

SACJJD 3-year State Plan Development

Section	State Plan Requirements [34 U.S. Code § 11133.State plans]	Response	Action Needed
State Administrative Agency (a)(1)(2)	Include a citation for the executive order, legislation, or policy pointing to the authority of the agency or attach a copy of the full order, legislation or policy.	State Plan Abstract	
State Advisory Group (a)(3)	Include a copy of the SACJJD membership showing membership criteria are met.	SACJJD Roster	
State Advisory Group State Plan Development (a)(4)	Provide for the active consultation with and participation of units of local government or combinations thereof in the development of a state plan which adequately takes into account the needs and requests of units of local government, except that nothing in the plan requirements, or any regulations promulgated to carry out such requirements, shall be construed to prohibit or impede the state from making grants to, or entering into contracts with, local private agencies or the advisory group;	State Plan pages 6-11; detailed process used to develop the State Plan	Identify additional actions; i.e. public listening sessions.
Budget; 66 2/3 pass-through utilizing an equitable process (a)(5)(6)	Include a statement affirming the requirement is met and a description of the process. This would include a copy of the budget worksheet demonstrating compliance and description of the ESC process to develop the RFP.	State Plan Appendix F - Budget Worksheet	Need 2021 Budget review
Juvenile Crime Analysis (a)(7)	Provide an analysis of juvenile delinquency problems and needs in the state, including a description of services, goals and priorities, and how the state will meet these needs.	State Plan Appendix N	Needs Review
75% of passthrough funds to designated Program Areas for which Title II Formula Grants Funds May Support (a)(8)(9)	Attach budget document showing funding distribution.	State Plan Appendix F - Budget Worksheet	Need decision on program purpose areas for State Plan
Provide for the development of an adequate research, training, and evaluation capacity within the State (a)(10)	Include a statement and description of the capacity the state has met or will develop a plan to meet this requirement.	State Plan pages 29-30 Description of BSCC capabilities	
Deinstitutionalization of Status Offenders (a)(11)	Include a statement affirming that the state/territory complies with this requirement, and confirmation that documentation is found in the information submitted in the compliance tool.	Compliance Determination Letter	
Sight and Sound Separation (a)(12)	Include a statement affirming that the state/territory complies with this requirement, and confirmation that documentation is found in the information submitted in the compliance tool.	Compliance Determination Letter	
Removal of Youth from Adult Jail and Lockups (a)(13)	Include a statement affirming that the state/territory complies with this requirement, and confirmation that documentation is found in the information submitted in the compliance tool.	Compliance Determination Letter	
System of Compliance Monitoring (a)(14)	Include a statement affirming that the state/territory complies with this requirement, and confirmation that evidence was submitted in the compliance tool; i.e. the compliance monitoring plan.	State Plan page 24 Compliance Monitoring Plan summary	
Racial and Ethnic Disparities (a)(15)	Include a statement affirming that the state/territory complies with this requirement, and confirmation that documentation is found in the information submitted in the compliance tool.	Compliance Determination Letter	R.E.D. Plan needed

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Provide assurance that youth in the juvenile justice system are treated equitably on the basis of gender, race, ethnicity, family income, and disability (a)(16)	Include a statement affirming that youth in the juvenile justice system are treated equitably on the basis of gender, race, family income, disability.	State Plan page 28	
Provide assurance that consideration will be given to and that assistance will be available for approaches designed to strengthen the families of delinquent and other youth to prevent juvenile delinquency (which approaches should include the involvement of grandparents or other extended family members when possible and appropriate and the provision of family counseling during the incarceration of juvenile family members and coordination of family services when appropriate and feasible) (a)(17)	Include a statement affirming that consideration will be given to and that assistance will be available for approaches designed to strengthen the families of delinquent and other youth to prevent juvenile delinquency.	State Plan pages 7-8	
Provide for procedures to be established for protecting the rights of recipients of services and for assuring appropriate privacy with regard to records relating to such services provided to any individual under the State plan; (a)(18)	Include a statement affirming that this requirement is met and a description of the process. For example; "California has established procedures to protect the rights of recipients of services and for ensuring appropriate privacy with regard to records relating to such services provided to any individual under the state plan. All agencies that contract with the Board of State and Community Corrections are compliant with HIPAA and other relevant laws related to information sharing and privacy concerns."	State Plan page 28	
Provide assurances that— (a)(19); 1) any assistance provided under this chapter will not cause the displacement (including a partial displacement, such as a reduction in the hours of non-overtime work, wages, or employment benefits) of any currently employed employee; 2) activities assisted under this chapter will not impair an existing collective bargaining relationship, contract for services, or collective bargaining agreement; and 3) no such activity that would be inconsistent with the terms of a collective bargaining agreement shall be undertaken without the written concurrence of the labor organization involved;	Include a statement of affirmation for all three parts: For example; "California affirms that any assistance provided under this Act will not cause the displacement (including a partial displacement, such as a reduction in the hours of nonovertime work, wages, or employment benefits) of any currently employed employee; activities assisted under this Act will not impair an existing collective bargaining relationship, contract for services, or collective bargaining agreement; and no such activity that would be inconsistent with the terms of a collective bargaining agreement shall be undertaken without the written concurrence of the labor organization involved."	State Plan page 32	
Financial Controls (a)(20)(21)	Include a statement of concurrence and submit the Financial Management and Internal Controls questionnaire. For example; "California has strong internal fiscal controls and fund accounting procedures necessary to ensure prudent use, proper disbursement, and accurate accounting of funds received under this title. The Financial Management and Internal Controls questionnaire has been completed and signed by the Financial Point of Contact and is submitted with application materials.")	State Plan pages 26-27	

