
**BOARD OF
STATE AND
COMMUNITY
CORRECTIONS**



SKYPE & TELECONFERENCE BOARD MEETING

APRIL 9, 2020

AGENDA & REPORTS

AGENDA

KATHLEEN T. HOWARD
Executive Director, BSCC

BOARD MEMBERS

LINDA M. PENNER
Chair, BSCC

RALPH DIAZ
Secretary, CDCR

JEFFREY GREEN
Director (A)
Adult Parole Operations, CDCR

DEAN GROWDON
Sheriff, Lassen County

WILLIAM GORE
Sheriff, San Diego County

VACANT
County Supervisor/CAO

LEE SEALE
Chief Probation Officer
Sacramento County

MICHAEL ERTOLA
Chief Probation Officer
Nevada County

GORDON S. BARANCO
Retired Judge
Alameda County

ANDREW MILLS
Chief of Police
City of Santa Cruz

SCOTT BUDNICK
Film Producer and Founder of
The Anti-Recidivism Coalition

DAVID STEINHART
Director, Commonweal
Juvenile Justice Program

NORMA CUMPIAN
Women's and Non-Binary Services Manager
Anti-Recidivism Coalition (ARC)



AMENDED TO ADD ZOOM VIDEO/TELECONFERENCE INFORMATION

Board Meeting Agenda

April 9, 2020 - 10:00 a.m.

TELECONFERENCE & ZOOM PARTICIPATION ONLY

Pursuant to Governor's [Executive Order N-29-20](#)

**Instructions for Attending ZOOM/Teleconference Board Meeting
appear at the end of this agenda**

Routine items are heard on the consent calendar. All consent items are approved after one motion unless a Board member asks for discussion or separate action on any item. Anyone may ask to be heard on any item on the consent calendar prior to the Board's vote. Members of the public will be given the opportunity to give public comment during the Board's discussion of each item. There is a two-minute time limit on public comment unless otherwise directed by the Board Chair.

I. Call Meeting to Order

II. Information Items

1. COVID-19 Updates
 - A. Adult Facilities
 - B. Juvenile Facilities
 - C. BSCC inspections, training compliance, and grants
2. Chair's Report
3. Executive Director's Report
4. Legal Update
5. Debrief of Hearings on Jail Inspections, Regulation Revisions

III. Action: Consent Items

- A. Minutes from the February 13, 2020 Board Meeting:
Requesting Approval
- B. Standards and Training for Corrections, Notice of Proposed Action: **Requesting Approval**
- C. California Violence Intervention and Prevention (CalVIP), Request for Proposals Due Date Extension: **Requesting Approval**

- D. Proposition 64 Public Health & Safety Grant Program,
Request for Proposals Due Date Extension: **Requesting
Approval**

IV. Action: Discussion Items

- E. California Violence, Intervention and Prevention (CalVIP) No
Cost, One Year Extensions: **Requesting Approval**
- F. Temporary Staff Authority to Approve BSCC Grant Project
Scope Changes, Modifications of Program Purpose Areas,
and No Cost Extensions In Consultation with the Board
Chair: **Requesting Approval**
- G. Jail Profile Survey: Status Update on the Addition of Late-
Night Release Information and Plans for a Future
Comprehensive Update: **Information Only**

V. Public Comments

Public comment about any agenda item or any future agenda items may be heard at this time.

VI. Adjourn

Next Meeting:

Thursday, June 11, 2020 Board Training (San Diego)

Friday, June 12, 2020 Board Meeting (San Diego)

Instructions for Attending ZOOM/Teleconference Board Meeting:

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Agenda Item A

February 13, 2020

**Board Meeting Minutes:
Requesting Approval**

MINUTES
BOARD OF STATE AND COMMUNITY CORRECTIONS MEETING
THURSDAY, February 13, 2020

Meeting Held At:
BSCC Board Room
2590 Venture Oaks Way Suite 101
Sacramento, CA 95833

I. Call to Order

Chair Linda Penner called the meeting to order at 10:00 AM.

Chair Penner welcomed the Board Members and the public to the first meeting of 2020.

Penner introduced new Board member Norma Cumpian, Women's and Non-Binary Services Manager at the Anti-Recidivism Coalition (ARC). Penner administered the Oath of office.

Ms. Cumpian thanked the Board and shared her background, which includes lived experience in the justice system, and now working at ARC in Los Angeles.

Board Secretary Adam Lwin called roll and announced that there was a quorum.

The following members were in attendance:

Chair Penner	Mr. Diaz	Mr. Green	Mr. Growdon
Mr. Seale	Mr. Ertola	Mr. Baranco	Mr. Steinhart
Mr. Gore	Mr. Mills	Ms. Cumpian	

ABSENT BOARD MEMBERS:

Mr. Budnick

II. Information Items

1. Chair's Report

Chair Penner reported on the BSCC jail inspections and the Governor's focus on improvements. The Governor's proposed FY 2020-21 budget directed the BSCC to strengthen the state's oversight of county jails.

Penner announced that the BSCC staff have developed a conceptual plan for inspection improvements, and that recommendation will be discussed on today's agenda. This

enhanced process is more transparent, offers more technical assistance, more accountability and involves the Board.

BSCC staff inspects 558 facilities across California. The Board will take a greater role in the inspection findings by hearing regularly about jails that fail to meet the minimum standards. When serious deficiencies remain uncorrected, it will require the Sheriffs to appear before the Board with their plans of correction.

Penner said that this new process would be a major step toward ensuring swifter action when jails fail to meet minimum standards, and this is a starting point.

2. Executive Director Report

Executive Director Kathleen Howard reported on the following:

- The April 9, 2020 Board meeting will be held at the BSCC in Sacramento.
- There will be a Board training meeting on Thursday, June 11, 2020 in San Diego. The BSCC Board meeting will be held on Friday, June 12, 2020 also in San Diego.
- At the BSCC's request, the Department of Finance, Office of State Audits & Evaluations is offering a grant management training workshop on February 20. Topics will include a description of the state audit process, common audit findings, and a discussion on match costs.
- The State Advisory Committee on Juvenile Justice and Delinquency Prevention (SACJJDP) will meet on February 20 in Oakland.
- The Proposition 64 Public Health & Safety grant bidders' conference is scheduled for February 26 at the BSCC.
- The BSCC hosted a webinar on January 14 to provide information to local agencies about how the funding for the mental health training grant can be used and to review the reporting requirements. 141 agencies have accepted the mental health training grant that the Board made available through JAG. The funding was provided to deliver critical mental health training to corrections and probation staff. Agencies have until September 2020 to spend the funds.

3. Legislative Update Report (Presented by Executive Director Howard):

Howard referred to the Legislative Board Report in the Board binders.

4. Legal Update:

General Counsel Aaron Maguire reported on the following:

Recent litigation against the federal government regarding immigration status on the Byrne/JAG funds have wrapped up and the immigration restrictions will not part of the new funding.

III. Action: Consent Items

A. Minutes from the November 14, 2019 Board Meeting: Requesting Approval

Mr. Growdon moved approval. Mr. Ertola seconded. The motion carried.

Agenda item B was pulled from the consent agenda for discussion.

IV. Discussion Items

B. Youth Reinvestment Grant Amended Request for Proposals: Requesting Approval

This agenda item requested Board approval to amend the Request for Proposals (RFP) for the Youth Reinvestment Grant (YRG) to ensure that nonprofit organizations that received nominal pass-through awards under the 2018 YRG program are eligible to apply for 2019 YRG funding. Other proposed changes increase the total dollar amount available to reflect that one 2018 YRG grantee has reverted its funds and extend the due date for proposals by four weeks.

Mr. Steinhart requested this agenda item to be pulled and add the word “additional” under the “Eligibility to Apply” section of the RFP to read:

In any additional applications, the subgrantee is only eligible to receive an aggregate amount of up to \$100,000 for all additional applications.

Mr. Steinhart moved approval. Mr. Growdon seconded. The motion carried. Prior to the discussion Ms. Cumpian and Mr. Baranco recused themselves and left the room pursuant to Government Code section 1091.

C. Facilities Standards & Operations Jail Inspection Process Changes: Requesting approval

This agenda item was presented by Deputy Director Allison Ganter and asked the Board to approve a conceptual plan to revise the current adult jail facility inspection processes to include greater local accountability to the Board for unaddressed items of noncompliance. This process would provide increased transparency in the local inspection process and, in some cases, result in more frequent inspections and follow up than under the current biennial cycle model. The Board would also adopt similar reporting process changes for juvenile detention facilities.

The Board members discussed the outlined new jail inspection process.

Under the enhanced inspection process, the BSCC would require counties to identify remediation plans within a defined time frame of the inspection to address items of noncompliance. If the deadline is not met, the item would be placed on the agenda of a future BSCC Board meeting for further discussion and follow-up. The Board would request the presence of the Sheriff to explain how the county intends to come into compliance on items the Board considers serious.

The new process may result in more frequent inspections and more accountability for juvenile detention facilities as well.

Mr. Mills requested an addition to the recommendation to read:

Direct staff to conduct an analysis of staff and funding required to adequately address the inspections and reports, including emergency situations that might arise in the field.

Public comment was heard for agenda item C:

Chair Penner directed Board members and public to the letter from Center on Juvenile and Criminal Justice authored by Brian Goldstein on this agenda item.

Miguel Garcia Anti-Recidivism Coalition: Requested the Board to include the community involvement in making decisions.

Dominique Nong, Children's Defense Fund: Asked the Board to direct staff to have stakeholder involvement to develop a robust inspection process.

Rene Menart, Center on Juvenile and Criminal Justice: Requested more community input on finalizing the decision to move forward with the new process.

Mr. Seale asked if there is anything specific that the Board can do beyond this new process.

Ms. Menart stated she had concerns about the plan and should be delayed and request broader community input.

Chair Penner stated that this process is a framework and work in progress and will involve community input.

End of Public Comment

Mr. Mills moved approval. Mr. Growdon seconded. The motion carried with the following recommendations:

1. Approve the proposed outline of steps to enhance the adult jail inspection process consistent with the direction in the Governor's Budget.
2. Direct staff to update the juvenile inspection processes in a conforming fashion to add a similar layer of transparency and local accountability.

3. Direct staff to develop a work plan and report back to the Board at the June 2020 meeting for final approval of an enhanced process for regular reporting and follow up on both adult and juvenile detention facilities to the Board.
4. Direct staff to conduct an analysis of staff needs and funding required to adequately address the inspections and reports, including emergency situations that might arise in the field.
5. Direct staff to collect community input and hold listening sessions.

D. California Violence Intervention and Prevention (CalVIP) Grant Request for Proposals: Requesting Approval

Field Representative Colleen Curtin presented this agenda item, which requested approval to release the proposed RFP for the California Violence Intervention and Prevention (CalVIP) grant.

ESC Chair Mr. Mills thanked Ms. Curtin, Mr. Goodridge and staff and commended the work product.

Mr. Diaz moved approval. Mr. Growdon seconded. The motion carried.

Prior to the discussion Ms. Cumpian and Mr Baranco recused themselves and left the room pursuant to Government Code section 1091

E. Proposition 64 Public Health & Safety Grant Program, Request for Proposal: Requesting Approval

Field Representative Helene Zentner presented this agenda item, which requested Board approval to release the proposed RFP for the Proposition 64 Public Health & Safety Grant Program, which directs revenue from the cultivation and sale of cannabis to projects that promote public safety and health.

Mr. Gore moved approval. Mr. Diaz seconded. The motion carried.

Prior to the discussion Mr. Growdon and Mr. Mills recused themselves and left the room pursuant to Government Code section 1091.

Ms. Cumpian left the meeting at 11:25am

F. Adult Reentry Grant Program Rehab of Existing Property or Buildings Project, Funding Approval: **Requesting Approval.**

Field Representative Colleen Stoner presented this agenda item, which requested Board approval to award the Adult Reentry Grant Program Rehabilitation of Existing Property or Buildings Project to the sole applicant, Epidaurus DBA Amity Foundation of Los Angeles. The grant period would begin February 1, 2019 and end January 31, 2030. Staff also asked for Board approval of the Draft Notice of Exemption to be finalized and filed with the Office of Planning and Research.

Mr. Growdon moved approval. Mr. Baranco seconded. Mr. Diaz and Mr. Green abstained. The motion carried.

G. Grant Proposal Evaluation Process: **Requesting Approval**

Chief of Research Kasey Warmuth presented this agenda item, which requested Board approval of the *Grant Proposal Evaluation Process* developed by the BSCC research staff. Development of the process included consultation with an Industrial Psychologist with experience in psychological measurement and the proper execution of rating processes and focus group input. Staff also asked for the Board to follow the approved *Grant Proposal Evaluation Process* for all ESCs moving forward, including those currently convened and in the process of grant development. This change also means the ESCs will no longer discuss ratings prior to the calculation of proposals' scores.

Mr. Steinhart stated that although he had some concerns, he agrees that the elimination of the discussion of ratings would be more efficient to the grant evaluation process.

Mr. Growdon moved approval. Mr. Diaz seconded. The motion carried.

H. Jail Profile Survey: Overview and Recommended Updates: **Requesting Approval**

Chief of Research Kasey Warmuth presented this agenda item. This agenda provided an overview of the Jail Profile Survey (JPS) and requested the Board direct staff to collect information on the JPS about late night releases, after consultation with reporting jurisdictions and subject matter experts.

The Board decided to add the collection of information about length of stay to the JPS.

Mr. Diaz moved approval. Mr. Growdon seconded. The motion carried.

V. Public Comments

There were no public comments.

