		
6.		

Table 7: List the offender assessments used for determining programming.		
	Assessment Tools	Assessments per Month
1.	Education Assessment/CASAS	38
2.	TYGR Grant Assessment	3
3.	Classification Assessment	All
4.		
5.		
6.		

ABSTRACT

San Joaquin County is applying for funding to support construction of a Detention and Program Facility Project with 384 beds and extensive program facilities. The proposed project does not gain any net additional beds. Rather, the new facility replaces inappropriate minimum security beds with what can be described as “low medium” security beds, which will be more effective in responding to current challenges. Since the implementation of AB 109 Public Safety Realignment, the jail has had to house a sentenced offender population that is completing longer commitments in custody, and is more criminally sophisticated and behaviorally challenging than their locally-sentenced counterparts.

In response to these new classification levels, the new housing units will be designed at a higher level of security than the current minimum secure Honor Farm, but at a lower level than the balance of the jail which is maximum security. The new units will house those mostly sentenced offenders who score high

risk to re-offend and have identified criminogenic needs that if addressed through evidence-based programs it could improve their performance in the community and reduce their likelihood of re-offending.

A comprehensive action plan is in place for construction to keep this project on track for the projected timelines. The County is prepared and committed to begin the programming and design process immediately upon the award of the grant, with an estimated completed construction date no later than 2017. The County has fee simple ownership of the project land and a proven track record of on-time budget construction with prior construction projects. This project will provide secure housing for offenders and all staff, as well as affording the jail greater capacity in programming space to offer a robust variety of valuable programs, and additional transitioning services to offenders.

While housed at the new facility, all offenders will be eligible to participate in programming. The Sheriff's Office has partnered with the County Office of Education, Friends Outside, the Probation Department, Behavioral Health Services, as well as a host of community based organizations and stakeholders to ensure it is offering appropriate forms of programming to participating offenders. Crucially, the new facilities will support and allow much greater program participation than is possible within the current facilities.

Preparation for reentry is a key goal of the program offerings and requires the use of evidence based programming that promotes effective reintegration of offenders back into the community upon their release. Reentry programming, involving a comprehensive case management approach, is intended to assist offenders in developing the life and employment skills needed to succeed in the community, and preparing them to become law abiding citizens. A variety of programs are used to assist offenders in the reentry process, which include pre-release transition, substance abuse treatment, vocational training, mentoring, education, work programs, and post-release linkages.

The reentry program uses paid staff and volunteers including professionals, mentors, church organizations, public/private employers and other local community-based organizations. Jail staff provides

supervision and coordination of program needs screening. Sheriff's Office personnel who provide management oversight of the program collaborate with other County stakeholders (i.e., Probation and Behavioral Health) to ensure offenders participating in the program receive necessary post-release case management and services.

SECTION 5: NARRATIVE

1.

PROJECT NEED

BED CONSTRUCTION

B: 1.01 NEEDS ASSESSMENT FINDINGS

The San Joaquin County Sheriff's Office contracted with Lionakis, a Sacramento-California-based architectural and consulting firm (assisted by Jay Farbstein & Associates), to update the 2008 Jail Needs Assessment. The current study updates county criminal justice statistics and trends, including a profile of the key elements of the jail's operations and of the offender population, identification of existing county jail capacity, projections of offender population increases including changes in the profile of pre-trial and sentenced offenders, the impacts of Public Safety Realignment on the jail, as well as a comprehensive review of evidence based programs to be offered.

The Needs Assessment identified the crucial need for sentenced facilities at a "high minimum" (or low medium) level of security, entailing housing units with double occupancy wet cells, facilities affording treatment, academic, and vocational programs – all within a secure perimeter around a campus plan with opportunities for outdoor recreation. The need was identified for the equivalent number of medium secure housing beds and additional offender program space and the study recommended construction of six 64-bed housing units to be located adjacent to the existing 124 Housing Unit, as well as construction of an education and program building, and a facility administration hub. This is the project for which support is being requested in this application.

In addition, the study identified the need for additional beds in the Main Jail in the form of housing units with an intermediate level of security between those provided for the general population and

administrative segregation. This housing should be a mix of single and double wet cells with correctional grade steel doors controlled by staff, but otherwise finished similarly to existing general population units.

B: 1.02 JAIL SYSTEM OVERVIEW

Since the prior needs assessment, the most significant change in offender characteristics has been a substantial increase in the proportion of felons among the jail population. Three factors are driving this trend. First, there has been a marked decrease in misdemeanor bookings. Second, release decisions driven by the court cap tend to favor misdemeanants and since it is offense based, reserving limited jail resources for felons. Finally, prison realignment has increased the numbers of lower level felons and parole violators in the post Public Safety Realignment jail population. In 2007 80.2% of the jail population was felons, while today 94% are felons.

The same factors may have influenced a decline of women in the jail population. In 2007 15.7% of the jail population was women, which has fallen to 9.3% in 2012. The overall effect of these shifts results in a jail population that is more criminally sophisticated and is more difficult to manage. Additionally, at least 400 of those confined are serving sentences of more than a year, and require a level of security, outdoor access, and programs that were not anticipated when the existing jail facilities were designed and constructed.

The San Joaquin County Jail operates its facility under the philosophy and principles of direct supervision as our offender management system. The Jail has a total BSCC rated capacity of 1,333 of which the Honor Farm accounts for 493 beds. By contrast, the total facility Average Daily Population is 1,432 offenders of which the Honor Farm accounts for 411. The Jail is governed by a Superior Court-Ordered Consent Decree commonly referred to as a “court cap” to control its offender population. Court cap releases take place at all facilities, due to overcrowding.

The facility proposed to be replaced by the current project is the Honor Farm facility, originally constructed in 1949. The facility has far surpassed its useful life. Historically, only sentenced

misdemeanants were housed in this facility and were tasked with performing work duties in the community and around the facility. The design of the existing facility limits the offender security classifications which can be housed there. The type of longer term sentenced offender currently housed at the minimum security Honor Farm represents a paradigm shift in offender management. This shift is entirely out of necessity and due to a lack of appropriate secured bed space. As a measure of the type of problems that have resulted, in the past 18 months there have been 40 escapes or attempts to escape from this facility – and they were virtually unheard of before.

San Joaquin County is not currently being considered for any other jail construction programs. The County is applying for this funding in an effort to build suitable beds for the security level of offender that will benefit from the pre-release transitional programs.

B: 1.03 SYSTEM ISSUES REMEDIED

San Joaquin County anticipates that constructing bed space that is designed at an appropriate level of security, and with adequate space for programs that will increase public safety and jail safety by reducing the number of escapes from custody, provide greater safety for offenders and staff by supporting the Principles of Direct Supervision and housing offenders in smaller more therapeutic housing environments and - most importantly – provide evidence based programming that will reduce recidivism.

The design of the housing units will allow for correctional staff to have much better sight lines to all offender housing and activity areas – something that is far from the case now since the barracks have many blind spots. The Honor Farm averages 5 offender-on-offender assaults per month. The physical design will minimize this issue, as the design will place correctional staff directly inside the housing units, and provide unobstructed views of the entire housing area.