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Provide that the State agency designated under paragraph (1) will— (a)(22); 1) to the extent practicable give priority in funding to programs and activities that are based on rigorous, systematic, and objective research that is scientifically based;	Include a statement affirming that this requirement is met and a description. For example, “California will give priority to the extent practicable in funding programs and activities that are based on rigorous, systematic, and objective research that is scientifically based. The BSCC is prioritizing dissemination of evidence-based practices by supporting training and technical assistance for agency staff and service providers. Some projects include evaluation components; others include programmatic fidelity requirements.”	State Plan pages 6-11	
Provide that the State agency designated under paragraph (1) will— (a)(22); 2) from time to time, but not less than annually, review its plan and submit to the Administrator an analysis and evaluation of the effectiveness of the programs and activities carried out under the plan, and any modifications in the plan, including the survey of State and local needs, that it considers necessary; and	Include a statement that this has been addressed in the application as described on the referenced pages, and will be addressed in the plan updates, annual progress reports and DCTAT. For example, “California’s crime data section provides an analysis of juvenile crime for the years 2017-2019, showing declines in indicators of delinquent activity among juveniles at state and local levels. Effectiveness of funded programs is reviewed annually by the SAG and used to inform continuation funding decisions. This will also be addressed in the annual progress report and Performance Measurement Tool (PMT).”	State Plan pages 6-7	
Provide that the State agency designated under paragraph (1) will— (a)(22); 3) not expend funds to carry out a program if the recipient of funds who carried out such program during the preceding 2-year period fails to demonstrate, before the expiration of such 2-year period, that such program achieved substantial success in achieving the goals specified in the application submitted by such recipient to the State agency;	Include a statement affirming that this requirement is met, with an explanation of the process for subgranting and assessing performance. For example, “California affirms that funds will not be provided to carry out a program if the recipient of funds has failed during the preceding 2-year period to demonstrate, before the expiration of the 2-year period, that the program achieved substantial success in achieving the specified goals. Programs submit quarterly progress reports. When subaward applications are reviewed, prior program performance is considered as one of the factors for funding.”	State Plan pages 29-30	
Valid Court Order (a)(23)	Include a statement indicating whether the state uses the VCO exception and if so, affirming that the applicant complies with this requirement, and confirmation that documentation is found in the information submitted in the compliance tool. For example, “California complies with this requirement, and the documentation can be found on page 15 of the information submitted in the compliance tool.”	State Plan pages 17-19; Compliance Monitoring Plan page 15	
Provide an assurance that if the state receives under section 222 [34 U.S.C. § 11132] for any fiscal year an amount that exceeds 105 percent of the amount the state received under such section for fiscal year 2000, all of such excess shall be expended through or for programs that are part of a comprehensive and coordinated community system of services; (a)(24)	“California affirms that if the state receives an amount that exceeds 105 percent of the amount received under this section in FY 2000, all such excess would be expended through or for programs as part of a comprehensive and coordinated community system of services. California has compared the amount received in FY 2000 (list amount - \$XXXX) with the amount to be received in FY 2020 (list amount - \$XXXX), and the FY 2020 amount does not exceed 105 percent of the FY 2000 amount.”	State Plan pages 26-27	
Allowance to use up to 5% of grant funds to reduce probation officer caseloads (a)(25)	Specify a percentage, if any, from 0 to 5%. Fill in percentage here: ____ %. *Note: States are not required to spend funds for this purpose.	0%, No funds allocated; Appendix F	