VI. Adjourn

The meeting adjourned at: 12:15PM

Next Meeting:

❖ BSCC Board Meeting:

April 9, 2020

ROSTER OF PERSONS IN ATTENDANCE

BSCC BOARD MEMBERS:

Chair Penner, Chair, Board of State and Community Corrections
Mr. Diaz, Secretary, California Department of Corrections and Rehabilitation (CDCR)
Mr. Green (A), Director, Adult Parole Operations, CDCR
Mr. Growdon, Sheriff, Nevada County
Mr. Gore, Sheriff, San Diego County
Mr. Seale, Chief Probation Officer, Sacramento County
Mr. Ertola, Chief Probation Officer, Nevada County
Mr. Baranco, Retired Judge, Alameda County
Mr. Mills, Chief of Police, Santa Cruz County
Mr. Steinhart, Director, Commonwealth Juvenile Justice Program
Ms. Cumpian, Women's and Non-Binary Services Manager Anti-Recidivism Coalition

BSCC STAFF:

Kathleen T. Howard, Executive Director
Aaron Maguire, General Counsel
Tracie Cone, Communications Director
Evonne Garner, Deputy Director, Standards and Training for Corrections
Ricardo Goodridge, Deputy Director, Corrections Planning and Grant Programs
Allison Ganter, Deputy Director, Facilities Standards & Operations
John W. Prince, Deputy Director, County Facilities Construction
Adam Lwin, Board Secretary
Kimberly Bushard, Field Representative, Corrections Planning and Grants Program
Colleen Curtin, Field Representative, Corrections Planning and Grants Program
Helene Zentner, Field Representative, Corrections Planning and Grants Program
Colleen Stoner, Field Representative, Corrections Planning and Grants Program
Kasey Warmuth, Chief of Research, Facilities Standards & Operations

Agenda Item B

**Standards and Training for
Corrections, Notice of
Proposed Action:
Requesting Approval**

MEETING DATE: April 9, 2020**AGENDA ITEM:** B**TO:** BSCC Chair and Members**FROM:** Evonne Garner, Deputy Director, evonne.garner@bscc.ca.gov**SUBJECT:** Standards and Training for Corrections, Notice of Proposed Action:
Requesting Approval

Summary

Pursuant to Penal Code Section 6035, the Board of State and Community Corrections is required to adopt and amend as necessary minimum standards for the selection and training of corrections personnel employed by Sheriff's Offices, Police Departments, and Probation Departments. At its January 17, 2019 meeting, the Board approved draft regulations for changes to core training for those personnel, including the addition of six transfer academies, and directed the initiation of the regulation revision process. The public comment period has concluded without additional input, and this agenda item requests final approval of the regulation revisions.

Background

Under the direction of an Executive Steering Committee, the Board initiated a project to review and make recommendations for revisions to the core training program to reflect changes in the job that had occurred over time as well as those initiated by policy reforms including realignment. To assess the resulting selection and training impacts, the BSCC conducted a comprehensive survey to determine in which ways the knowledge, skills and abilities of local corrections and probation officers had adapted to handle the new population serving time under local supervision. The results informed a comprehensive review of BSCC's core training program that included over 17 workgroups of subject-matter experts that met to review and make recommendations for changes to the core training program. The workgroups included more than 200 entry-level, supervisor, manager and administrators from 53 of California's 58 counties.

Based on the findings of the workgroups, the ESC recommended content and instructional time changes to the Adult Correction Officer, Probation Officer and Juvenile Correction Officer core training that must be completed within one year of hire and the creation of six new transfer academies for candidates transferring from one correctional classification to another. The recommendations of the ESC were approved by the Board on January 17, 2020, with the instruction to initiate the corresponding regulation revision process.

BSCC prepared and submitted a rulemaking file to the Office of Administrative Law and initiated a 45-day public comment period. No comments were received so no changes were

made; however, the hours of four transfer academies were adjusted by BSCC staff to reflect the final instructional hours of the new Behavioral Health Unit. When the proposed hours were presented in January, the Behavioral Health Unit lesson plan was still in development, so the hours were estimated. The core training and transfer academy hours are as follows:

Core Training Programs

- JCO = 168 hours
- PO = 189 hours
- ACO = 187 hours
- ACO Supplemental = 79 hours

Transfer Academies

- PO to JCO academy = 59 hours
- JCO to PO academy* = 71 hours (+2 hours)
- ACO to PO academy = 76 hours (+2 hours)
- PO to ACO academy = 63 hours (+1 hour)
- ACO to JCO OJT module = 10 hours
- JCO to ACO OJT module = 8 hours (+1 hour)

** Adjustments made after 1/17/19 Board approval due to Behavioral Health lesson plan development*

Recommendation/Action Needed

Staff recommends that the Board:

- Approve the changes made to the regulations after the January 17, 2019 Board approval and direct staff to file the final proposed regulations and the Final Statement of Reasons any other final rulemaking documents required by the Administrative Procedures Act.

Attachments

B-1: Express Terms Minimum Standards for Training Title 15, Division 1, Chapter 1, Subchapter 1

Attachment B-1

**EXPRESS TERMS
BOARD OF STATE AND COMMUNITY CORRECTIONS
MINIMUM STANDARDS FOR TRAINING
TITLE 15, DIVISION 1, CHAPTER 1, SUBCHAPTER 1**

Article 3. Minimum Standards for Training

§ 171. Training Courses.

(a) There are ~~seventeen~~^{thirteen} training courses in the program as follows:

- (1) Probation Officer Core Course.
- (2) Juvenile Corrections Officer to Probation Officer Transfer Academy
- (3) Adult Corrections Officer to Probation Officer Transfer Academy
- ~~(2)~~(4) Juvenile Corrections Officer Core Course.
- (5) Probation Officer to Juvenile Corrections Officer Transfer Academy
- (6) Adult Corrections Officer to Juvenile Corrections Officer Transfer Academy
- ~~(3)~~(7) Adult Corrections Officer Core Course.
- (8) Probation Officer to Adult Corrections Officer Transfer Academy
- (9) Juvenile Corrections Officer to Adult Corrections Officer Transfer Academy
- ~~(4)~~(10) Adult Corrections Officer Supplemental Core Course.
- ~~(5)~~(11) Supervisor Core Course.
- ~~(6)~~(12) Manager/Administrator Core Course.
- ~~(7)~~(13) Annual Training Courses.

Note: Authority cited: Sections 6035 and 6036, Penal Code. Reference: Section 6036, Penal Code.

§ 173. Probation Officer Core Course.

The probation officer core course consists of a minimum of ~~496~~¹⁸⁹ hours of instruction in specific performance/instructional objectives. Entry-level staff must successfully complete these course objectives as demonstrated by a satisfactory level of proficiency on relevant achievement tests. Entry-level core training shall be completed in the first year of employment.

Note: Authority cited: Section 6035, Penal Code. Reference: Section 6036, Penal Code.

§ 173.1 Juvenile Corrections Officer to Probation Officer Transfer Academy.

The juvenile corrections officer to probation officer transfer academy consists of 71 hours of instruction in specific performance objectives. Transferring staff must successfully complete these course objectives as demonstrated by satisfactory level of proficiency on relevant achievement tests. Transfer academy shall be completed in the first year of employment in the Probation Officer classification and is only available to staff who have successfully completed a full Juvenile Corrections Officer core course.

Note: Authority cited: Section 6035, Penal Code. Reference: Section 6036, Penal Code.

§ 173.2 Adult Corrections Officer to Probation Officer Transfer Academy.

The adult corrections officer to probation officer transfer academy consists of 76 hours of instruction in specific performance objectives. Transferring staff must successfully complete these course objectives as demonstrated by satisfactory level of proficiency on relevant achievement tests. Transfer academy shall be completed in the first year of employment in the Probation Officer classification and is only available to staff who have successfully completed a full Adult Corrections Officer core course.

Note: Authority cited: Section 6035, Penal Code. Reference: Section 6036, Penal Code.

§ 176. Juvenile Corrections Officer Core Course.

The juvenile corrections officer core course consists of ~~460~~¹⁶⁸ hours of instruction in specific performance/instructional objectives. Entry-level staff must successfully complete these course objectives as demonstrated by a satisfactory level of proficiency on relevant achievement tests. Entry-level core training shall be completed in the first year of employment.

Note: Authority cited: Sections 6035 and 6036, Penal Code; and Section 12838.5, Government Code. Reference: Section 6036, Penal Code.

§ 176.1 Probation Officer to Juvenile Corrections Officer Transfer Academy.

The probation officer to juvenile corrections officer transfer academy consists of a minimum of 59 hours of instruction in specific performance objectives. Transferring staff must successfully complete these course objectives as demonstrated by satisfactory level of proficiency on relevant achievement tests. Transfer academy shall be completed in the first year of employment in the Juvenile Corrections Officer classification and is only available to staff who have successfully completed a full Probation Officer core course.

Note: Authority cited: Sections 6035 and 6036, Penal Code; and Section 12838.5, Government Code. Reference: Section 6036, Penal Code.

§ 176.2 Adult Corrections Officer to Juvenile Corrections Officer Transfer Academy.

The adult corrections officer to juvenile corrections officer transfer academy consists of a minimum of 10 hours of on-the-job training in specific performance objectives. Transferring staff must successfully complete these course objectives as demonstrated by satisfactory level of proficiency on relevant achievement tests. Transfer academy shall be completed in the first year of employment in the Juvenile Corrections Officer classification and is only available to staff who have successfully completed a full Adult Corrections Officer core course.

Note: Authority cited: Sections 6035 and 6036, Penal Code; and Section 12838.5, Government Code. Reference: Section 6036, Penal Code.

§ 179. Adult Corrections Officer Core Course.

The adult corrections officer core course consists of a minimum of ~~476~~¹⁸⁷ hours, in specific performance/instructional objectives. Entry-level staff must successfully complete these course objectives as demonstrated by a satisfactory level of proficiency on relevant achievement tests. Entry-level core training shall be completed in the first year of employment

Note: Authority cited: Sections 6035 and 6036, Penal Code. Reference: Section 6036, Penal Code.

§ 179.1 Probation Officer to Adult Corrections Officer Transfer Academy.

The probation officer to adult corrections officer transfer academy consists of a minimum of 63 hours of instruction in specific performance objectives. Transferring staff must successfully complete these course objectives as demonstrated by satisfactory level of proficiency on relevant achievement tests. Transfer academy shall be completed in the first year of employment in the Adult Corrections Officer classification and is only available to staff who have successfully completed a full Probation Officer core course.

Note: Authority cited: Sections 6035 and 6036, Penal Code. Reference: Section 6036, Penal Code.

§ 179.2 Juvenile Corrections Officer to Adult Corrections Officer Transfer Academy.

The juvenile corrections officer to adult corrections officer transfer academy consists of a minimum of 8 hours of on-the-job training in specific performance objectives. Transferring staff must successfully complete these course objectives as demonstrated by satisfactory level of proficiency on relevant achievement tests. Transfer academy shall be completed in the first year of employment in the Adult Corrections Officer classification and is only available to staff who have successfully completed a full Juvenile Corrections Officer core course.

Note: Authority cited: Sections 6035, 6036, Penal Code. Reference: Section 6036, Penal Code.

§ 180. Adult Corrections Officer Supplemental Core Course.

The adult corrections officer supplemental core course consists of a minimum of ~~56~~⁷⁹ hours of instruction in specific performance/instructional objectives. It is for the corrections officer who has successfully completed the POST Basic Academy Course for peace officers. Entry-level staff must successfully complete these course objectives as demonstrated by a satisfactory level of proficiency on relevant achievement tests. Entry-level core training shall be completed in the first year of employment.

Note: Authority cited: Sections 6035 and 6036, Penal Code. Reference: Section 6036, Penal Code.

Agenda Item C:

**California Violence
Intervention and
Prevention (CalVIP),
Request for Proposals Due
Date Extension:
Requesting Approval**

MEETING DATE: April 9, 2020

AGENDA ITEM: C

TO: BSCC Chair and Members

FROM: Colleen Curtin, Field Representative, colleen.curtin@bscc.ca.gov

SUBJECT: California Violence Intervention and Prevention (CalVIP), Request for Proposals Due Date Extension: **Requesting Approval**

Summary

This agenda item requests Board approval of an eight-week extension to the CalVIP proposal submission due date reflected in the CalVIP Request for Proposals (RFP) amended version (Attachment C-1) and corresponding adjustments to all RFP dates contained therein.

Background

At its February 13, 2020 meeting, the Board approved and authorized the release of the CalVIP RFP as developed and recommended by the ESC. (Attachment C-2.)

The proposal submission due date contained in the original RFP was April 10, 2020. However, as local responses to the spread of the coronavirus (COVID-19) have led to the closure of many city offices and community-based organizations, BSCC has received numerous requests to extend the due date for the submission of CalVIP proposals. After consulting with Board Chair Linda Penner and with CalVIP Executive Steering Committee Chair Andrew Mills, staff is requesting an eight-week extension to the proposal submission due date, making the new due date June 5, 2020.

The FY 2019-20 CalVIP grant funds have a finite expenditure period and will revert on June 30, 2024. It is important to note, therefore, that an extension to the proposal submission due date will have a corresponding impact on the grant project period. The new start date for the grants will be October 1, 2020. In order to stay within the already established liquidation and closeout timeframe, it will be necessary to shorten the grant period by three months, from 36 months to 33 months.

RFP Activities and Tentative Timeline

The amended CalVIP RFP timeline is shown in the following table:

TENTATIVE TIMELINE AMENDED	ACTIVITY
April 10, 2020 June 5, 2020	Proposals due to the BSCC
April 23, 2020 TBD	ESC Rater Training
May 21, 2020 TBD	ESC rating of proposals complete
June 11, 2020 September 10, 2020	Present ESC funding recommendations for Board approval
July 1, 2020 October 1, 2020	Grants begin
June 30, 2023	Grant project period ends

Recommendation/Action Needed

Staff recommends that the Board:

- Approve and authorize an eight-week extension to the proposal submission due date as contained in the CalVIP RFP amended version as well as corresponding adjustments to all RFP dates contained therein.

Attachments

- C-1: California Violence Intervention and Prevention (CalVIP) Grant, Request for Proposals, *Amended*
- C-2: February 13, 2020 Board Report

Attachment C-1



California Violence Intervention and Prevention (CalVIP) Grant

Amended: April 9, 2020

REQUEST FOR PROPOSALS

Eligible Applicants:

Category 1 & 2: California Cities Disproportionately Impacted by Violence and the Community-Based Organizations that Serve Them (see Table 1, p. 6)

Category 3: Small Cities (population 40,000 or less) Disproportionately Impacted by Violence (see Table 2, p.7)

Grant Period: July 1, 2020 to June 30, 2023

Amended to: October 1, 2020 to June 30, 2023

RFP Released: February 14, 2020

Letters of Intent to Apply Due: March 13, 2020

Proposals Due: April 10, 2020

Amended to: June 5, 2020



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CONFIDENTIALITY NOTICE

All documents submitted as a part of the CalVIP proposal are public documents and may be subject to a request via the California Public Records Act. The BSCC, as a state agency, may have to disclose these documents to the public. The BSCC cannot ensure the confidentiality of any information submitted in or with this proposal.