The new more secure sentenced facility will also alleviate overcrowding in the main jail, as classification staff will be able to utilize secure sentenced housing for a broader variety of offender classification levels, including those who would not currently qualify for the Honor Farm (which now

operates with more than 150 beds unoccupied). This will improve conditions in the main jail, reducing double bunking and early releases.

The newly designed offender housing will also reduce the amount of contraband being introduced into the facility. Currently, offenders have many avenues of movement throughout the facility allowing for countless locations and opportunities to conceal contraband, drugs and weapons. Offenders also are allowed to have contact visits, which is where most of the contraband is introduced. The new facility will allow for offenders who have manageable medical and mental health needs to be housed there, providing a continuum of care, along with enhanced programming.

B: 1.04 JAIL TRENDS

Overall, the county's adult population is expected to grow from 509,000 to 796,000 in 2033. The Department of Finance expects adults ages 18 to 37 (the age group most highly correlated with the AB 109 population) to decline slightly from 38.9% to 38.1% by 2033. This suggests that growth in crime may be somewhat less than the growth of the adult population as a whole. Arrests in San Joaquin County have generally followed the decline trends in crime following the state and national statistics. Misdemeanor arrests declined from 19,690 in 2007 to 12,875 in 2012. Felony arrests declined from 11,538 to 8,121 during the same time period. Overall arrests have fallen 32.9%, with misdemeanors declining 34.8% and felonies declining 29.6%. The statistics for bookings reflect this pattern.

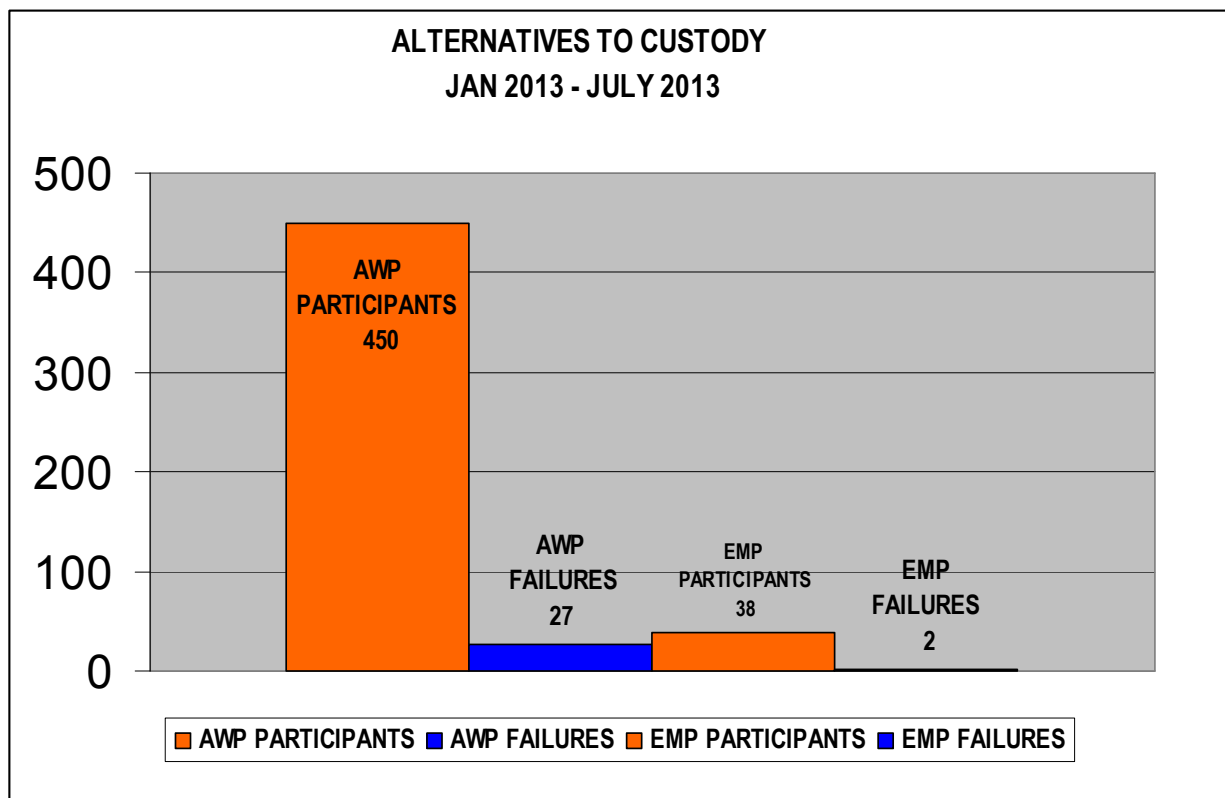
While arrests and bookings have declined, AB 109 has resulted in a significant increase in the jail population. In 2012, 412 felons were sentenced to the county jail with an average sentence of 702 days. With the statutory detention credits, this has increased the ADP by 396. San Joaquin has also seen a significantly large number of parole violators compared with other counties. The county received 2,829 parole violators in 2012 spending an average of 36 days in custody, adding 279.8 to the jail's ADP. During the first six months of 2013, the Sheriff's Office processed 12,154 bookings. This is an average of 2,026 bookings per month.

The jail does not have the capacity to house this number of offenders. The jail, in collaboration with the Probation Department, has a Pre-Trial Services Unit in the Jail booking area. The premise behind this unit is that Pre-Trial Services will screen all arrestees and assess their eligibility for release from custody prior to housing. Statistics show that on a monthly average Pre-Trial Services releases 406 arrestees from custody pending a court hearing. Through the “court cap” release process the jail releases approximately 290 offenders monthly. It is worth noting that these pre-trial releases and early releases do not currently include any form of supervision or monitoring post release.

B: 1.05 ALTERNATIVES TO INCARCERATION

Currently, the Jail has in place as alternatives to incarceration an Alternative Work Program, Home Detention GPS and Electronic Home Monitoring. The Alternative Work Program averages 450 offenders per month. It has an average monthly failure rate (return to custody) of 27 participants. The Electronic Home Monitoring Program averages 38 participants per month. On average 2 participants per month are returned to custody as having failed the program. The jail also has a Work Furlough Program, but rarely do we have offenders in custody that qualify for this program. The Sheriff’s Office recently purchased 100 GPS units to add to the inventory of Electronic Monitoring for those on out of custody detention. It is anticipated that additional offenders will be released onto the programs if they are being monitored electronically. This will assist in minimizing early release and overcrowding.

B: 1.06 DATA FOR ALTERNATIVES



B: 1.07 FUTURE PLANS FOR ALTERNATIVES TO INCARCERATION

The Sheriff's Office decommissioned its Sheriff's Parole Program in 2009. The Sheriff's Office is re-implementing this program as another component of alternatives to incarceration. It is anticipated that those released on Electronic Home Monitoring will be required to report daily to the Alternative Work Program Office. Additional alternatives to custody include the utilization of a validated pre-trial risk assessment instrument that when applied will predict likelihood to appear in court and likelihood to re-offend while going through the court process. This risk assessment tool guides detain/release decisions. This risk assessment serves to increase public safety, as well as reduce costs and conserve valuable jail bed space for high-risk offenders.