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Provide that the State, to the maximum extent practicable, and in accordance with confidentiality concerns, will implement a system to ensure that if a juvenile is before a court in the juvenile justice system, public child welfare records (including child protective services records) relating to such juvenile that are on file in the geographical area under the jurisdiction of such court will be made known to such court, so as to provide for— (a)(26)	“California affirms that, to the maximum extent practicable, a system has been implemented to ensure that if a juvenile is before a court in the juvenile justice system, public child welfare records (including child protective services records) relating to that juvenile that are on file in the geographical area under the jurisdiction of such court will be made known to such court. <Name of system> is a statewide system automating services in Child Welfare and the Division of Youth Corrections; this project streamlined record keeping and service delivery through new hardware and software in all of California’s counties, regions, and facilities. Local detention screeners are able to query the database and determine whether the juvenile or the family is involved in a child welfare case and provide relevant information to the court for establishing a pre-trial release and treatment plan. A second database which contains all court records is housed in the Judicial Department and is accessible to all judges, magistrates and probation offices.”	Attachment 1, AB529, and Page 5 (Juvenile Court and Probation Statistical System (JCPSS))	
Provide assurances that juvenile offenders whose placement is funded through section 672 of title 42 receive the protections specified in section 671 of title 42, including a case plan and case plan review as defined in section 675 of title 42; (a)(27)	“California assures that juvenile offenders whose placement is funded through section 472 of the Social Security Act (42 U.S.C. 672) receive the protections specified in section 471 of such Act (42 U.S.C. 671), including a case plan and case plan review as defined in section 475 of such Act (42 U.S.C. 675).”	State Plan page 3	
Provide for the coordinated use of funds provided under this subchapter with other Federal and state funds directed at juvenile delinquency prevention and intervention programs (a)(28)	Provide a list of Federal and State Grants administered by BSCC	Grant list provided on State Plan pages 37 - 40	
Description of policies, procedures and training in effect to eliminate use of dangerous practices, unreasonable restraints, and unreasonable isolation, including by developing effective behavior management techniques (a)(29)		Title 15; 1390 Discipline, 1391 Discipline Process, 1320 Training, Personnel and Management, 1322 Youth Supervision Staff Orientation and Training, PC 6035 Staff Training Requirements	
Description of evidenced-based methods that will be used to conduct mental health and substance abuse screening, assessment, referral, and treatment if juveniles who— (a)(30)(A)		Title 15; 1418 Youth with Developmental Disabilities, 1432 Health Assessment; Requests for Health Care Services	
Description of how the state will seek, to the extent practicable, to provide or arrange for mental health and substance disorder treatment (a)(30)(B)		Title 15; 1437 Mental Health Services	

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Description of reentry planning for juveniles to include a written case plan (a)(31)	describe how reentry planning by the State for juveniles will include—(A) a written case plan based on an assessment of needs that includes—(i) the pre-release and post-release plans for the juveniles; (ii) the living arrangement to which the juveniles are to be discharged; and (iii) any other plans developed for the juveniles based on an individualized assessment; and (B) review processes;	Title 15; 1430 Individualized Treatment Plans	
Assurance of coordination between the Designated State Agency (DSA) and State Education Agency (SEA) to develop and implement a plan to support educational progress to ensure that, (a)(32)	(A) the student records of adjudicated juveniles, including electronic records if available, are transferred in a timely manner from the educational program in the juvenile detention or secure treatment facility to the educational or training program into which the juveniles will enroll; (B) the credits of adjudicated juveniles are transferred; and (C) adjudicated juveniles receive full or partial credit toward high school graduation for secondary school coursework satisfactorily completed before and during the period of time during which the juveniles are held in custody, regardless of the local educational agency or entity from which the credits were earned;	Title 15; 1370 Education Program	
Description of policies and procedures to screen for, identify, and document in state records identification of victims of domestic human trafficking or those at risk of such trafficking and diversion (a)(33)	Describe policies and procedures to— (A) screen for, identify, and document in records of the State the identification of victims of domestic human trafficking, or those at risk of such trafficking, upon intake; and (B) divert youth described in subparagraph (A) to appropriate programs or services, to the extent practicable.	Title 15; 1350.5 Screening for the Risk of Sexual Abuse	