Attachment C-1

PART I: GRANT INFORMATION

Contact Information

This Request for Proposals (RFP) provides the information necessary to prepare a proposal to the Board of State and Community Corrections (BSCC) for grant funds available through the California Violence Intervention and Prevention (CalVIP) Grant Program.

The BSCC staff cannot assist the applicant or its partners with the actual preparation of the proposal. Any technical questions concerning the RFP, the proposal process or programmatic issues must be submitted by email to: CalVIP-3@bscc.ca.gov.

The BSCC will accept and respond to questions about this RFP until ~~March 27, 2020~~ **June 5, 2020** and answers will be posted on the BSCC website and updated periodically up until ~~April 3, 2020~~, **June 5, 2020**.

Proposal Due Date and Submission Instructions

Applicants must submit one electronic copy of the original signed proposal to the BSCC by **5:00 p.m. on April 10, 2020** **June 5, 2020**.

A complete proposal package will include:

1. One Portable Document Format (PDF) file that contains the Proposal Narrative and all required attachments (see CalVIP Proposal Checklist).
2. An Excel version of the Budget Attachment (Budget Tables and Budget Narrative). Do not submit the Budget Attachment in a PDF version.

If the BSCC does not receive an email containing the complete proposal package by 5:00 p.m. (PST) on April 10, 2020 June 5, 2020 the proposal will not be considered.

Email the complete proposal package to: CalVIP-3@bscc.ca.gov

Letters of Intent to Apply

Applicants interested in applying for the CalVIP Grant are asked (but not required) to submit a non-binding letter indicating their intent to apply. These letters will aid the BSCC in planning for the Proposal Evaluation process.

There is no formal template for the letter, but it should include the following information:

1. Name of the Applicant;
2. Name of a contact person; and
3. A brief statement indicating the Applicant's intent to submit a proposal.

Failure to submit a Letter of Intent is not grounds for disqualification. Similarly, prospective applicants that submit a Letter of Intent and decide later not to apply will not be penalized.

Please submit your non-binding Letter of Intent by **March 13, 2020** via email or U.S. mail, using one of the following submission options:

Email Responses: CalVIP-3@bscc.ca.gov
(Subject line: Letter of Intent)

U.S. Mail Responses: Board of State and Community Corrections
Corrections Planning and Grant Programs Division
2590 Venture Oaks Way, Suite 200
Sacramento, CA 95833
Attn: CalVIP Letter of Intent

Bidders' Conferences

Prospective applicants are encouraged (but not required) to attend one of two Bidders' Conferences. The purpose of a bidders' conference is to answer technical questions from prospective bidders and provide clarity on RFP instructions. Topics include but are not limited to information on evidence-based violence reduction initiatives, guidance on the principles of evidence-based practice and an overview of evaluation requirements.

Details for the CalVIP Bidders' Conferences are listed below. Bidders' Conference #2 will be livestreamed and recorded. The recording will be available on the BSCC website. It is not necessary to RSVP to the bidders' conferences.

CalVIP Bidders' Conference #1

March 3, 2020 at 10:00 a.m.

The California Endowment
Center for Healthy Communities
1000 N. Alameda Street
Los Angeles, CA 90012

CalVIP Bidders' Conference #2

March 6, 2020 at 10:00 a.m.

Board of State & Community Corrections
2590 Venture Oaks Way
Sacramento, CA 95833

*Will be livestreamed at www.bscc.ca.gov and
recorded for future viewing*

Introduction

Formerly known as the California Gang Reduction, Intervention & Prevention (CalGRIP) grant, the State Legislature established the California Violence Intervention & Prevention (CalVIP) grant in FY 2017-18. CalVIP encouraged jurisdictions to develop local approaches that would meet the diverse needs of each community.

Until FY 2017-18, eligibility for the CalVIP grant was open only to California cities. By law, cities were required to pass through a minimum of 50 percent of the funds to one or more community-based organizations (CBOs). With the FY 2017-18 Budget, eligibility was extended to include CBOs who can now apply directly for CalVIP funds.

For eleven years, the CalVIP grant was funded annually at \$9 million. With the enactment of the FY 2019-20 Budget, a one-time appropriation of \$21 million was included, for a total appropriation of \$30 million. Of that amount, the FY 2019-20 State Budget Act calls for the BSCC to retain five percent (\$1.5 million) for administrative operations and \$1 million is earmarked for the City of Los Angeles. The remaining \$27.5 million must be distributed through a competitive grant process, administered by the BSCC staff and led by the members of the CalVIP Executive Steering Committee.

Assembly Bill (AB) 1603 (Chapter 735, Statutes of 2019), also known as the *Break the Cycle of Violence Act*, was signed by the Governor on October 11, 2019. This bill codified the establishment of the CalVIP grant program and the authority and duties of the BSCC in administering the program, including the selection criteria for grants and reporting requirements to the Legislature. It defined the purpose for the CalVIP grant and additional guidance for how the funds should be distributed. See *Appendix A* for the FY 2019-20 State Budget Act language and the full text of AB 1603.

Purpose of the CalVIP Grant (AB 1603):
“Improve public health and safety by supporting effective violence reduction initiatives in communities that are disproportionately impacted by violence, particularly group-member involved homicides, shootings and aggravated assaults.”

All projects should be focused on the implementation of a coordinated, community-based strategy for reducing violence. Proposals must target those individuals at highest risk for perpetrating violence or being victimized by violence. Grantees must work through community-based organizations and/or public agencies that are well positioned to work with these high-risk individuals.

BSCC Executive Steering Committee Process

To ensure successful program design and implementation, the BSCC uses Executive Steering Committees to inform decision making related to the Board's programs. The BSCC's Executive Steering Committees (ESCs) are composed of subject matter experts and stakeholders representing both the public and private sectors. The BSCC makes every attempt to include a diverse representation on its ESCs, in breadth of experience, geography and demographics.

ESCs are convened and approved by the BSCC Board as the need arises to carry out specified tasks, including the development of RFPs for grant funds. Not only do the ESCs develop RFPs, but members of the ESC also read and rate the proposals submitted by prospective grantees. Once the proposal evaluation process is complete, ESCs submit grant award recommendations to the BSCC Board and the Board then approves, rejects or revises those recommendations. Members of the ESCs are not paid for their time but are reimbursed for travel expenses incurred to attend meetings.

The CalVIP ESC includes a cross-section of subject matter experts on community engagement, prevention and intervention programs, law enforcement strategies, and rehabilitation and reentry, including individuals who have been impacted by the criminal justice system. A list of CalVIP ESC members can be found in *Appendix C*.



Members & staff of the 2019 CalVIP ESC

Conflicts of Interest

Existing law prohibits any grantee, subgrantee, partner or like party who participated on the above referenced CalVIP ESC from receiving funds from the CalVIP grants awarded under this RFP. Applicants who are awarded grants under this RFP are responsible for reviewing the CalVIP ESC membership roster and ensuring that no grant dollars are passed through to any entity represented by any member of the CalVIP ESC.

Grant Period

Successful proposals will be funded for a ~~three-year~~ **33-month** grant project period commencing on ~~July 1, 2020~~ **October 1, 2020** and ending on June 30, 2023.

However, an additional six months (July 1, 2023 through December 31, 2023) will be included in the term of the contract for the sole purposes of:

- 1) Finalizing and submitting a required Local Evaluation Report, and
- 2) Finalizing and submitting a required financial audit.

Eligibility to Apply

AB 1603 limits eligibility to cities in California that are disproportionately impacted by violence and the community-based organizations (CBOs) that serve them. Either the city itself or a department within the city may serve as the applicant.

Eligible applicants **may not** submit more than one proposal.

However, any applicant may apply as a direct grantee and may also be listed as a subgrantee on a different proposal. If an applicant intends to apply directly and is also a subgrantee on another proposal, the proposals **cannot** be duplicative and must fund separate and unique activities.

As defined by AB 1603, a city is disproportionately impacted by violence if any of the following are true:

- (1) The city experienced 20 or more homicides per calendar year during two or more of the three calendar years immediately preceding the grant application.
- (2) The city experienced 10 or more homicides per calendar year during two or more of the three years prior to the grant application and had a homicide rate that was at least 50% higher than the statewide homicide rate during two or more of the three calendar years immediately preceding the grant application.
- (3) The applicant otherwise demonstrated a unique and compelling need for additional resources to address the impact of homicides, shootings, and aggravated assaults in the applicant's community.

(Penal Code, Section 14131, subd. (e).)

The CalVIP ESC further defined that a city has a "unique and compelling need" if:

- The city experienced seven (7) or more homicides per calendar year during two or more of the three years prior to the grant application and had a homicide rate that was at least 25% higher than the statewide homicide rate during two or more of the three calendar years immediately preceding the grant application.

Table 1 lists the 29 cities that meet one or more of the above three criteria. **These cities and any CBO that serves the residents of these cities are eligible to apply for CalVIP funds.** The CBO need not be physically located inside the city limits in order to be eligible.

See *Appendix D* for a description of the BSCC's data analysis process.

City	(1) 20 or more homicides	(2) 10 or more homicides... and a homicide rate at least 50% higher than the statewide homicide rate	(3) 7 or more homicides... and a homicide rate at least 25% higher than the statewide homicide rate
1. Antioch			X
2. Bakersfield	X	X	X
3. Carson			X
4. Compton	X	X	X
5. Delano			X
6. Fairfield			X
7. Fresno	X	X	X
8. Inglewood		X	X
9. Long Beach	X		X
10. Los Angeles	X		X
11. Lynwood			X
12. Modesto		X	X
13. Norwalk			X
14. Oakland	X	X	X
15. Oxnard		X	X
16. Pittsburg			X
17. Pomona		X	X
18. Richmond		X	X
19. Sacramento	X	X	X
20. Salinas	X	X	X
21. San Bernardino	X	X	X
22. San Diego	X		
23. San Francisco	X		X
24. San Jose	X		
25. Santa Ana	X		X
26. Stockton	X	X	X
27. Turlock			X
28. Vallejo		X	X
29. Victorville			X
* CBOs that serve the residents of these cities are also eligible to apply.			

Cities with Populations of 40,000 or Less

The FY 2019-20 State Budget Act set aside \$3 million for competitive grants to cities with populations of 40,000 or less. The CalVIP ESC defined “unique and compelling need” for cities with populations of 40,000 or less as cities that appear in the top five percent for

one or more of three crimes designated as violent by the FBI's Uniform Crime Reporting Index: Homicide, Aggravated Assault and Robbery, averaged over the preceding three years. Table 2 lists the 66 cities that meet this definition. Because the Budget Act specifies "grants to cities," community-based organizations from these cities are not eligible to apply in this set-aside. See *Appendix D* for a description of the BSCC's data analysis process.

Table 2. Cities with Populations of 40,000 or Less, Eligible to Apply for CalVIP Funding	
1. Adelanto	34. Huron
2. Alturas	35. Industry
3. Anderson	36. Irwindale
4. Artesia	37. Isleton
5. Arvin	38. King City
6. Atwater	39. Lakeport
7. Avenal	40. Lemon Grove
8. Banning	41. Marysville
9. Barstow	42. McFarland
10. Big Bear Lake	43. Mendota
11. Biggs	44. Montague
12. Bishop	45. Montclair
13. Brawley	46. Mount Shasta
14. California City	47. Needles
15. Clearlake	48. Newman
16. Coalinga	49. Orange Cove
17. Colma	50. Oroville
18. Commerce	51. Parlier
19. Crescent City	52. Red Bluff
20. Cudahy	53. San Pablo
21. Desert Hot Springs	54. Sand City
22. Dinuba	55. Santa Fe Springs
23. Dos Palos	56. Selma
24. Dunsmuir	57. Signal Hill
25. El Cerrito	58. Susanville
26. Emeryville	59. Taft
27. Eureka	60. Ukiah
28. Farmersville	61. Vernon
29. Fort Bragg	62. Weed
30. Grass Valley	63. West Hollywood
31. Greenfield	64. Westmorland
32. Gridley	65. Willits
33. Gustine	66. Yreka

Eligible Community-Based Organizations

Community-based organizations (CBOs) eligible for CalVIP funding include any non-governmental organization that provides direct services to the community. Private individuals, independent contractors, professional grants management organizations, consulting firms, auditors and evaluators may not apply directly for CalVIP funds (though they may be included as partners on a CalVIP grant project).

Eligibility Criteria for All Non-Governmental Organizations that Receive BSCC Grant Funds

*Any non-governmental organization that receives CalVIP grant funds
(as either a direct grantee, subgrantee or subcontractor) must:*

- Have been duly organized, in existence, and in good standing at least six months before entering into a fiscal agreement with the BSCC or with the CalVIP grantee;
- In either instance (applicant or subgrantee), non-governmental entities that have recently reorganized or have merged with other qualified non-governmental entities that were in existence prior to the six-month date are also eligible, provided all necessary agreements have been executed and filed with the California Secretary of State prior to the start date of the grant agreement or subcontractor;
- Be registered with the California Secretary of State's Office, if applicable;
- Have a valid business license, Employer Identification Number (EIN), and/or Taxpayer ID (if sole proprietorship);
- Have any other state or local licenses or certifications necessary to provide the services requested (e.g., facility licensing by the Department of Health Care Services), if applicable; and
- Have a physical address.

Prospective CBO applicants need not be registered with 501(c)(3) status in order to apply for CalVIP funds but must meet the criteria for all non-governmental organizations (NGOs) listed in the table above.

Applicants are free to partner with other governmental or non-governmental organizations (NGOs). No additional funding will be provided for a multi-agency proposal. NGOs include but are not limited to CBOs, faith-based organizations, non-profit organizations/501(c)(3)s, evaluators (except government institutions such as universities), and grant management companies.

All applicants must submit Appendix B, *Criteria for NGOs that Receive BSCC Grant Funds* as part of the complete proposal package to document the compliance of any

NGOs identified as partners in the proposal. All grantees must submit updated Appendix Bs throughout the life of the grant agreement for any additional NGOs that may receive 2019 CalVIP grant funds through subcontracts after awards are made. The BSCC will not reimburse for costs incurred by NGOs that do not meet the BSCC's requirements.

Funding Information

Funding Distribution & Funding Thresholds

A total of \$27,500,000 in state funding is available competitively. The total available funding has been distributed across three categories, as shown in the table below. Applicants in each category will compete independently.

Applicants may apply for **any amount** up to and including the maximum grant amount in one of the three categories. Applicants are strongly encouraged to apply for only the amount of funding needed to implement the project. Proposals will be scored in part on the reasonableness of the proposed budget.