PROGRAM SPACE CONSTRUCTION

PS: 1.01 NEEDED PROGRAM SPACE

The Sheriff's Office is responsible for providing a number of mandatory and voluntary offender programs and services under the Title 15 legal regulations. Such programs and services are evaluated individually in order to determine the minimum and maximum number of participants, space that is needed, and the classroom structure most appropriate to facilitate the program. The newly constructed program space at the sentenced facility will provide adequate programming and education space to accommodate the entire population of those confined there. The employment, vocational and reentry programs are particularly aimed at reducing recidivism and helping with transition to and successful reintegration into the community and, in this way, reducing long-term growth in jail bed needs.

Refer to Exhibit 2 for a detailed list of programs.

PS: 1.02 CURRENT APPROACH

Offenders housed at the Honor Farm are screened and selected to participate in custody programs. Those offenders identified by Classification as eligible to participate in programs are housed in the programming unit (also called the 124 Bed Unit). Offenders are individually evaluated to determine their programming needs, and are assigned to appropriate courses and activities by their case manager.

Currently the programs described below take place in one of the following spaces: 2 classrooms, 1 interview room, a multi-purpose room, and a light industrial/warehouse type space.

The Sheriff's Office offers a wide range of programs designed to increase education and working skills while reducing recidivism including Anger Management, Parenting, Dad's Peer Support, Creative Conflict Resolution, 52 Week- Domestic Violence, GED and GED Preparation, Office Technology, Pre-Release/Ownership, Succeeding in Life and Career, Substance Abuse, Nutrition, and a variety of Religious Services.

The Jail recently added C-Tech, a platform of 5 vocational programs that provide offenders an opportunity to learn, certify and practice a marketable employment skill-set. The participants gain skills in the area of Network Cabling (Copper), Network Cabling (Fiber Optic), Telecommunications, Home Entertainment (Residential Audio/Video Systems), Telephone Systems, and Connecting to Business (Job Development).

Out-of-custody offenders are provided a Positive Reentry Program Guide that consists of a variety of local resources to assist them in positively reintegrating back into the community.

PS: 1.03 LEAST RESTRICTIVE ALTERNATIVES

With the new facility design, offenders housed in the facility will have a better opportunity to remain in the facility and to continue with programs if they have minor rule violations. Currently, staff has no alternative but to transfer that offender to secure housing, thus interrupting the opportunity to complete programs.

The new facility design will allow us to effectively implement the principles of direct supervision by reinforcing and redirecting offender behavior, and encouraging positive outcomes and overall change in the behavior of the offender so that they will be able to remain in and complete their program.

PS: 1.04 GAPS AND DEFICIENCIES

Although the Sheriff's Office is currently providing a number of programs along with required Title 15 services, there are gaps and deficiencies that need to be addressed. Some of our deficiencies include inadequate space for programming, identifying high-risk offenders (risk/needs assessment), providing a comprehensive array of evidenced based programming, and scheduling for long-term program participants. Other deficiencies include community re-entry, transitional housing, employment, transportation, and aftercare/discharge planning.

Regarding the program space deficiency, with the new space, we will be able to expand services and provide more effective evidenced based/cognitive behavioral approached programming as well as

additional vocational training programs. With respect to identifying high-risk offenders, the Sheriff's Office is currently working on identifying and using a validated risk/need assessment instrument in order to properly identify and provide programming to those scoring high need/risk. The Sheriff's Office will target our high-risk offenders for the new proposed program space. Once we are able to expand our programming space and add additional programs for our target population, we will be able to address our scheduling issues for those offenders who are serving a longer local jail commitment.

The deficiencies that have been identified will be addressed with the new proposed program space. The jail will provide a robust plan of services for offenders from the time they begin participating in in-custody programs through their transition back into the community. Through the use of our current provider, Friend's Outside, along with other community-based organizations and services, we will fill the gaps regarding transportation, employment, housing, and individual aftercare/discharge planning and case management. Additionally, vocational programs that focus on marketable job skills in this area will be added with a focus on job placement prior to release.

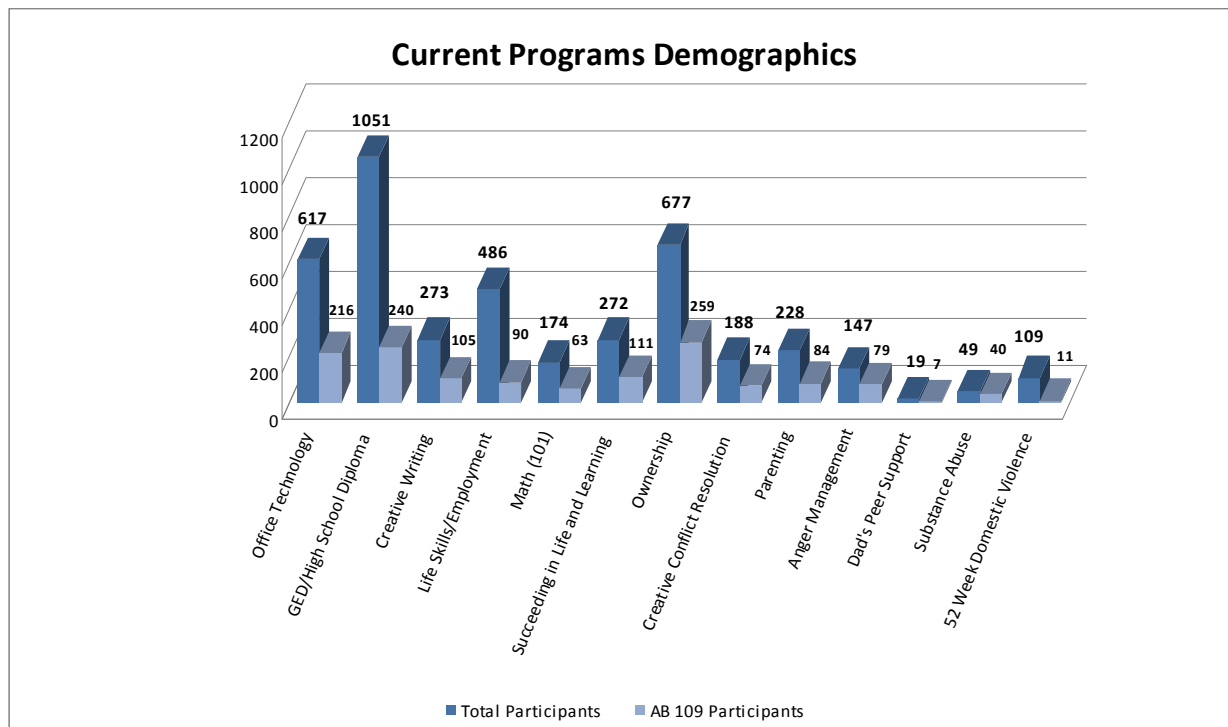
PS: 1.05 DETERMINING PROGRAMMING

Evidenced based practices have been demonstrated to be the most effective ones that can be offered in a custody environment – and they are the main ones that will be provided in the new program space. The Sheriff's Office will continue to work closely with Probation in order to provide mirrored evidence based programs and services such as Moral Reconciliation Therapy, Thinking for a Change, University of Cincinnati Correctional Institute's Cognitive Behavioral Intervention for Substance Abuse (CBT-SA), and Matrix substance abuse program. All custody staff are being trained in Motivational Interviewing, which is used to encourage individuals and effect positive change. These programs will offer those individuals with split sentences the opportunity to continue their programs post release at the Day Reporting Center that is supervised by Probation. We will continue to work closely with our County Office

of Education for GED, Life Skills, and Employment skills courses. We plan to add other proven vocational programs in addition to C-Tech.