Table 3. Funding Distribution and Maximum Grant Amounts			
Funding Categories		Maximum Grant Amount*	Available Funding
(1)	Cities Disproportionately Impacted by Violence, as listed in Table 1 on page 6	\$1,500,000	\$12,250,000
(2)	CBOs that Serve the Residents of Cities Disproportionately Impacted by Violence, as listed in Table 1 on page 6	\$1,500,000	\$12,250,000
(3)	Select Cities with Population of 40,000 or Less, as listed in Table 2 on pages 7	\$600,000	\$3,000,000
Total Funding Available for Competitive Grants:			\$27,500,000

*Applicants may apply for any amount, up to and including the Maximum Grant Amount.

City of Los Angeles

The FY 2019-20 State Budget Act provides that \$1,000,000 be made available annually to the City of Los Angeles on a non-competitive basis. BSCC intends to make a conditional award to the City of Los Angeles in the amount of \$3,000,000, to include three years of budget appropriations (FY 2019-20, 2020-21 and 2021-22). The City of Los Angeles is required to submit a proposal that meets the RFP requirements. (Note that CBOs serving residents within the City of Los Angeles are still eligible to apply.)

Mandatory Pass-Through Requirement for City Applicants

Authorizing statute requires that all city grantees (including cities with populations of 40,000 or less) pass through at least 50 percent of the grant funds they receive to one or more of the following:

- Non-governmental, community-based organizations and/or
- Public agencies that are not law enforcement whose primary mission is violence prevention or community safety (e.g. Office of Violence Prevention, Office of Neighborhood Safety, etc.). (Note: if the city designates this type of department or agency as the applicant entity, this requirement will be automatically met.)

Professional grants management organizations, consulting firms, auditors and evaluators may not count toward meeting this pass-through obligation.

BSCC Funding Decisions

Applicants will compete for funds within the applicable category. Once the proposals have been rated and ranked, BSCC will move down the ranked lists to fund all qualified applicants in each of the three funding categories listed in Table 3 until all funds in that category are exhausted. Applicants that fall at the cut-off point may be offered a partial award if there are not sufficient remaining funds to make a full award.

If there are funds leftover in Category 1 after all qualified applicants in that category have been funded, the remaining balance will be used to fund any additional qualified applicants in Category 2. Conversely, if there are funds leftover in Category 2 after all qualified applicants in that category have been funded, the remaining balance can be applied to Category 1 for any additional qualified applicants. Funds leftover in Category 3 after all qualified applicants in that category have been funded may not be used to fund Categories 1 or 2. If an applicant or grantee relinquishes an award, BSCC has authority to offer that award to the next qualifying applicant on the ranked list.

Match Requirement

Authorizing statute requires that all CalVIP grantees contribute a 100 percent match to all grant funds awarded. This match can be cash or in-kind or a combination of both. All match funds must be identified separately in the project budget.

Cash match, also known as hard match, is income from a source other than grant funds that is budgeted for the project. When used to augment the project, cash expenditures for items such as salaries and benefits, facilities, supplies or equipment purchased by the grantee may be considered cash match, if not in violation of the prohibition on supplanting.

In-kind match, also known as soft match, is the contribution of materials or resources to support CalVIP grant activities. It may include non-cash outlay contributed by other public agencies, partner organizations or individuals. Examples of in-kind match include donated office supplies, facility space, equipment, professional services and volunteer time.

In general, the value of in-kind contributions is determined by fair market value. If volunteer services are counted as in-kind contributions, additional measures should be followed to document the value of the services. Projects must document actual time worked by using a time reporting system and maintain documentation to support the claimed hourly salary rate of the volunteer services. The rate claimed should be comparable to the rates for paid employees performing similar duties.

Other state and/or federal funds can be used to match CalVIP funds only if the following conditions have been met:

- The federal funding source does not prohibit this practice as stated in Title 2 of the Code of Federal Regulations, Section 200.306 regarding cost sharing and cost matching; and
- The funds are to be used to augment or enhance the CalVIP-funded project.

Note: CalVIP funds may not be used as match for a CalVIP grant.

Project Description

Target Population & Target Area

AB 1603 mandates that initiatives funded by the CalVIP grant shall be “primarily focused on providing violence intervention services to the small segment of the population that is identified as having the highest risk of perpetrating or being victimized by violence in the near future.”

The applicant must describe how it will identify the target population, including the process used to ensure those individuals at highest risk are prioritized. The applicant must have a plan for accessing those individuals and providing appropriate interventions based on an individual’s needs. The target area for grant services should correspond to the target population.

Activities Eligible for Funding

AB 1603 requires that CalVIP grants be used to support, expand and replicate evidence-based violence reduction strategies “that seek to interrupt cycles of violence and retaliation in order to reduce the incidence of homicides, shootings, and aggravated assaults.” Within that definition, applicants have some discretion in selecting a strategy or strategies that best fit the scope of the problem and the needs of the target community.

Table 4. Examples of Evidence-Informed Strategies <i>Including but not limited to:</i>	
❖ Focused Deterrence	❖ Employment/Job Training
❖ Hospital-Based Violence Intervention	❖ Diversion
❖ Street Outreach	❖ Mentoring
❖ Cognitive Behavioral Therapy	❖ Intensive Case Management
❖ Community/Police Trust Building	❖ Mental Health Services

Table 4 includes *examples* of the types of strategies that may be funded by this grant. The listed examples are not exhaustive nor are applicants required to implement them. Applicants may choose to incorporate components from several strategies to best fit the needs of the individuals targeted for services. See *Appendix E, Glossary of Terms*, for descriptions of these strategies and other resources.

Regardless of the strategy or strategies selected, applicants must be able to explain why that strategy was chosen and cite some form of evidence that indicates the strategy is likely to “reduce the incidence of homicides, shootings and aggravated assaults.” (Penal Code Sec. 14131, subd. (f)(4).)

Technical Assistance

Most of the strategies listed above require technical assistance in order to be implemented with fidelity to the model. To ensure that grant funds are used efficiently and effectively, CalVIP grantees are strongly encouraged to obtain outside technical assistance (i.e., subject matter expertise) in implementing and monitoring the selected violence reduction strategy. If an applicant is expanding or enhancing an existing strategy, the applicant must be able to demonstrate a plan for ongoing monitoring and quality assurance.

Other Eligible Grant Expenditures

BSCC recognizes that offering meals, incentives and participant support items can help maximize participation among clients engaged in programming. CalVIP grant funds may be used to purchase meals or snacks, items or activities used as program incentives, and participant support items, as long as they are used to encourage program participation, reward participants who meet certain documented milestones, or celebrate program completion. In all cases, there must be a direct link to grant-funded activities.

Once the Grant Agreement is executed, explicit prior approval from BSCC is required for the purchase of any of the items listed above or for participant travel that exceeds \$100 per day, even if these items were included in the proposed budget. Further, successful applicants will be required to maintain and provide detailed documentation for any meals, incentives, and travel purchased with match or grant funds. Discretion for final approval of any purchases under this section lies with BSCC. Purchases should not be made until BSCC approves the written request. The BSCC shall not be obligated to reimburse purchases made with CalVIP grant funds.

Ineligible Grant Expenditures

CalVIP grant funds may not be used for the following:

- Acquisition of real property/real estate
- Guns, weapons, weapons systems or ammunition
- High-tech surveillance or monitoring systems

For additional information on eligible and ineligible costs, refer to the *BSCC Grant Administration Guide*, found on the BSCC [website](#).

Using the Principles of Evidence-Based Practice¹

The BSCC is committed to supporting a focus on better outcomes in the criminal justice system and those involved in it. CalVIP grant funds must be used to support programs, practices and strategies that are rooted in documented evidence showing they reduce violence while also considering the needs of the target communities and individual participants.

Applicants are therefore required to use data to drive conscientious decision-making in the development, implementation and appraisal of their overall projects. Applicants should be able to demonstrate that their proposal is linked to the implementation of practices and strategies supported by data.

The extent to which an applicant can demonstrate that the strategy they have chosen has been shown to be effective at reducing violence will be evaluated as a part of the rating process. In developing a proposal, applicants should focus on the following three basic principles:

1. Is there evidence or data to suggest that the intervention or strategy is likely to work, i.e., produce a desired benefit?

For example, was the intervention or strategy selected by the project used by another entity with documented positive results? Is there published research/information on the intervention the project has chosen to implement showing its effectiveness? Is the intervention or strategy being used by another entity with a similar problem and similar target population?

2. Once an intervention or strategy is selected, will you be able to demonstrate that it is being carried out as intended?

For example, does this intervention or strategy provide for a way to monitor quality control or continuous quality improvement? If this intervention or strategy was implemented by another entity, are there procedures in place to ensure the model is being closely followed (so the project is more likely to achieve similar desired outcomes)?

3. Is there a plan to collect data that will allow for an appraisal of whether the intervention or strategy worked?

For example, will the intervention or strategy selected allow for the collection of data or other information so outcomes can be measured at the conclusion of the project? Are there or will there be processes in place to identify, collect, and analyze that data/information?

Applicants are encouraged to develop an overall project that incorporates these principles but is tailored to fit the needs of the communities they serve. Plans to measure the effectiveness of a project should include the use of both qualitative and quantitative

¹ Lowenkamp and Latessa, 2003; Lowenkamp, 2003; Lowenkamp & Latessa, 2005a; Lowenkamp and Latessa, 2005b; Center for Criminal Justice Research and the Corrections Institute at the University of Cincinnati, Correctional Program Checklist Assessment.

data/information. While quantitative data/information is based on numbers and mathematical calculations, qualitative data/information is based on written or spoken narratives. The purpose of quantitative data/information is to explain, predict, and/or control events through focused collection of numerical data, while the purpose of qualitative data/information is to explain and gain insight and understanding of events through collection of narrative data/information.

Organizational Capacity and Coordination

Applicants will be rated in part on how well they can demonstrate that they have the experience, a staffing plan, and any partnerships necessary to implement the proposed strategy. If an applicant is unable to identify staff and/or subcontractors until after the grant is awarded, the applicant should explain this in the appropriate section and, at a minimum, specify the process and criteria by which they will select staff and/or subcontractors.

Distinct from administrative staff and partners, applicants must also demonstrate how they plan to ensure that the staff who deliver the services or work with the target population in the field have backgrounds and experience that are culturally relevant to the proposed strategy and/or target population (to include racial/ethnic diversity, gender diversity, current or prior system involvement, etc.)

AB 1603 requires that applicants address how they will use grant funds to enhance coordination of existing violence prevention and intervention programs and minimize duplication of services. At the grant's onset, successful applicants are strongly encouraged to take stock of existing programs in order to identify those that might conflict with, compete with or duplicate the strategy they are proposing to implement.

Written Agreements from Key Partners

If the success of the proposed strategy relies on the participation of an outside agency or organization – that is, if an entity other than the applicant is to play a necessary or critical role in project implementation – the applicant must include a Letter of Agreement, Letter of Commitment or other signed written agreement to demonstrate that the outside agency is aware of the proposed partnership and agrees to participate.

Examples of necessary or critical roles played by outside entities could include:

- A source of referrals,
- The location where services will be delivered,
- Access to the target population,
- Data collection partner, etc.

The written agreement must include the name of the outside entity, be signed by the executive officer, department head or other authorized representative and be dated within three months of CalVIP proposal submission. Outdated letters or letters that speak to a partnership or grant activities outside of that which is proposed as a part of the CalVIP

funded project will not be accepted. See *Appendix F* for a sample Letter of Commitment for Key Partners.

Additional Requirements for City Applicants

The FY 2019-20 State Budget Act requires each city that receives a CalVIP Grant to collaborate and coordinate with area jurisdictions and agencies, including the existing county juvenile justice coordination council, with the goal of reducing violence in the city and adjacent areas. As part of the proposal, each city will be required to complete *Appendix G* to certify compliance with this requirement.

The FY 2019-20 State Budget Act also requires each city that receives a CalVIP Grant to establish a coordinating and advisory council to prioritize the use of the funds. Membership shall include city officials, local law enforcement, local educational agencies, local community-based organizations, and local residents. City applicants are highly encouraged to include system-involved individuals in this process. As a part of the proposal, each city will be required to complete *Appendix H* to certify compliance with this requirement. It is recommended that applicants consult with city attorneys or other counsel when forming or convening the coordinating and advisory to consider potential state and local conflicts of interest.

Project Evaluation Requirements

In addition to Quarterly Progress Reports (discussed in the next section), projects selected for funding will be required to submit to the BSCC:

- 1) A Local Evaluation Plan, due six months post-award; and
- 2) A Final Local Evaluation Report, due six months after the conclusion of project delivery.

See *Appendix E* for key definitions related to project evaluation.

Applicants are strongly encouraged to identify research partners early on and include them in the development of the proposal, to better ensure that the goals and objectives listed in the proposal are realistic and measurable. Applicants are also strongly encouraged (but not required) to use outside evaluators to ensure objective and impartial evaluations. Specifically, applicants are encouraged to partner with state universities or community colleges for evaluations. Evaluation planning, oversight, and reporting activities may be funded by CalVIP grant funds, identified matching funds or another source. If grants funds will be used, they must be included in the applicant's proposed budget.

Local Evaluation Plan

The purpose of the Local Evaluation Plan (LEP) is to ensure that projects funded by the BSCC can be evaluated. Applicants will be expected to include a detailed description of how they plan to assess the effectiveness of the proposed program in relationship to each of its goals and objectives identified in the Proposal. The LEP should describe the evaluation design or model that will be used to evaluate the effectiveness of the project

component(s), with the project goals and the objectives clearly stated. Applicants should include criteria for both process and outcome evaluations. Once submitted, any modifications to the Local Evaluation Plan must be approved in advance by the BSCC. (More detailed instructions on the LEP will be made available to successful applicants.)

Final Local Evaluation Report

Following project completion, grantees are required to complete a Final Local Evaluation Report (LER). The LER must be in a format prescribed by the BSCC. Within the LER, an Executive Summary must be included that adheres to the format prescribed by the BSCC specifically for the Executive Summary.

The purpose of the LER is to determine whether the overall project (including each individual component) was effective in meeting the goals laid out in the Local Evaluation Plan. To do this, the grantee must assess and document the effectiveness of the activities that were implemented within each individual project component. These activities should have been identified in the previously submitted LEP. (More detailed instructions on the LER will be made available to successful applicants.)