PS: 1.06 SUPPORTING DATA

Between October 2011 and June 2013, 4,290 offenders participated in programs. The AB109 population accounted for 1,379 participants, or 32%.



PS: 1.07 ALTERNATIVES TO INCARCERATION

Detention Alternative programs in San Joaquin County	
Field Citation	Jail Misdemeanor Citation
Felony OR	Bail
Work Furlough	Electronic Monitoring
Sheriff's Parole	Alternative Work Program

The Sheriff's Office is currently working with the Probation Department and the National Institute of Corrections in implementing the Virginia Pretrial Risk Assessment Tool. Currently, the Probation Department has a Pre-Trial Services unit housed at the Sheriff's Office that serves as an arm of the court to

facilitate alternatives to holding individuals who are deemed eligible for release immediately following booking. Additional alternatives to incarceration include the Alternative Work Program (AWP), Electronic GPS Monitoring/Home Detention, Felony Own Recognizance release, promise to appear, and other court-ordered alternatives to detention. These programs are used to manage the adult offender jail population.

Prior to being placed onto any program, an extensive interview is conducted of the potential participant by Sheriff's Office case managers. Offenders placed onto an out-of-custody program are supervised by deputy sheriffs who conduct regular and unannounced home visits to ensure compliance with the terms and conditions of release, and also provide basic supervision case management.

The Sheriff's Office is currently expanding its Electronic GPS Monitoring Program for offenders released onto AWP and Home Detention. Participants are required to comply with pre-defined location parameters that may include work sites or school sites, or they may have permission from their case managers to travel beyond pre-defined parameters. This type of alternative allows the low level offender the opportunity to maintain positive and productive pro-social components in their lives by maintaining their family unit and maintain employment; and it also minimizes the cost of incarceration.

With the influx of additional offenders incarcerated through Realignment, the Jail is preparing to re-establish its Sheriff's Parole Program as another alternative to incarceration. The participants released onto this program will also be subject to GPS monitoring.

The Sheriff's Office is considering options for design and operation a Day Reporting Center in a portion of its existing barracks. Participants who are not enrolled into a community school or who are unemployed will be required to report to the center where they will be offered evidenced based classes to address their individual criminogenic needs and reduce their risk to recidivate.

In sum, it is the intent of the Sheriff's Office to incarcerate those offenders who pose a risk to the community, provide them with necessary programming as well as pre-release transitional discharge planning for those that have fulfilled their sentence and are being released. The Sheriff's Office is equally

committed to identifying offenders who can be considered not to pose a risk to the community based on a validated risk assessment and will place them on alternative to custody programs for the purpose of minimizing the costs of incarceration and promoting successful re-entry.

PS: 1.08 NEEDED PROGRAMMING

Our current layout of two classrooms for a population of 493 offenders is grossly insufficient, and does not allow us to provide adequate programs. With the construction of the proposed project, the jail anticipates being able to accommodate a sentenced offender population of 508. (Including the existing the 124 bed facility). In order to expand our programs and provide optimum service, the Jail will add four classrooms, a multipurpose room, and a vocational training classroom. By adding these education and program spaces, we will serve a greater portion of the jail population, with expanded offerings and many more hours of program participation.

2.	SCOPE OF WORK
-----------	----------------------

ALL PROJECTS

A: 2.01 FULL SCOPE OF WORK

San Joaquin County will construct six 64-bed low-medium security housing units, as well as a program and educational center, along with a facility administration hub. The newly constructed housing units will consist of 32-cells, which will be double-occupancy and wet (with combination plumbing units). The housing units will also have interview rooms, medical exam rooms, dayroom space, pantry, and space for video visitation. The central program and education center will provide offender course work, services and programs. The program center will have five variously sized classrooms, a multipurpose room, an indoor offender activities area, library, commissary storefront, as well as an Employment Resource Center.

BED CONSTRUCTION

B: 2.01 PLANNING PROCESS

The Honor Farm was built in 1949 and portions of it were remodeled or added in 1986 and 1988. Originally designed to house sentenced offenders, the Honor Farm is being operated as a Type II facility housing both pretrial and sentenced, male and female offenders. The Honor Farm housing consists mainly of older dormitory buildings that require continual structural repair and preventative maintenance. In addition to the older dormitories, a newer, separate and free-standing building has 124 single cells and was built in 1988. The Needs Assessment determined that the limited security and supervision offered at the existing Honor Farm, as well as the obsolete status of most of the buildings, provides a substantial justification for the construction of a new sentenced facility as proposed in the current funding submission. The new units being proposed under this plan will replace a facility that has a total BSCC rated capacity of 369 existing minimum-security, open bay beds with 384 low-medium-security, direct supervision beds. The design of the new 384 bed facility is a culmination of an architectural programming process based on the jail's operational philosophy of direct supervision and an updated needs assessment. The housing units will be safe for staff to operate, have an open and normalized living environment and are conducive for offenders to live in and participate in programs and education in a therapeutic living environment.

B: 2.02 STATED NEEDS AND PLANNED CONSTRUCTION

The planned construction will allow us to expose a broader number of offenders to programs, services and education than ever before. With the proposed low-medium security housing units, offenders who normally would not be eligible to participate in programs due to their classification will be screened, selected and encouraged to participate. The new construction will allow for a safer environment, which will result in a higher level of offender participation.

B: 2.03 ANTICIPATED BENEFICIAL OUTCOMES

The beneficial outcomes of the new construction will be a safer, more normalized living environment for offenders and staff, and increase public safety through prevention of escapes and provision of programs and services that will target high-risk offenders. Designing a facility that puts the focus on the operational philosophy of Direct Supervision will ensure that staff can clearly observe offender movement and behavioral conduct while providing prompt resolution to offender concerns. The new design will allow the jail to house a broad variety of offender classifications.

B: 2.04 STAFFING REQUIRED

The Honor Farm Facility currently has allocated positions requiring four Correctional Sergeants, and 48 Correctional Officers. The new medium security facility will require four additional Correctional Officer allocations to operate the facility. This brings the total operational staff to four Correctional Sergeants and 52 Correctional Officer allocations to staff the new facility.

Additionally, Plant Engineering has identified the need of 2 additional Crafts Worker III positions for service and maintenance of the new facility.

Sheriff's Office Detention & Program Positions	Current Number of Allocated Positions	Proposed Number of Allocated Positions
Sergeant	4	4
Correctional Officers	48	52
Total Allocated Positions	52	56

PROGRAM SPACE CONSTRUCTION

PS: 2.01 PLANNING PROCESS FOR DESIGN

San Joaquin County retained a consultant to conduct a needs assessment in order to determine the type of facility which would best meet our housing and program needs. The program center was

planned to provide a substantial set of program and educational spaces, supporting intensive evidence-based programs.

PS: 2.02 STATED NEEDS AND PLANNED CONSTRUCTION

Currently the Sheriff's office has two classrooms which operate throughout the day, requiring that different programs and classes be offered on a rotating schedule. This is an inadequate amount of space and does not allow us to offer the number of programs and services that are needed and could be made available. By contrast, the newly constructed program space will provide programming and education opportunities for the entire future population at the minimum/medium facility.

PS: 2.03 FEATURES OF THE PROGRAM SPACE

The intended programs and education space will consist of four classrooms, a large vocational environment, a GED Lab/Computer Lab, an Employment Resource Center, and space for confidential visits. Additionally, the program center will include space for the Inmate Case Worker to work in close proximity to the programs area to assist with coordinating and evaluating the class schedules and to ensure that program space is being used in an effective and efficient manner.

PS: 2.04 PLANS TO IMPLEMENT AND OPERATE PROGRAMS

The Sheriff's Office will identify the programs suitable for each individual through validated risk/needs screening along with classification, orientation and any mandatory programs ordered by the court. Individual needs will be considered by the offender's case worker and manager who will facilitate coordination of classroom schedules, and evaluate progress to ensure that educational and life skill benchmarks are met prior to release. Based on need and the length of time to be incarcerated, staff will enroll participants into the classes and programs that can be completed while incarcerated, as well as those that would be continued after release. This "wrap around" approach will be implemented by Friends Outside, which will provide case management within the jail, discharge planning, and post-release follow-up

in the community, and including referral to community resources, transitional housing, after-care case management and family re-unification as needed.

PS: 2.05 ANTICIPATED BENEFICIAL OUTCOMES

The benefit of building adequate program space will be to allow for increased offender participation and the implementation of additional, proven, evidenced-based programs. The new environment will be conducive to learning and support the philosophy of direct supervision. Anticipated benefits of changing behavior through broad, intensive, evidence-based programs include getting offenders to accept responsibility and change their way of thinking, reducing recidivism, and encouraging offenders to lead positive, healthy, non-criminal lives beyond incarceration.

3.	OFFENDER MANAGEMENT AND PROGRAMMING
-----------	--

BED CONSTRUCTION

B: 3.01 PROPOSED LOW-MEDIUM SECURITY BEDS

The needs assessment recommended that the sentenced facilities for AB 109 offenders need a “high minimum” or “low-medium” level of security, with a secure perimeter around a campus plan with opportunities for outdoor recreation, housing units with double occupancy wet-cells, and facilities for a mix of treatment, academic, and vocational programs. These beds are the subject of the current funding application.

It was further noted that, as it constructs additional capacity following the new sentenced beds, the main jail needs housing units with an intermediate level of security between those provided for the general population and administrative segregation. This housing should be a mix of single and double occupancy

wet cells with correctional steel doors controlled by staff, but otherwise finished similarly to existing general population units. These beds are not part of the current application.

If needed capacity is not built, expanded non-custody pre-trial and sentenced options will have be developed to manage the jail population while minimizing risks to public safety, which will be a challenge given that the jail is already releasing the “best of the worst” when required by the court cap. If this practice must be continued, the needs assessment recommended that further sanctions or supervision be implemented for those released.

B: 3.02 PROPOSAL AND ALIGNMENT WITH COMMUNITY CORRECTIONS PARTNERSHIP PLAN

In Planning for Public Safety Realignment and the CCP, the Sheriff's Office has embraced the goal of maximizing the use of existing bed space and reducing the offender population. A comprehensive approach will be taken by the Sheriff's Office to minimize the population in detention, and return as many offenders as possible to the community, consistent with public safety.

B: 3.03 USE OF OFFENDER RISK-NEEDS ASSESSMENTS

The jail is collaborating with the Probation Department and the National Institute of Corrections (NIC) in the implementation of a validated pretrial risk assessment tool, which will assist the jail population management. However, the jail is currently managing its population under the auspices of a Superior Court Consent Decree (Court Cap), and has been since 1989. The court cap is not an objective tool and does not manage the jail's population in an effective manner. It is strictly offense based, and does not consider the offender's risk to re-offend. The Probation department is responsible for the Pre-Trial Services Unit that is located in the jail booking area that screens offenders for potential release. Again, this process is offense based, and not predicated on the defendants risk to re-offend.

The planned implementation of a valid risk/needs assessment will make a major contribution to the jail's offender management.

B: 3.04 PLANNED CONSTRUCTION VS FUTURE BEDS

The updated Needs Assessment made offender population projections through the year 2033. San Joaquin County's jail facilities have been operating under a population cap ordered by the Superior Court since 1989. Average Daily Population (ADP) has been level since the cap was imposed, making it a poor predictor of growth in demand over time, as releases will occur to alleviate overcrowded conditions. Additionally, the implementation of Public Safety Realignment has resulted in a major systemic change for the criminal justice system that is considered to be the largest system change in the history of criminal justice.

The model used in the needs assessment projects that accommodating the offenders added to the jail population under realignment completely overtakes any reduction in ADP that would be predicted due to decreased crime rates. Without existing capacity constraints, housing low level felons and eliminating cap releases would result in ADP increasing to 2,034 currently, and growing to 3,177 by 2033. Allowing for peaking and classification assignments, the projections indicate a **current need for 2,240 beds growing to 3,498 by 2033**. This compares the 1,333 bed rated capacity of current jail facilities.

The replacement beds proposed in this application provide more secure sentenced beds that will give the jail greater flexibility in assigning offenders to the facility. However, they do not add beds and thus do not address the current or projected shortfalls in capacity.

PROGRAM SPACE CONSTRUCTION

PS: 3.01 PROGRAM CONSTRUCTION & OFFENDER MANAGEMENT

The new and expanded programs space will allow the Sheriff's Office to provide a broader range of evidence-based programs to a greater number of offenders. This will allow more offenders to be engaged in productive activities while incarcerated, benefiting offender management. It will also provide offenders with greater opportunities to address their underlying problems and needs – and so return them to the

community in better condition and equipped to be productive members of society with the goal of reducing recidivism.