The BSCC may make public the LER from each grantee. Reports may be posted to the BSCC website and developed into a Summary Final Report submitted to the Legislature. If the grantee plans to publish the LER, it must be submitted to the BSCC for review prior to publication.

Appendix I contains preliminary Guidelines for how to complete the LEP and LER.

Working with an Outside Evaluator

The BSCC plans to contract with a state university for a statewide evaluation of the impact of the violence prevention initiatives funded by the CalVIP grant. The contractor is expected to: develop the research methodology for the statewide evaluation; design and develop instruments for collecting evaluation data from CalVIP grantees; provide ongoing technical assistance to grantees for data collection and evaluation activities; compile, screen, and analyze data obtained from grantees; and develop a final report on the impact of the CalVIP grant. As a condition of award, all CalVIP grantees agree to collect data requested by the outside evaluator (this may include a standardized QPR format with specified outcome measures and/or standard outcomes measures as a required component in each LEP and LER) and fully cooperate and share information within timelines set by the outside evaluator.

CalVIP applicants should include sufficient per diem and travel allocations in their Project Budget for project-related and evaluation personnel to attend up to two meetings in Sacramento with the outside evaluator.

General BSCC Grant Requirements

Grant Agreement

Applicants approved for funding by the BSCC Board are required to enter into a Grant Agreement with the BSCC. Grantees must agree to comply with all terms and conditions of the Grant Agreement. See *Appendix J* for a sample BSCC Grant Agreement.

The Grant Agreement start date is expected to be ~~July 1, 2020~~ **October 1, 2020**. Grant Agreements are considered fully executed only after they are signed by both the grantee and the BSCC and the BSCC is in receipt of all required attachments including documentation of signing authority. Work, services and encumbrances cannot begin prior to the Grant Agreement start date. Any work, services and encumbrances which occur after the start date but prior to Grant Agreement execution may not be reimbursed. Grantees and all subgrantees are responsible for maintaining their Grant Agreement, all invoices, records and relevant documentation for the life of the grant cycle plus three (3) years after the final payment under the contract.

Signing Authority

Before the grant award can be finalized and funds awarded, a successful city applicant must submit either a resolution from its Governing Board that delegates authority to the individual authorized to execute the grant agreement or sufficient documentation indicating that the individual who signs the grant agreement has been vested with plenary authority to execute grant agreements (e.g., a municipal ordinance or city ordinance/charter delegating such authority to a city manager or department head).

501(c)(3) applicants or business entities with boards of directors must provide evidence that the person signing the grant agreement has signing authority, which may include articles of incorporation, bylaws, or a board resolution conferring authority to the signatory. For other business entities that do not have boards of directors, the chief executive officer or designated executive officer, managing partner, majority owner, or other designated manager must execute the grant agreement and include sufficient evidence of signing authority, which may include articles of incorporation, bylaws, or partnership agreements.

This documentation is not required at the time of proposal submission, but applicants are advised that the grant agreement is not fully executed nor will any financial invoices be processed for reimbursement until the required documentation has been received by the BSCC. A sample Governing Board Resolution can be found in *Appendix K*.

Invoicing

Disbursement of grant funds occurs on a reimbursement basis for costs incurred during a reporting period. The State Controller's Office (SCO) will issue the warrant (check) to the individual designated on the Applicant Information Form as the Financial Officer for the grant. Grantees must submit invoices to the BSCC on either a monthly or quarterly basis through the online process no later than 45 days following the end of the invoicing period. (Grantees will make their choice between monthly or quarterly invoicing at the time they execute their contracts.)

Grantees must maintain adequate supporting documentation for all costs claimed on invoices. BSCC staff will conduct a desk review process which requires grantees to submit electronic documentation to support all grant funds claimed during the invoicing period and on-site monitoring visits that will include a review of documentation maintained as substantiation for project expenditures.

Additional information about invoicing can be found in the *BSCC Grant Administration Guide*, located on the BSCC [website](#).

Reporting Match Contributions

Reporting of the expenditure of match contributions need not be made in exact proportion to the expenditure of grant funds. However, before the grant expires or is terminated, the match contribution must be equal to the amount of grant funds expended. The expenditure of both cash and in-kind contributions must be reported on the BSCC invoice, as the expenditures occur. Match funds and related expenditures also must be identified in the accounting records (e.g., general ledger) and included in any grant-specific audit reports. Supporting documentation must be maintained for all match contributions.

Applicants shall budget only for the required match. The match amount specified in the project budget will become a part of the Grant Award. As part of the Grant Award, it is subject to all programmatic and audit requirements and cannot be reduced by the project once the grant proposal is approved.

Additional information about match requirements can be found in the *BSCC Grant Administration Guide*, located on the BSCC [website](#).

Supplanting

Supplanting is the deliberate reduction in the amount of federal, state, or local funds being appropriated to an existing program or activity because grant funds have been awarded for the same purposes. Supplanting is strictly prohibited for all BSCC grants. CalVIP grant funds shall be used to support new program activities or to augment or expand existing program activities but shall not be used to replace existing funds. When using outside funds as match, applicants must be careful not to supplant.

It is the responsibility of the grantee to ensure that supplanting does not occur. The grantee must keep clear and detailed financial records to show that grant funds are used only for allowable costs and activities.

Audit Requirements

Grantees are required to provide the BSCC with a financial audit that covers the service delivery period of the grant (~~July 1, 2020~~ **October 1, 2020** to June 30, 2023). The audit report will be due no later than December 31, 2023. The financial audit shall be performed by a Certified Public Accountant or a participating county or city auditor that is organizationally independent from the participating county or city's project financial management functions. Expenses for the final audit may be reimbursed for actual costs up to \$25,000.

In addition, BSCC reserves the right to call for a program or financial audit at any time between the execution of the grant agreement and three (3) years following the end of the grant period.

The Department of General Services, the Bureau of State Audits, the Department of Finance or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this grant.

Quarterly Progress Reports

Grant award recipients are required to submit quarterly progress reports (QPRs) to the BSCC. QPRs are a critical element in the BSCC's monitoring and oversight process. Grantees that are unable to demonstrate that they are making sufficient progress toward project goals and objectives and show that funds are being spent in accordance with the Grant Agreement could be subject to the withholding of funds. Once grants are awarded, the BSCC will work with grantees to create custom QPRs.

Grantee Orientation Process

Following the start of the grant period, BSCC staff will conduct a Grantee Orientation in Sacramento (on a date to be determined later). The purpose of this mandatory session is to review the program requirements, invoicing and budget modification processes, data collection and reporting requirements, as well as other grant management and monitoring activities. Typically, the Project Director, Financial Officer, Day-to-Day Contact and (for cities) one Community Partner must attend. Grantees are also strongly encouraged to include their Evaluator. Grant recipients may use CalVIP grant funds for travel-related expenditures such as airfare, mileage, meals, lodging and other per diem costs. Applicants should include anticipated costs for this event in the budget section of the proposal under the "Other" category.

Travel

Travel is usually warranted when personal contact by project staff is the most appropriate method of conducting project-related business. Travel to and from training conferences may also be allowed. The most economical method of transportation, in terms of direct expenses to the project and the employee's time away from the project, must be used. Projects are required to include sufficient per diem and travel allocations for project-related personnel, as outlined in the Grant Award, to attend any mandated BSCC training conferences or workshops outlined in the terms of the program.

Units of Government

Units of government may follow either their own written travel and per diem policy or the State's policy. Units of government that plan to use cars from a state, county, city, district car pool, or garage may budget either the mileage rate established by the car pool or garage, or the state mileage rate, not to exceed the loaning agency rate.

Non-Governmental Organizations (NGOs)

An NGO receiving BSCC funds must use the State travel and per diem policy, unless the grantee's written travel policy is more restrictive than the State's, in which case it must be used. Reimbursement is allowed for the cost of commercial carrier fares, parking, bridge, and road tolls, as well as necessary taxi, bus, and streetcar fares.

This policy applies equally to NGOs that receive grant funds directly from the BSCC and those that receive grant funds indirectly through a subcontract with another NGO that received a BSCC grant award.

Out-of-State Travel

Out-of-state travel is restricted and only allowed in exceptional situations. Even if previously authorized in the Grant Award, grantees must submit to the BSCC a separate formal request (on grantee letterhead) for approval that includes a detailed justification and budget information. Grantees must receive written approval from BSCC prior to incurring expenses for out-of-state travel. In addition, California prohibits travel, except under specified circumstances, to states that have been found by the California Attorney General to have discriminatory laws. The BSCC will not reimburse for travel to these states unless the travel meets a specific exception under Government Code section 11139.8, subdivision (c). For additional information, please see: <https://oag.ca.gov/ab1887>.

Debarment, Fraud, Theft or Embezzlement

It is the policy of the BSCC to protect grant funds from unreasonable risks of fraudulent, criminal, or other improper use. As such, the Board will not enter into contracts or provide reimbursement to applicants that have been:

1. debarred by any federal, state, or local government entities during the period of debarment; or
2. convicted of fraud, theft, or embezzlement of federal, state, or local government grant funds for a period of three years following conviction.

Furthermore, the BSCC requires grant recipients to provide an assurance that there has been no applicable debarment, disqualification, suspension, or removal from a federal, state or local grant program on the part of the grantee at the time of application and that the grantee will immediately notify the BSCC should such debarment or conviction occur during the term of the Grant contract.

The BSCC also requires that all grant recipients include, as a condition of award to a subgrantee or subcontractor, a requirement that the subgrantee or subcontractor will provide the same assurances to the grant recipient. If a grant recipient wishes to consider a subgrantee or subcontractor that has been debarred or convicted, the grant recipient must submit a written request for exception to the BSCC along with supporting documentation.

All applicants must complete *Appendix L* certifying that they are in compliance with the BSCC's policies on debarment, fraud, theft and embezzlement.

Compliance Monitoring Visits

The BSCC staff will monitor each project to assess whether the project is in compliance with grant requirements and making progress toward grant objectives. As needed, monitoring visits may also occur to provide technical assistance on fiscal, programmatic, evaluative, and administrative requirements. For your reference, a sample Monitoring Visit Checklist is contained in *Appendix M*.

Overview of the RFP Process

Confirmation of Receipt of Proposal

Upon submission of a proposal, applicants will receive an electronic auto-confirmation email from the BSCC stating that the proposal has been received.

Disqualification - PLEASE REVIEW CAREFULLY

The following will result in an automatic disqualification:



- An electronic version of the complete proposal package is not received by 5:00 p.m. PST on ~~April 10, 2020~~ **June 5, 2020**.
- Proposal Narrative does not meet the narrative formatting requirements below:
 - Arial 12-point font
 - One-inch margins on all four sides
 - 1.5-line spacing
- Proposal Narrative exceeds 12 numbered pages in length.
- Budget Attachment (Excel document) is incomplete or the total amount included in the budget table does not match the requested amount included elsewhere in the application.
- Budget Attachment (Excel document) exceeds 4 pages in length.
- Proposal Package does not contain all required sections:
 - Coversheet – accurately completed
 - Proposal Checklist – filled out and signed
 - Applicant Information Form – completed and signed
 - Proposal Narrative
 - 2019 CalVIP Budget Attachment (in Excel)
 - Written Agreements from Key Partners (if applicable, Appendix F)
 - *Criteria for Non-Governmental Organizations Receiving BSCC Grant Funds* (Appendix B) – completed and signed
 - *Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement* (Appendix L) – completed and signed
 - Project Work Plan (not to exceed 1 page)
 - City Applicants Only: *Commitment to Coordinated Violence Reduction Efforts* (Appendix G) – completed and signed
 - City Applicants Only: *CalVIP Coordinating and Advisory Council Membership Roster* (Appendix H) – completed
- Applicant's funding request was more than \$1.5 million (for Funding Categories 1 and 2) or \$600,000 (for Funding Category 3).
- City Applicant did not indicate its intention to pass through at least 50 percent of any awarded funds to one or more CBOs and/or public agencies whose primary mission is violence prevention or community safety.

NOTE: Disqualification means that the proposal will not move forward to the ESC for the Proposal Rating Process and, therefore, will NOT be considered for funding.

Proposal Rating Process

Unless disqualified, proposals will advance to the Proposal Rating Process. The 2019 CalVIP ESC members will read and rate each proposal in accordance with the prescribed rating factors listed in the table below.

The ESC members will base their scores on how well an applicant addresses the items listed under each rating factor within the Proposal Narrative and the Budget Attachment. Following the proposal rating process, the ESC will develop funding recommendations for consideration by the BSCC Board. It is anticipated that the BSCC Board will act on the funding recommendations of the ESC at its meeting on June 11, 2020. All applicants will be notified of the Board's funding decision. Applicants and partners are not permitted to contact members of the ESC or the BSCC Board Members to discuss proposals.

Rating Factors

The *Rating Factors* that will be used and the maximum points assigned to each factor are shown in the table below. Applicants will be asked to address each of these factors as a part of their proposal. The CalVIP ESC assigned a percent value to each of the five *Rating Factors*, correlating to its importance within the overall project (see *Percent of Total Value* column).

CalVIP Rating Factors		Point Range	Percent of Total Value	Weighted Rating Factor Score
1	Description of Community Need	1 - 5	15%	30
2	Project Description	1 - 5	35%	70
3	Organizational Capacity and Coordination	1 - 5	20%	40
4	Project Evaluation and Monitoring	1 - 5	15%	30
5	Project Budget	1 - 5	15%	30
Total:			100%	200

Evaluators will rate an applicant's response in each of these categories on a scale of 1-5, according to the Five-Point Rating Scale shown below. Each rating factor then will be weighted according to the *Percent of Total Value* to arrive at the *Weighted Rating Factor Score*. There are no preference points available.

Five Point Rating Scale

Poor 1	Fair 2	Satisfactory 3	Good 4	Excellent 5
The response addresses the criteria in a very inadequate way.	The response addresses the criteria in a non-specific or unsatisfactory way.	The response addresses the criteria in an adequate way.	The response addresses the criteria in a substantial way.	The response addresses the criteria in an outstanding way.

Scoring Threshold/Minimum Score

An applicant must meet a threshold of **50 percent**, or a minimum score of **100** total points, to be considered for funding.

Key Dates

The following table shows a timeline of key dates related to the CalVIP Grant.