PS: 3.02 PROPOSAL AND ALIGNMENT WITH COMMUNITY CORRECTIONS PLAN

The replacement bed construction will incorporate expanded, adjacent programming space giving those incarcerated direct access to intensive evidenced-based programs and services focused on reducing recidivism and coordinated with post-release programs. This aligns fully with the CCP, which emphasis evidenced-based programs and is supported by the Probation Department, County Behavioral Health, and a plethora of community based agencies, most prominently Friends Outside.

One very important use of the new sentenced housing units will be for pre-release transitional programs. The target population of offenders will receive a myriad of programs to include life skills, employment readiness, education, and family reunification. The Sheriff's Office will work in partnership with the Probation Department to utilize the STRONG risk and needs assessment instrument. This will provide a linkage into community supervision and assist with re-entry and aftercare planning.

PS: 3.03 PLANNED PROGRAMMING

The Sheriff's Office collaborates with many county and community based agencies to offer a wide range of effective programs. These will be substantially expanded when the new and added program space becomes available. The table on the next page lists programs currently offered, (and which will continue to be offered) in the new program space as well as the very extensive number of programs that will be added when new space is available.

Current Programs:		
C-TECH Vocational Program	52-Week Domestic Violence	Anger Management
Creative Conflict Resolution	Creative Writing	Child Support Services
Dad's Peer Support Program	GED/High School Diploma	Math 101
Nutrition	Office Technology	Ownership
Parenting	Pre-Release	Seeking Safety
Substance Abuse	Succeeding in Life and Career	Work Net
Horticulture/Agriculture	Volunteer Religious Services	Sewing/Crocheting
TYGR Program (Seeking Safety & Substance Abuse Counseling		NA and AA
Programs Proposed to be Added:		
Adult Literacy Program	Employment Services	Vocational Forklift Program
Art Classes	Malachi Dads	Finding Life after Jail
Moral Reconation Training	Community Transition	Family Re-Unification
Truck Driving School	Auto Diesel Mechanic	Culinary
DUI	Cosmetology	Carpentry
English as a second language	Plumbing/HVAC/Electrical	Matrix
Thinking for a Change	UCCI (CBT-SA)	

PS: 3.04 FOSTERING A QUALITY AND SEAMLESS REENTRY PROCESS

Through intense case management, we will connect programs and services for the offender while in custody to prepare them for release. These programs and services will be offered in the new and expanded program space. The pre-release services will be tailored toward equipping the offender with the necessary tools to return to the community and begin a productive life post incarceration. Offenders completing all or most of the available programs will attain the tools necessary to successfully gain employment and have knowledge of linkages in the community with resources available to them. The post-release, “wrap around” services described above, principally to be offered by the Probation Department and Friends Outside, will be initiated within the jail at the new program space and continued following release.

PS: 3.05 COLLABORATIVE PARTNERSHIPS

The Sheriff's Office collaborates with many County and community-based agencies to offer a wide range of effective programs. These have been described above and will be substantially expanded when the new and added program space becomes available.

Collaboration with outside agencies such as Friends Outside, Behavioral Health Services, Child Support, WorkNet, Probation, Human Services Agency, Public Health, and the District Attorney's Office, will allow a seamless delivery of services to offenders participating in programs while in custody and upon release. Expanded space will include offices and storage space for collaborating agencies, in addition to the actual classroom, meeting room, and interview rooms where the programs will be offered.

PS: 3.06 SOURCES OF FINANCIAL SUPPORT

Financial Support will come from AB109 funding, the County Office of Education which funds jail teaching staff, and the Inmate Welfare Fund. Other sources of aid will be provided by volunteers in the community, local community colleges, and other county and government agencies that provide services to our offender population (Behavioral Health Services, Child Support, WorkNet, Probation, Human Services Agency, Public Health, District Attorney, etc)

PS: 3.07 ASSESSMENTS FOR OFFENDER PROGRAMMING

Screening through Classification determines their housing assignment and their eligibility for programs. Those offenders who are eligible to participate in programs have the opportunity to continue to participate in out of custody programs through Friends Outside and other Community Based Organizations.

PS: 3.08 APPROACH TO EVIDENCE BASED PROGRAMS TO REDUCE RECIDIVISM

The successful completion of the evidence-based programs that are provided will assist in reducing the risk to re-offend. The offender will be evaluated during and following these programs to assist them in reaching a successful completion.

PS: 3.09 STAFF QUALIFICATIONS TO PROVIDE PROGRAMMING

County Office of Education employees are credentialed and/or certified to teach the following classes: Pre-Release/Ownership, GED/High School Diploma, Succeeding in Life and Career, Math, Creative Writing, and Office Technology. We will continue to contract with Friends Outside, whose staff are trained to provide evidence-based programming, including: anger management, parenting, substance abuse, creative conflict resolution, and Dad's Peer Support. The Sheriff's Office will work in collaboration with Probation to ensure that all evidenced-based programs work concurrently and in conjunction with the programs offered post-release.

PS: 3.10 TARGET POPULATION AND NUMBERS SERVED

The target population consists of those individuals with court mandates, with classification and behavior evaluations that show them to be appropriate candidates, and who are willing to participate. Additionally, offenders who are deemed high risk to re-offend are targeted for specific needs in programs. The current number of daily participants for programs is mostly static at approximately 124 offenders, due to lack of programming space. It is anticipated that with the additional programming space and the introduction of new and innovative programs we will be able to accommodate a significantly larger number of participants.

4.	ADMINISTRATIVE WORK PLAN
-----------	---------------------------------

4.01 PROJECT MANAGEMENT AND ADMINISTRATION

San Joaquin County has a planning and construction group in place that has the ability to respond to any sized capital expenditure project. The County has developed and implemented a complete project administration system utilizing a monitoring and control system. Each project is prepared with qualified staff

consultants using a “team approach” throughout its development. The County and its consultants have extensive experience with major projects, including adult detention centers and will use a management approach similar to other successful major projects. This project is a collaborative effort between the Sheriff’s Office, Capital Projects, and County Counsel.

The County recognizes the need for improved offender housing, providing comprehensive programs, as well as offering alternatives to incarceration that addresses the public safety concerns of the community it serves. Therefore, the Sheriff’s Office has dedicated an entire unit to manage the project. The Realignment Unit is a group of dedicated staff, who has been tasked with tracking all issues relevant to AB 109 Public Safety Realignment, as well as providing management oversight to SB 1022 Adult Local Criminal Justice Facilities Construction Program. The unit is staffed with a Lieutenant, a Sergeant, two Correctional Officers, and an Office Assistant Specialist. The Lieutenant provides management oversight of the project and the day-to-day operations of this project. The Realignment Unit staff will work closely with the Director of Capital Projects, the architect, construction contractor, and project field engineer to monitor the project through successful completion.

During construction the Realignment Unit will develop policies and procedures for the operation of the new facility. Plans are in place to train existing staff on the operation of the new facility. Following substantial completion, Sheriff’s Office Custody personnel will conduct on-site training to prepare for transition into the new facility. These steps will ensure occupancy of the new jail within ninety days of completion.

4.02 CURRENT PROJECT PLANNING PROCESS

San Joaquin County has designated the planned site for this facility. San Joaquin County will have completed the Pre-Architectural planning phases of the Facility Development process by the time this application is submitted. During the Pre-Architectural phase we have identified a type of direct supervision housing unit and are in the early programming stages to develop a design concept that will allow us to

operate the facility under the principles of direct supervision. The housing unit designs were adjusted from a previous design with the County, and modified for the purposes of this project to contain smaller units to better accommodate desired classification. A notice of Categorical Exemptions has been filed for the project.

4.03 COUNTY'S READINESS TO PROCEED

The County has contracted with a consultant to prepare an updated Needs Assessment. The planned site for the new facility has been identified, and the County has fee simple ownership of the land proposed for this jail construction project, and attests that the current fair market value of the land is \$1,700,000. On September 10, 2013 the San Joaquin County Board of Supervisors voted in support of staff submitting a proposal to the State.

The Sheriff's Office Realignment Unit, County Administrator's Office, and Capital Projects Administration have all been designated to ensure that all components of the construction project are completed timely and in a cost effective manner. The Realignment Unit, in conjunction with Capital Projects, has developed a project timeline that is extremely conservative and will meet relevant time benchmarks successfully.

The required cash match funding for this project has been identified in the 2013/2014 San Joaquin County Capital Improvement Program and has been transferred to account to have the funds readily available for disbursement.

Currently, there are four correctional sergeants and 48 correctional officer allocations assigned to the minimum facility. This staff will be re-assigned to operate the new facility upon completion. To ensure adequate staffing and safe operation (dependent on the final facility design) the Sheriff's Office may require four additional correctional officer allocations for the new facility.

4.04 DESCRIBE CONSTRUCTION TIMELINE

Development of the timeline was completed in collaboration with all County stakeholders, Sheriff's Office, and Capital Projects to ensure that all dates are achievable, and that the County has reviewed the state agreement requirements portion of the RFP. Key milestones are outlined with obtainable start and completion dates to accomplish the project in a timely manner, while allowing adequate time for state agency reviews to occur.

Task 1: Site assurance	January 16, 2014 through March 9, 2014
Task 2: Real estate due diligence	January 16, 2014 through March 9, 2014
Task 3: State Public Works Board – Project established	April 18, 2014 through June 27, 2014
Task 4: Schematic Design with Operational Program Statement	February 11, 2014 through July 28, 2014
Task 5: Design Development (Preliminary) with Staffing Plan	August 4, 2014 through February 6, 2015
Task 6: Staffing/Operating Cost Analysis approved by BOS	March 26, 2015 through March 26, 2015
Task 7: Construction Documents	March 2, 2015 through October 14, 2015
Task 8: Construction Bids	January 11, 2016 through February 25, 2016
Task 9: Notice to Proceed (within 42 months of award)	March 22, 2016 through April 11, 2016
Task 10: Construction (max 3 years to complete)	April 12, 2016 through August 14, 2017
Task 11: Staffing/Occupancy (within 90 days of completion)	September 11, 2017 through October 13, 2017

5.	BUDGET REVIEW AND REASONABLENESS
-----------	---

5.01 JUSTIFICATION OF STATE FINANCING

The goal of this project is to bring intensive evidence-based programs to a wider variety and classification of offenders in our custody and reduce the overall recidivism rate.

5.02 ANTICIPATED BENEFITS/IMPACTS OF CONSTRUCTION COSTS

The County will construct a facility that is cost effective, and stays within budget.

5.03 STEPS TAKEN TO MINIMIZE COSTS

San Joaquin County will utilize efficiency in design, coupled with cost effective construction alternatives to make the most economically efficient facility construction type possible.

5.04 EFFORTS TO LEVERAGE OTHER FUNDING SOURCES

Some programs can be delivered by accessing the Inmate Welfare Fund. Additionally, the Inmate Program Manager is exploring grants and funding sources to help implement evidence-based programs to a wider variety of offenders in our custody.

5.05 DETAIL COST EFFECTIVENESS

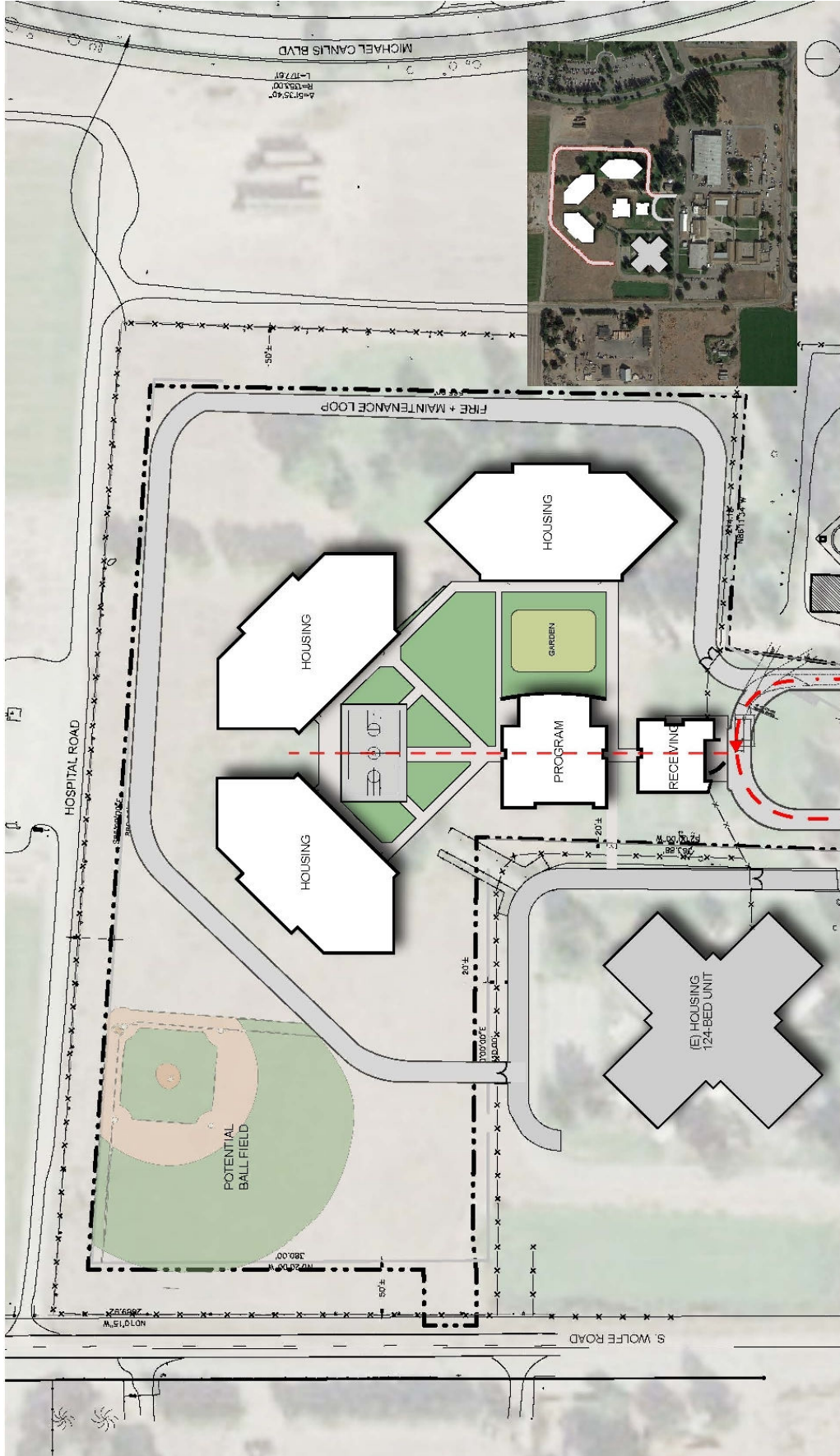
To design and build a facility with replacement beds that lends flexibility to its overall usefulness going forward. Designs will be utilized to provide a safe and secure construction that is long term, and will reach a larger number of the offender population, who will benefit from the evidence based programs being offered.