Activity	Date
Release Request for Proposals	February 14, 2020
Bidder's Conference #1 – Los Angeles	March 3, 2020
Bidder's Conference #2 – Sacramento	March 6, 2020
Letter of Intent Due to the BSCC	March 13, 2020
Proposals Due to the BSCC	April 10, 2020 June 5, 2020
Proposal Rating Process and Development of Funding Recommendations	April-May 2020 June-July 2020
BSCC Board Considers Funding Recommendations	June 11, 2020 September 10, 2020
Notice to Grantees	June 12, 2020 September 11, 2020
New Grants Begin	July 1, 2020 October 1, 2020
Mandatory New Grantee Orientation in Sacramento	August or September 2020 October or November 2020 (TBD)
Local Evaluation Plan Due	December 31, 2020 March 31, 2021
Grant Project Period Ends	June 30, 2023
Final Evaluation Report & Financial Audit Due and Grant Ends	December 31, 2023

PART II: PROPOSAL INSTRUCTIONS

The following section contains pertinent information on how to complete the Request for Proposal package for the CalVIP Grant Program. Submittal instructions are included in Part I, page 1. The following items are included in Part II:

- Cover Sheet
- Proposal Checklist
- Instructions for Applicant Information Form
- Applicant Information Form
- Proposal Narrative and Budget Guidelines
 - Instructions for Proposal Narrative, Sections 1-4
 - CalVIP Budget Attachment, Section 5

*****THE REQUEST FOR PROPOSAL PACKAGE CAN BE
FOUND AT THE VERY END OF THIS ENTIRE
DOCUMENT*****

Proposal Narrative and Budget Guidelines

The five rating factors will be addressed in two separate parts, the Proposal Narrative and the Budget Attachment, as shown here:

Section	Rating Factor	Percent Value	Addressed In:
1	Description of Community Need	15%	Proposal Narrative
2	Project Description	35%	
3	Organizational Capacity and Coordination	20%	
4	Project Evaluation and Monitoring	15%	
5	Project Budget (Budget Tables & Narrative)	15%	Budget Attachment

Instructions for Proposal Narrative

The Proposal Narrative should address the first four Rating Factors – Description of Community Need, Project Description, Organizational Capacity and Coordination, and Project Evaluation and Monitoring – using each of the section headers provided in the CalVIP Proposal Narrative template. The CalVIP Proposal Narrative template can be found at the end of this document (formatted in Arial 12-point font with one-inch margins on all four sides and at 1.5-line spaced). The Proposal Narrative cannot exceed **twelve (12) numbered pages** in length.

It is up to the applicant to determine how to use the total page limit in addressing each section, however as a guide, the percent of total point value for each section is listed next to each header.

Do not include website links. Charts, tables or graphs must meet the spacing and font requirements. Applicants *may* include a one-page bibliography containing citations, using either the Modern Language Association (MLA) or American Psychological Association (APA) style. The bibliography will not be counted toward the 12-page limit **and formatting restrictions do not apply to the one-page bibliography**.

The 12-page limitation for these sections does not include the following mandatory items:

- Cover Sheet
- Proposal Checklist
- Applicant Information Form
- 1-Page Bibliography (optional)
- Budget Attachment
- Project Work Plan
- Other required Attachments (see Proposal Checklist).

Section 1: Description of Community Need (Percent of Total Value: 15%)

Address the rating factor for Description of Community Need in narrative form as defined below. The response will be evaluated with a single rating based on a scale of 1-5.

Description of Community Need: The applicant described a community need that is pertinent to the intent of the grant program. The elements that comprise the Description of Community Need are listed below. Addressing each element does not in itself merit a high rating; rather, although each element is to be addressed (when applicable), it is the quality of the response to each that is to be evaluated.

1.1	<p>Describe the need(s) in the target area and/or target population that contribute to the disproportionate impact of violence, primarily homicides, shootings, and aggravated assaults. This description of the community need should include:</p> <ul style="list-style-type: none">• a description of the existing violence in the target area.• identification of the primary factor(s) that contribute to the violence.• qualitative and quantitative data in support of the identified factor(s) (all data sources are cited).• service gaps that are connected to the identified factor(s) and/or contribute to the violence.
1.2	<p>Identify the target area and/or target population. This description should include, when appropriate:</p> <ul style="list-style-type: none">• how the target area and/or target population relate to the need(s) identified in 1.1.• the process the applicant used or will use to identify the target population, to include those individuals who are at highest risk of perpetrating violence or being victimized by violence.• the total number of high-risk individuals projected to be served.• quantitative and qualitative data to explain why the target area and/or target population was selected (all data sources are cited).

Section 2: Project Description (Percent of Total Value: 35%)

Address the rating factor for Project Description in narrative form as defined below. The response will be evaluated with a single rating based on a scale of 1-5.

Project Description: The applicant provided a description of the project that is related to the need(s) they described and the intent of the authorizing legislation. The elements that comprise the Project Description are listed below. Addressing each element does not in itself merit a high rating; rather, although each element is to be addressed, it is the <u>quality of the response</u> to each that is to be evaluated.	
2.1	<p>Describe the proposed violence reduction strategy. This description should include:</p> <ul style="list-style-type: none">• the relationship between the proposed strategy and the need(s), target area and/or target population identified in the Description of Community Need section.• a rationale to support the selection of the proposed strategy which includes relevant evidence or research supporting its use for reducing the incidence of homicides, shootings, and aggravated assaults within the target area and/or target population (may include evidence-based, evidence-informed, promising, data-driven, and/or innovative practices – see pages 13-14).• an explanation of how the proposed strategy will achieve reductions in violence without relying on mass incarceration, if applicable (per AB1603).
2.2	<p>For project participants, describe:</p> <ul style="list-style-type: none">• the plan for identifying, accessing, and serving individuals from the target population who are eligible and appropriate for participation in the strategy.• plans to overcome any inability to access and/or serve those individuals.• the strategy/strategies for maintaining sustained engagement.• the plan for selecting the services that will be received by participants (i.e., risk/needs assessment).
2.3	<p>Provide a Project Work Plan (Appendix N) that:</p> <ul style="list-style-type: none">• identifies the project's goal(s) and measurable objectives (see Appendix E for definitions) that are related to the community need(s) identified in 1.1.• identifies how the goal(s) will be achieved in terms of the activities, responsible staff/partners, and start and end dates.• is appropriate to the proposed strategy.

Section 3: Organizational Capacity and Coordination (Percent of Total Value: 20%)

Address the rating factor for Organizational Capacity and Coordination in narrative form as defined below. The response will be evaluated with a single rating based on a scale of 1-5.

Organizational Capacity and Coordination: The applicant described their organization's ability to implement the proposed project. The elements that comprise the Organizational Capacity and Coordination section are listed below. Addressing each element does not in itself merit a high rating; rather, although each element is to be addressed (when applicable), it is the <u>quality of the response</u> to each that is to be evaluated.	
3.1	Describe the experience, current staffing, and partnerships the lead agency will use to implement the proposed strategy. Include partners' letters of commitment describing involvement appropriate to the proposed strategy, if applicable. If staff and/or subcontractors are to be selected after the grant is awarded, then specify the process and criteria for selecting those staff and/or subcontractors.
3.2	Describe how the proposed violence reduction strategy/strategies will enhance coordination of existing violence prevention and intervention programs and minimize duplication of services (per AB 1603).
3.3	Describe a reasonable and realistic plan for selecting and training the staff and/or subcontractors who will deliver the proposed strategy to the target population.
3.4	Describe the culturally relevant experience of staff and subcontractors. Include any plans for involvement of formerly and/or currently system-involved individuals in the project's design and implementation. Include past and/or ongoing experience working with the target population.
3.5	Describe a plan for obtaining outside technical assistance (i.e., subject matter expertise) to implement the proposed violence reduction strategy or explain how the lead agency will ensure that the proposed strategy is implemented as intended.

Section 4: Project Monitoring and Evaluation (Percent of Total Value: 15%)

Address the rating factor for Project Monitoring and Evaluation in narrative form as defined below. The response will be evaluated with a single rating based on a scale of 1-5.

Project Monitoring and Evaluation: The applicant described how it will monitor and evaluate the effectiveness of the proposed project. The elements that comprise the Project Monitoring and Evaluation section are listed below. Addressing each element does not in itself merit a high rating; rather, although each element is to be addressed, it is the <u>quality of the response</u> to each that is to be evaluated.	
4.1	Describe your plan to determine the staff and/or entity that will conduct the project evaluation and how monitoring activities will be incorporated in the various phases of the project (e.g., start-up, implementation, service delivery period, etc.).
4.2	Identify the process and outcome indicators that are quantifiable and in line with the intent of the proposal and the objectives listed in the Work Plan.
4.3	Describe your preliminary plan for how to collect and evaluate baseline and outcome data related to the process and outcome indicators identified in 4.2. Describe a plan for entering into data sharing agreements, if necessary.

CalVIP Budget Attachment

Section 5: Project Budget (Percent of Total Value: 15%)

As part of the application process, applicants are required to submit the 2019 CalVIP Project Budget and Budget Narrative (Budget Attachment). A link to the Budget Attachment can be found at the end of this document, with the Request for Proposals instructions.

The following items are rated as a part of this section and must be addressed by the applicant in the Budget Attachment. The response will be evaluated with a single rating based on a scale of 1-5.

Project Budget: The applicant provided a complete Budget Attachment (Project Budget and Budget Narrative) for the proposed project. The elements against which the Budget Attachment will be rated are listed below. Addressing each element does not itself merit a high rating; rather, although each element is to be addressed, it is the quality of the response to each that is to be evaluated.	
5.1	Provide complete and detailed budget information with language to support each budget category, as applicable. The expenses must be appropriate to the proposed strategy.
5.2	Demonstrate how the amount of grant funds requested are commensurate with the scope of the proposal and the demonstrated need(s) for these additional resources (per AB 1603).
5.3	Describe how the dollar-for-dollar match requirement will be met (either cash or in-kind) (per AB 1603).

PART III: APPENDICES

Attachment C-1

Appendix A: CalVIP Authorizing Legislation

Assembly Bill No. 74, Chapter 23

5227-108-0001 – For local assistance, Board of State and Community Corrections

Schedule:

(1) 4945-Corrections Planning and Grant Programs	30,000,000
(a) Grants to the City of Los Angeles	(1,000,000)
(b) Competitive grants to all other cities or to community-based organizations	(29,000,000)

Provisions:

1. The Board of State and Community Corrections program awarding state grant funds from subdivisions (a) and (b) of Schedule (1) shall be named the California Violence Intervention and Prevention Grant Program (CalVIP).
2. All CalVIP grantees shall provide a 100-percent match to state grant funds awarded from subdivisions (a) and (b) of Schedule (1).
3. The amount appropriated in subdivision (b) of Schedule (1) shall be for competitive grants to cities or community-based organizations. A grant shall not exceed \$500,000, and at least two grants shall be awarded to cities with populations of 200,000 or less.
4. In awarding CalVIP grants, the Board of State and Community Corrections shall give preference to applicants in cities or regions that are disproportionately affected by violence, and shall give preference to applicants that propose to direct CalVIP funds to programs that have been shown to be the most effective at reducing violence.
5. Each city that receives a grant from subdivisions (a) and (b) of Schedule (1) shall distribute at least 50 percent of the grant funds it receives to one or more community-based organizations pursuant to the city's proposal.
6. Each city that receives a grant from subdivision (b) of Schedule (1) shall collaborate and coordinate with area jurisdictions and agencies, including the existing county juvenile justice coordination council, with the goal of reducing violence in the city and adjacent areas. Each city grantee shall also establish a coordinating and advisory council to prioritize the use of funds. Membership shall include city officials, local law enforcement, local educational agencies, local community-based organizations, and local residents.
7. Applicants for CalVIP grant funds shall include clearly defined, measurable objectives for the grant in the proposal to the Board of State and Community Corrections. CalVIP grantees shall report to the Board of State and Community Corrections regarding their progress in achieving those objectives.
8. The Board of State and Community Corrections shall report to the Legislature pursuant to Section 9795 of the Government Code within 90 days following the close of the grant cycle on the overall effectiveness of the CalVIP program.

9. Funds appropriated in this item are available for encumbrance and expenditure until June 30, 2022.
10. Upon order of the Director of Finance, up to 5 percent of the amount appropriated in Schedule (1) shall be transferred to Schedule (1) of Item 5227-001-0001 for costs to administer the CalVIP program. Funds transferred pursuant to this provision are available for encumbrance and expenditure until June 30, 2022.
11. Of the amount appropriated in subdivision (b) of Schedule (1), \$3,000,000 shall be for competitive grants to cities with populations of 40,000 or less, defined as a "rural area" in subdivision (c) of Section 50199.21 of the Health and Safety Code.

Assembly Bill No. 1603, Chapter 735

An act to add *and repeal* to of Title 10.2 (commencing with Section 14130) Part 4 of the Penal Code, relating to criminal justice.

SECTION 1. Title 10.2 (commencing with Section 14130) is line 2 added to Part 4 of the Penal Code, to read:

TITLE 10.2. CALIFORNIA VIOLENCE INTERVENTION AND PREVENTION GRANT PROGRAM

14130. This title shall be known and may be cited as the Break the Cycle of Violence Act.

14131.

(a) The California Violence Intervention and Prevention Grant Program (CalVIP) is hereby created to be administered by the Board of State and Community Corrections.

(b) The purpose of CalVIP is to improve public health and safety by supporting effective violence reduction initiatives in communities that are disproportionately impacted by violence, particularly group-member involved homicides, shootings, and aggravated assaults.

(c) CalVIP grants shall be used to support, expand, and replicate evidence-based violence reduction initiatives, including, without limitation, hospital-based violence intervention programs, evidence-based street outreach programs, and focused deterrence strategies, that seek to interrupt cycles of violence and retaliation in order to reduce the incidence of homicides, shootings, and aggravated assaults. These initiatives shall be primarily focused on providing violence intervention services to the small segment of the population that is identified as having the highest risk of perpetrating or being victimized by violence in the near future.

(d) CalVIP grants shall be made on a competitive basis to cities that are disproportionately impacted by violence, and to community-based organizations that serve the residents of those cities.

(e) For purposes of this section, a city is disproportionately impacted by violence if any of the following are true:

(1) The city experienced 20 or more homicides per calendar year during two or more of the three calendar years immediately preceding the grant application for which the Department of Justice has available data.

(2) The city experienced 10 or more homicides per calendar year and had a homicide rate that was at least 50 percent higher than the statewide homicide rate during two or more of the three calendar years immediately preceding the grant application for which the Department of Justice has available data.