APPENDIX: EXHIBITS

- Exhibit 1 Conceptual Footprint of Proposed Facility
- Exhibit 2 List of Programs
- Exhibit 3 Letters of Intent to Support SB 1022 Project from Local Legislatures

Exhibit 1

Conceptual Footprint of proposed Facility



#013317
LIONAKIS

SAN JOAQUIN - SB-1022 SITE PLAN - OPTION 2

OCTOBER, 2013

Exhibit 2

Current and Proposed Education and Programs Chart Section 3- Offender Management and Programming

Mandatory In-Custody programs	Court Ordered Programs	Volunteer Programs	Proposed Programs
<ul style="list-style-type: none"> • Law Library / Legal Resources • Visitation • Medical & Mental Health Services • Recreation • Religious Services • Recreational Reading Library • Telephone Access 	<ul style="list-style-type: none"> • Anger Management Program • Parenting • Creative Conflict Resolution • Workshop • Domestic Violence, Anger Management, and Stalking • Offender Counseling 	<ul style="list-style-type: none"> • GED • High School Diploma • Office Technology- Steps to success...Resume Building 101 • Pre-Release / Ownership • HIV Pre And Post Testing • Religious Services • Substance Abuse Programs • Education at the South Jail and Jail Core Facilities • Succeeding in Life and Career • TYGR Program "Seeking Safety and Substance Abuse Counseling" • C-TECH Vocational Training • The DADS Program <ul style="list-style-type: none"> ○ Parenting Education ○ Creative Conflict Resolutions ○ Child Safe • Agriculture/Horticulture Program • Sewing/crocheting 	<ul style="list-style-type: none"> • Adult Literacy Program • Employment Services • Vocational Forklift Program • Art Classes • Malachi Dads/Returning Hearts/Urban Ministry Institute • Finding Life after Jail/Future Achievers in Re-Entry (for women) • Moral Reconation Training • Thinking for a Change • UCCI (CBT-SA) • Matrix

Exhibit 3

Letters of Intent to Support SB 1022 Project from Local Legislatures

STATE CAPITOL
P.O. BOX 942849
SACRAMENTO, CA 94249-0013
(916) 319-2013
FAX (916) 319-2113

DISTRICT OFFICE
31 EAST CHANNEL STREET, SUITE 306
STOCKTON, CA 95202
(209) 348-7479
FAX (209) 465-5058

Assembly
California Legislature



SUSAN TALAMANTES EGGMAN, Ph.D.
ASSEMBLYMEMBER, THIRTEENTH DISTRICT

COMMITTEES
CHAIR: AGRICULTURE
APPROPRIATIONS
BUSINESS, PROFESSIONS AND
CONSUMER PROTECTION
VETERANS AFFAIRS

COPY

Oct. 15, 2013

Executive Steering Committee
SB 1022 Applications
600 Bercut Avenue
Sacramento, CA 95811

Members of the committee,

I write to support San Joaquin County's application for funds available under SB 1022, for the construction of a higher security facility and improved program space to replace the county's 60-year-old minimum security Honor Farm.

Criminal justice realignment has changed the composition of inmates at the San Joaquin County jail and its minimum security facility, with both housing a significant proportion of inmates realigned under AB 109. A safer, more secure facility, offering a broader variety of evidence-based rehabilitative programs serves the interests of the community and improves outcomes for inmates.

San Joaquin County was previously awarded \$80 million under AB 900 for the construction of a new, expanded jail. Those funds were appropriately relinquished when it became clear that the county could not adequately fund the operation of the proposed facility. This proposal has taken those fiscal conditions into account; the county estimates only a minimal increase to operating costs.

The need to improve and expand San Joaquin County's existing facilities and programs, in support of the county's growing burden under realignment and its ongoing challenges with crime, is acute, and I trust that the application will therefore be given serious consideration.

Sincerely,

SUSAN TALAMANTES EGGMAN
Assemblymember, Thirteenth District



JERRY McNERNEY
9TH DISTRICT, CALIFORNIA

COMMITTEE ON
ENERGY AND COMMERCE



Congress of the United States
House of Representatives
Washington, DC 20515-0509

WASHINGTON OFFICE:
1210 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-1947

STOCKTON DISTRICT OFFICE:
2222 GRAND CANAL BOULEVARD, #7
STOCKTON, CA 95207
(209) 476-8552

ANTIOCH DISTRICT OFFICE:
4703 LONE TREE WAY
ANTIOCH, CA 94531
(925) 754-0716

November 18, 2013

Executive Steering Committee for SB 1022 Applications
600 Bercut Avenue
Sacramento, CA 95811

RE: San Joaquin County's Application for Funding Through SB 1022

Dear Executive Steering Committee:

I write regarding San Joaquin County's application for funding through SB 1022. I request your full and fair consideration the County's application.

SB 1022 allocates \$500 million for counties to replace or renovate existing locations for treatment and rehabilitation of individuals. San Joaquin County is requesting funds to replace its current minimum-security facility with housing units that provide increased safety and security. This funding would also help to improve programs that enable offenders to complete their education and enhance their employability.

As a result of an influx of inmates and outdated facilities, the San Joaquin County Detention and Program Facility is being forced to accommodate inmates at levels well above what is permitted by state law. AB 109, the 2011 Public Safety Realignment, requires that all individuals sentenced to non-violent, non-sex, and non-serious offenses must serve their terms in county jails instead of state prisons, and in order to reduce the likelihood of recidivism, requires that the percentage of these prisoners at a minimum-security facility not exceed 30 percent. The percentage of inmates housed at the San Joaquin County minimum-security facility is 43 percent, which is 13 percent higher than the average daily population outlined in AB 109. San Joaquin County needs a facility that can provide safe and secure detention while complying with AB 109 requirements. In order to reduce detention facility populations, it is essential that adequate resources are provided to those county facilities.

I encourage you to give San Joaquin County's request for funding every consideration consistent with applicable rules and regulations. Thank you for your consideration of this request.

Sincerely,

Jerry McNerney
Member of Congress