(3) An applicant otherwise demonstrates a unique and compelling need for additional resources to address the impact of homicides, shootings, and aggravated assaults in the applicant's community.

(f) An applicant for a CalVIP grant shall submit a proposal, in a form prescribed by the board, which shall include, but not be limited to, all of the following:

(1) Clearly defined and measurable objectives for the grant.

(2) A statement describing how the applicant proposes to use the grant to implement an evidence-based violence reduction initiative in accordance with this section.

(3) A statement describing how the applicant proposes to use the grant to enhance coordination of existing violence prevention and intervention programs and minimize duplication of services.

(4) Evidence indicating that the proposed violence reduction initiative would likely reduce the incidence of homicides, shootings, and aggravated assaults.

(g) In awarding CalVIP grants, the board shall give preference to applicants whose grant proposals demonstrate the greatest likelihood of reducing the incidence of homicides, shootings, and aggravated assaults in the applicant's community, without contributing to mass incarceration.

(h) The amount of funds awarded to an applicant shall be commensurate with the scope of the applicant's proposal and the applicant's demonstrated need for additional resources to address violence in the applicant's community.

(1) Notwithstanding Provision 3 of Item 5227-108-0001 of Section 2.00 of the Budget Act of 2019 (Chapter 23 of the Statutes of 2019), the Board of State and Community Corrections may award competitive grants in amounts not to exceed one million five hundred thousand dollars (\$1,500,000) per applicant per grant cycle. The length of the grant cycle shall be determined by the board.

(2) The board shall award at least two grants to cities with populations of 200,000 or less.

(i) Each grantee shall commit a cash or in-kind contribution equivalent to the amount of the grant awarded under this section.

(j) Each city that receives a CalVIP grant shall distribute no less than 50 percent of the grant funds to one or more of any of the following types of entities:

(1) Community-based organizations.

(2) Public agencies or departments, other than law enforcement agencies or departments, that are primarily dedicated to community safety or violence prevention.

(k) The board shall form a grant selection advisory committee including, without limitation, persons who have been impacted by violence, formerly incarcerated persons, and persons with

direct experience in implementing evidence-based violence reduction initiatives, including initiatives that incorporate public health and community-based approaches.

(l) The board may use up to 5 percent of the funds appropriated for CalVIP each year for the costs of administering the program including, without limitation, the employment of personnel, providing technical assistance to grantees, and evaluation of violence reduction initiatives supported by CalVIP.

(m) Each grantee shall report to the board, in a form and at intervals prescribed by the board, their progress in achieving the grant objectives.

(n) The board shall, by no later than 90 days following the close of each grant cycle, prepare and submit a report to the Legislature in compliance with Section 9795 of the Government Code regarding the impact of the violence prevention initiatives supported by CalVIP.

(o) The board shall make evaluations of the grant program available to the public.

This title shall remain in effect only until January 1, 2025, and as of that date is repealed.

Attachment C-1

Appendix B: Criteria for Non-Governmental Organizations Receiving BSCC Grant Funds

(Page 1 of 2)

The 2019 CalVIP Request for Proposals (RFP) includes requirements that apply to non-governmental organizations that receive funds under this grant. All grantees are responsible for ensuring that any contracted third parties continually meet these requirements as a condition of receiving 2019 CalVIP funds. The RFP describes these requirements as follows:

Any non-governmental organization that receives CalVIP grant funds (as either a direct grantee, subgrantee or subcontractor) must:

- Have been duly organized, in existence, and in good standing at least six months before entering into a fiscal agreement with the BSCC or with the CalVIP grantee;
- In either instance (applicant or subgrantee), non-governmental entities that have recently reorganized or have merged with other qualified non-governmental entities that were in existence prior to the six-month date are also eligible, provided all necessary agreements have been executed and filed with the California Secretary of State prior to the start date of the grant agreement or subcontractor;
- Be registered with the California Secretary of State's Office, if applicable;
- Have a valid business license, Employer Identification Number (EIN), or Taxpayer ID (if sole proprietorship);
- Have any other state or local licenses or certifications necessary to provide the services requested (e.g., facility licensing by the Department of Health Care Services), if applicable; and
- Have a physical address.

In the table below, provide the name of the Grantee and list all contracted parties.

Grantee:

Name of Contracted Party	Address	Email / Phone	Meets All Requirements
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>

Grantees are required to update this list and submit it to the BSCC any time a new third-party contract is executed after the initial assurance date. Grantees shall retain (on-site) applicable source documentation for each contracted party that verifies compliance with the requirements listed in the 2019 CalVIP RFP. These records will be subject to the records and retention language found in Appendices A and C of the Standard Agreement.

Unless prior approval is obtained, the BSCC prohibits disbursement or reimbursement to any NGO that does not meet the requirements listed above and for which the BSCC does not have a signed grantee assurance on file.

A signature below is an assurance that all requirements listed above have been met.

AUTHORIZED SIGNATURE (This document must be signed by the person who is authorized to sign the Grant Agreement.)			
NAME OF AUTHORIZED OFFICER	TITLE		TELEPHONE
STREET ADDRESS	CITY	STATE	ZIP CODE
EMAIL ADDRESS			
SIGNATURE x			DATE

Appendix C: CalVIP Executive Steering Committee

CalVIP Executive Steering Committee for Grant Cycle from July 1, 2020 to December 31, 2023

	Name	Title & Organizational Affiliation	From
1	Chief Andy Mills, Chair	Chief of Police, City of Santa Cruz & Board Member, BSCC	Santa Cruz
2	Amanda Benson	Chief Assistant Public Defender Sacramento County Public Defender's Office	Sacramento
3	Norchelle Brown	Policy Assistant U.S. House of Representatives	Los Angeles
4	Michelle Scray Brown	Chief Probation Officer San Bernardino County	San Bernardino
5	Rev. Dr. Charles Dorsey	The Dorsey Group, LLC	Long Beach
6	Erinn Herberman, PhD	Research Director San Diego County Probation Department	San Diego
7	Stephen Lindley	Brady: United Against Gun Violence	San Diego
8	DeAngelo Mack	Director of State Policy Public Health Advocates	Sacramento
9	Julio Marcial	Director, Youth Justice Liberty Hill Foundation	Los Angeles
10	Leannandra Martinez	Intake Specialist First Place for Youth	Oakland
11	Mike McLively	Senior Staff Attorney Giffords Law Center to Prevent Gun Violence	San Francisco
12	Stacy Alamo Mixson	Chief, Safe and Active Communities Branch California Department of Public Health	Sacramento
13	Daniel J. Orth	Program Officer, Kroc Institute for Peace & Justice University of San Diego	San Diego
14	Phal Sok	Youth Justice Coalition	Los Angeles
15	Steve Stavropoulos	Assistant Chief Deputy Sacramento County Probation Department	Sacramento

Appendix D: BSCC's Crime Data Analysis

In defining “disproportionately impacted by violence,” the CalVIP ESC used the definitions provided AB 1603. AB 1603 states that a city is considered to be disproportionately impacted by violence if any of the following are true:

1. The city experienced 20 or more homicides per calendar year during two or more of the three calendar years immediately preceding the grant application for which the Department of Justice has available data.
2. The city experienced 10 or more homicides per calendar year [during two or more of the three calendar years immediately preceding the grant application] and had a homicide rate that was at least 50 percent higher than the statewide homicide rate during two or more of the three calendar years immediately preceding the grant application for which the Department of Justice has available data.
3. An applicant otherwise demonstrates a unique and compelling need for additional resources to address the impact of homicides, shootings, and aggravated assaults in the applicant's community.

Under the definitions provided in criteria 1 and 2 from AB 1603, the BSCC identified 20 cities that were considered disproportionately impacted by violence and therefore eligible to apply for the grand funds.

After reviewing the number of homicides and homicide rates across California cities, the CalVIP ESC determined a city had a “unique and compelling need” if the city experienced 7 or more homicides per calendar year during two or more of the three calendar years immediately preceding the grant application **and** had a homicide rate that was at least 25 percent higher than the statewide homicide rate during two or more of the three calendar years immediately preceding the grant application for which the Department of Justice has available data.

Using this definition of “unique and compelling need,” the BSCC identified an additional nine cities that were considered disproportionately impacted by violence. This brought the total number of cities eligible to apply for the general funds to 29.

For “rural” cities (populations of 40,000 or less), the CalVIP ESC considered crime data reported to the California Department of Justice by law enforcement agencies during 2016, 2017, and 2018. The ESC decided that “rural” cities would be eligible to apply for grant funds if the city ranked within the top five percent in 1 or more violent crimes. Average crime rate (i.e. reported crimes per 100,000 citizens) across the three years leading up to the grant was used as the primary measure. Researchers calculated the average rate of crimes and ranked those rates for three of the four crimes classified as “violent” by the Federal Bureau of Investigation's Uniform Crime Reporting (UCR) Program. These include (1) homicide, (2) robbery, and (3) aggravated assault.

Note that as part of the analysis of the crime rate data, the BSCC identified the cities with crime rates for one or more violent crimes that were significantly higher than most cities (i.e., outliers). The distribution of crime rates for each violent crime was “skewed” by these outliers, causing the mean crime rate and average spread of crime rates to be higher. To minimize the impact of these outliers, an adjusted list of cities which did not include the outliers (i.e., cities with a high

crime rate for a specific violent crime) was used to determine the criteria for eligibility for cities with populations of 40,000 or less.

After the cutoff for the top five (5) percent of cities was established for each crime type, the cutoff points were applied to all the cities, including those with extreme crime rates. The BSCC identified 66 cities that qualified under the eligibility requirements set for rural cities.

The analysis of crime data was restricted to the 457 cities contained in both the California Department of Finance E-4 report (*Population Estimates for Cities, Counties, and the State, 2011-2019, with 2010 Benchmark*) and the Department of Justice's Open Justice report (*Crimes and Clearances with Arson – 1985-2018*).

The following 31 cities were not included in the analysis, as data was absent from one of the data bases used: Amador, Bear Valley, Blue Lake, Broadmoor, Calipatria, Colfax, Corte Madera, Half Moon Bay, Kensington, Lake Shastina, Larkspur, Lathrop, Live Oak, Loomis, Loyalton, Maricopa, Millbrae, Plymouth, Point Arena, Portola, Portola Valley, San Anselmo, San Carlos, San Joaquin, San Juan Bautista, Shasta Lake, Stallion Springs, Tehama, Trinidad, Wasco, and Woodside. Should one of these 31 cities submit a proposal, BSCC will contact that city directly and gather the relevant statistics to ascertain whether it qualifies for eligibility.

Attachment C

Appendix E: Glossary of Terms and Resources

Case Management

The Commission for Case Manager Certification defines case management as a collaborative process that assesses, plans, implements, coordinates, monitors, and evaluates the options and services required to meet the client's health and human service needs. It is characterized by advocacy, communication, and resource management and promotes quality and cost-effective interventions and outcomes.

Case management is an area of specialty practice within the health and human services professions. Its underlying premise is that everyone benefits when clients reach their optimum level of wellness, self-management, and functional capability. Case management facilitates the achievement of client wellness and autonomy through advocacy, assessment, planning, communication, education, resource management and service facilitation. Based on the needs and values of the client, and in collaboration with all service providers, the case manager links clients with appropriate providers and resources throughout the continuum of health and human services and care settings, while ensuring that the care provided is safe, effective, client-centered, timely, efficient, and equitable. This approach achieves optimum value and desirable outcomes for all stakeholders.

Cognitive Behavioral Therapy

According to the American Psychological Association, cognitive behavioral therapy (CBT) is a form of psychological treatment that has been demonstrated to be effective for a range of problems including depression, anxiety disorders, alcohol and drug use problems, marital problems, eating disorders and severe mental illness. Numerous research studies suggest that CBT leads to significant improvement in functioning and quality of life. In many studies, CBT has been demonstrated to be as effective as, or more effective than, other forms of psychological therapy or psychiatric medications.

It is important to emphasize that advances in CBT have been made on the basis of both research and clinical practice. Indeed, CBT is an approach for which there is ample scientific evidence that the methods that have been developed actually produce change. In this manner, CBT differs from many other forms of psychological treatment.

CBT is based on several core principles, including:

1. Psychological problems are based, in part, on faulty or unhelpful ways of thinking.
2. Psychological problems are based, in part, on learned patterns of unhelpful behavior.
3. People suffering from psychological problems can learn better ways of coping with them, thereby relieving their symptoms and becoming more effective in their lives.

CBT treatment usually involves efforts to change thinking patterns. These strategies might include:

- Learning to recognize one's distortions in thinking that are creating problems, and then to reevaluate them in light of reality.
- Gaining a better understanding of the behavior and motivation of others.

- Using problem-solving skills to cope with difficult situations.
- Learning to develop a greater sense of confidence in one's own abilities.

CBT treatment also usually involves efforts to change behavioral patterns. These strategies might include:

- Facing one's fears instead of avoiding them.
- Using role playing to prepare for potentially problematic interactions with others.
- Learning to calm one's mind and relax one's body.
- Not all CBT will use all of these strategies. Rather, the psychologist and patient/client work together, in a collaborative fashion, to develop an understanding of the problem and to develop a treatment strategy.

CBT places an emphasis on helping individuals learn to be their own therapists. Through exercises in the session as well as “homework” exercises outside of sessions, patients/clients are helped to develop coping skills, whereby they can learn to change their own thinking, problematic emotions and behavior.

CBT therapists emphasize what is going on in the person's current life, rather than what has led up to their difficulties. A certain amount of information about one's history is needed, but the focus is primarily on moving forward in time to develop more effective ways of coping with life.

Cultural Competence

Cultural competence² is a set of congruent behaviors, attitudes, and policies that come together in a system, agency or among professionals and enable that system, agency or those professions to work effectively in cross-cultural situations.

The word **culture** is used because it implies the integrated pattern of human behavior that includes thoughts, communications, actions, customs, beliefs, values and institutions of a racial, ethnic, religious or social group. The word **competence** is used because it implies having the capacity to function effectively. Five essential elements contribute to a system's institution's, or agency's ability to become more culturally competent which include:

1. Valuing diversity
2. Having the capacity for cultural self-assessment
3. Being conscious of the dynamics inherent when cultures interact
4. Having institutionalized culture knowledge
5. Having developed adaptations to service delivery reflecting an understanding of cultural diversity

These five elements should be manifested at every level of an organization including policy making, administrative, and practice. Further these elements should be reflected in the attitudes, structures, policies and services of the organization.

² Cross, T., Bazron, B., Dennis, K., & Isaacs, M., (1989). *Towards A Culturally Competent System of Care, Volume I*. Washington, DC: Georgetown University Child Development Center, CASSP Technical Assistance Center.

Diversion

In the context of criminal law, diversion refers to diverting an individual out of the criminal justice system by having them complete a diversion program rather than be incarcerated or serve another alternative sentence. Criminal charges are typically dropped when an individual successfully completes a diversion program. The purpose of a diversion program is to effect rehabilitation while avoiding the stigma of a criminal conviction.

A diversion program allows the individual to avoid prosecution by completing various requirements for the program. These requirements could include:

1. Education aimed at preventing future offenses by the offender;
2. Restitution to victims of the offense;
3. Completion of community service hours;
4. Avoiding situations for a specified period of time in the future that may lead to committing another such offense.

Diversion programs are usually only available to individuals charged with misdemeanors and nonviolent felonies involving drugs or alcohol. In some jurisdictions, diversion may be available to individuals charged with domestic violence, child abuse or neglect, traffic-related offenses, or even writing bad checks. Diversion programs are primarily governed by state laws, which vary by state.

Evaluation: Process Evaluation versus Outcome Evaluation

Process Evaluation³

The purpose of the process evaluation is to assess how program activities are being carried out in accordance with goals and objectives. Process measures are designed to answer the question: “What is the program actually doing and is this what we planned it to do?” Examples of process measures could include:

- Project staff have been recruited, hired and trained according to the proposal.
- Activities/strategies have been implemented on time according to the proposal.
- Number of interagency agreements entered into by the program compared to the number planned.
- Number of trainings conducted.
- Number of neighborhood meetings conducted.

• Outcome Evaluation⁴

The purpose of the outcome evaluation is to identify whether the program “worked” in terms of achieving its goals and objectives. Outcome measures are designed to answer the question: “What results did the program produce?” Examples of outcome measures include:

³Justice Research and Statistics Association, Juvenile Justice Evaluation Center. (2003, June). *Juvenile Justice Program Evaluation: An overview (Second Edition)* p. 7. Retrieved from www.jrsa.org/pubs/juv-justice/program-evaluation.pdf

⁴ *Id* at pp. 7-8.

- Results of pre/post surveys (e.g., changes in the reported confidence/trust in law enforcement among community members).
- Implementation of regular, ongoing community forums where law enforcement/community dialogue takes place.
- Changes in policies at the Lead Agency level to reflect procedural justice principles.

In an evidence-based practice approach, outcome evaluations must include not only the measures but also analysis of the extent to which the measured results can be attributed to the program rather than to coincidence or alternative explanations.

Focused Deterrence

Focused deterrence strategies are problem-oriented strategies that follow the core principles of deterrence theory. The strategies target specific criminal behavior committed by a small number of chronic offenders who are vulnerable to sanctions and punishment. Offenders are directly confronted and informed that continued criminal behavior will not be tolerated. Targeted offenders are also told how the criminal justice system (such as the police and prosecutors) will respond to continued criminal behavior; mainly that all potential sanctions, or levers, will be applied. The deterrence-based message is reinforced through crackdowns on offenders, or groups of offenders (such as gang members), who continue to commit crimes despite the warning. In addition to deterring violent behavior, the strategies also reward compliance and nonviolent behavior among targeted offenders by providing positive incentives, such as access to social services and job opportunities.

Focused deterrence strategies generally target a specific type or group of offenders, such as youth gang members or repeat violent offenders. Many focused deterrence interventions have primarily targeted incidents of homicide and serious violence (criminal activities that usually involve chronic offenders) in urban settings (Kennedy 2006). Some strategies have focused on eliminating public forms of drug dealing (such as street markets and crack houses). These strategies are known as drug market interventions and they work by warning dealers, buyers, and their families that enforcement is imminent.

The focused deterrence framework was developed in Boston during the 1990s. Operation Ceasefire (Boston) was a problem-oriented policing project to stop serious gang violence by directly communicating to gang members that violence would no longer be tolerated and backing up that message by “pulling every lever” legally available when violence occurred. At the same time, youth workers, probation and parole officers, and other community-based organizations offered services and resources to gang members.

At a general level, the approach of focused deterrence strategies includes the following:

1. Selecting a particular crime problem (such as youth homicide);
2. Convening an interagency working group that may include law enforcement, social service, and community-based practitioners;
3. Developing a response to offenders or groups of offenders that uses a variety of sanctions (“pulling levers”) to stop continued violent behavior;

4. Focusing social services and community resources on target offenders to match the prevention efforts by law enforcement; and
5. Directly and continually communicating with offenders to make them understand why they are receiving special attention.

For more information on focused deterrence, please review the links below:

1. [https://www.crimesolutions.gov/Practice Profile Details](https://www.crimesolutions.gov/Practice_Profile_Details)
2. [National Network for Safe Communities - Group Violence Intervention: An Implementation Guide](#)
3. [National Network for Safe Communities - Custom Notifications](#)
4. [The National Network for Safe Communities – Drugs, Race, and Common Ground: Reflections on the High Point Intervention](#)

Goal versus Objective

Goals and objectives are terms in common use, sometimes used interchangeably because both refer to the intended results of program activities. Goals are longer-term than objectives, more broadly stated and govern the specific objectives to which program activities are directed.

In proposals, goals are defined by broad statements of what the program intends to accomplish, representing the long-term intended outcome of the program⁵.

Examples of goal statements⁶:

- To reduce the number of serious and chronic juvenile offenders.
- To divert nonviolent juvenile offenders from state juvenile correctional institutions.
- To restore the losses suffered by the victims of crimes.

Objectives are defined by statements of specific, measurable aims of program activities⁷. Objectives detail the tasks that must be completed to achieve goals⁸. Descriptions of objectives in the proposals should include three elements⁹:

- 1) Direction – the expected change or accomplishment (e.g., improve, maintain);
- 2) Timeframe – when the objective will be achieved; and
- 3) Target Population– who is affected by the objective.

⁵ Justice Research and Statistics Association, Juvenile Justice Evaluation Center. (2003, June). *Juvenile Justice Program Evaluation: An overview (Second Edition)*. Retrieved from www.jrsa.org/pubs/juv-justice/program-evaluation.pdf. See also New York State Division of Criminal Justice Services. *A Guide to Developing Goals and Objectives for Your Program*. Retrieved from <http://www.criminaljustice.ny.gov/ofpa/goalwrite.htm>.

⁶ *Id.* at p. 4.

⁷ National Center for Justice Planning. Overview of Strategic Planning. *Where Do We Want to Be? Goals and Objectives*. Retrieved from <https://web.archive.org/web/20180116031203/http://ncjp.org/strategic-planning/overview/where-do-we-want-to-be/goals-objectives>.

⁸ *Id.*; see *supra* fn 1.

⁹ Justice Research and Statistics Association, Juvenile Justice Evaluation Center. (2003, June). *Juvenile Justice Program Evaluation: An overview (Second Edition)* p. 5. Retrieved from www.jrsa.org/pubs/juv-justice/program-evaluation.pdf

Examples of program objectives¹⁰:

- By the end of the program, young, drug-addicted juveniles will recognize the long-term consequences of drug use.
 - To place eligible juveniles in an intensive supervision program within two weeks of adjudication to ensure offender accountability and community safety.
- To ensure that juvenile offenders carry out all of the terms of the mediation agreements they have worked out with their victims by program completion.

Hospital-Based Violence Intervention Programs (www.nnhvip.org)

Hospital-based violence intervention programs (HVIPs) vary in the specifics of their design and scope, but typically include a brief intervention in the emergency department or at hospital bedside and post-discharge intensive community-based case management services. HVIP services are provided by culturally competent Violence Prevention Professionals who often also serve in a mentorship capacity. HVIPs are rooted in the philosophy that violence is preventable, and that violent injury offers a “teachable moment” and unique opportunity to break cycles of violence. HVIPs embrace a public health approach to violence prevention as they are grounded in data, which indicate that victims of violence are at elevated risk for re-injury and violence perpetration. This model has been the subject of numerous peer-reviewed studies indicating promising impact on injury recidivism, criminal justice contact, and trauma symptoms. HVIPs are now a recommended practice by the federal government.

This strategy aims to (1) provide trained crisis intervention and long-term case management and mentoring home visits and follow-up assistance to youth who are hospitalized for violent injuries, on probation, or identified as being highly at risk for dropout or suspension from school, as well as to their family and friends; (2) prevent retaliatory violence and reduce the total number of youth injured by interpersonal violence; (3) reduce reentry into the hospital and the criminal justice system; (4) prevent dropout and suspension from school for violent incidents; (5) link youth with local resources that help them live nonviolent lifestyles; and (6) provide positive peer role models and promote positive alternatives to violence.

For more information on hospital-based intervention, please review the links below:

1. National Network of Hospital-based Violence Intervention Programs: www.nnhvip.org
2. [Key Components of Hospital-based Violence Intervention Programs](#)
3. The Health Alliance for Violence Intervention: www.thehavi.org

Mentoring

For more information on mentoring, please review the links below:

1. [The Center for Evidence-Based Mentoring](#)
2. [Mentor Resources and Publications](#)
3. [How to Start a Mentoring Program](#)

¹⁰ *Id.*

Police/Community Trust Building

According to the U.S. Department of Justice, Community Oriented Policing Services (COPS) Office, building trust with the community is fundamental to effective policing. Sound conduct by police improves community interactions, enhances communication, and promotes shared responsibility for addressing crime and disorder.

Police departments can repair and strengthen community relationships by understanding and training officers on three key concepts: procedural justice, bias reduction, and racial reconciliation. Together and when implemented, these concepts create an environment in which effective partnerships between the police and citizens can flourish. The COPS Office provides these resources to ensure building trust is embedded in the culture of policing.

For additional resources on this topic, please review the links below:

1. [U.S. Department of Justice, COPS Office](#)
2. [Vera Institute of Justice](#)
3. [National League of Cities](#)
4. [International City/County Management Association](#)
5. [Urban Institute](#)

Principles of Effective Intervention

During the past two decades, there has been renewed interest in examining correctional research. These efforts have been led by researchers such as Gendreau, Andrews, Cullen, Lipsey and others.¹¹ Much evidence has been generated, leading to the conclusion that many rehabilitation programs have, in fact, produced significant reductions in recidivism. The next critical issue became the identification of those characteristics most commonly associated with effective programs. Through the work of numerous scholars (Andrews et al., 1990¹²; Cullen and Gendreau, 2000¹³; Lipsey 1999¹⁴), several “principles of effective intervention” have been identified. These principles can be briefly categorized as the following:

- Assess Actuarial Risk/Needs
- Enhance Intrinsic Motivation
- Target Interventions
 - Risk Principle
 - Need Principle
 - Responsivity Principle
 - Dosage

¹¹ For a thorough review of this research, see Cullen, F.T. and B.K. Applegate. 1998. Offender rehabilitation: Effective correctional intervention. Brookfield, Vt.: Ashgate Dartmouth.

¹² Andrews, D.A., I. Zinger, R.D. Hoge, J. Bonta, P. Gendreau and F.T. Cullen. 1990. Does correctional treatment work? A clinically relevant and psychologically informed meta-analysis. *Criminology* 28(3):369-404.

¹³ Cullen, F.T. and P. Gendreau. 2000. Assessing correctional rehabilitation: Policy, practice, and prospects. In *Criminal justice 2000: Volume 3 – Policies, processes, and decisions of the criminal justice system*, ed. J. Horney, 109-175. Washington, D.C.: U.S. Department of Justice, National Institute of Justice.

¹⁴ Lipsey, M.W. 1999. Can intervention rehabilitate serious delinquents? *The Annals of the American Academy of Political and Social Science*, 564(2):142-166.

- Treatment Principle
- Skill Train with Directed Practice
- Increase Positive Reinforcement
- Engage Ongoing Support in Natural Communities
- Measure Relevant Processes/Practices
- Provide Measurement Feedback

Street Outreach

Street outreach typically occurs inside the framework of a cooperative relationship with other agencies, including probation, law enforcement, social services and schools. Outreach workers are referred to as “street” outreach workers because their work is not office-based or even institutional- or school-based, but occurs primarily in the targeted neighborhoods, at the street and home level. The model relies on the use of culturally appropriate staff that respond to shootings to prevent retaliation and detect and resolve conflicts that are likely to lead to shootings. They develop relationships with high risk individuals who are likely to engage in gun violence and link them with resources such as education and job training. Staff collaborates with neighborhood organizations and other community groups to organize neighborhood events and public education activities that promote a no-shooting message. The strategy aims to change behaviors, attitudes, and social norms directly related to gun violence.

Example of Street Outreach: The Cure Violence Approach (www.cvg.org)

Cure Violence is an example of a street outreach model that is used around the country. The Cure Violence model was developed in 1995 by the Chicago Project for Violence Prevention, under the auspices of the University of Illinois at Chicago's School of Public Health. Cure Violence takes a public health approach to stopping shootings and killings, focusing on interrupting violence and the transmission of norms that promote it.

Using a multi-pronged approach to prevent shootings involving youth and young adults from ages 14-25, the model relies on the use of culturally appropriate staff who respond to shootings to prevent retaliation and detect and resolve conflicts that are likely to lead to shootings. They develop relationships with high risk individuals who are likely to engage in gun violence and link them with resources such as education and job training. Staff collaborates with neighborhood organizations and other community groups to organize neighborhood events and public education activities that promote a no-shooting message. The strategy aims to change behaviors, attitudes, and social norms directly related to gun violence.

Cure Violence stops the spread of violence by using the methods and strategies associated with disease control:

1. Detecting and interrupting conflicts

Trained violence interrupters and outreach workers prevent shootings by identifying and mediating potentially lethal conflicts in the community and following up to ensure that the conflict does not reignite.

- a. Prevent Retaliations: Whenever a shooting happens, trained workers immediately work in the community and at the hospital to cool down emotions

- and prevent retaliations – working with the victims, friends and family of the victim, and anyone else is connected with the event.
- b. Mediate Ongoing Conflicts: Workers identify ongoing conflicts by talking to key people in the community about ongoing disputes, recent arrests, recent prison releases, and other situations and use mediation techniques to resolve them peacefully.
 - c. Keep Conflicts ‘Cool’: Workers follow up with conflicts for as long as needed, sometimes for months, to ensure that the conflict does not become violent.
2. Identifying and treating the highest risk individuals
- Trained, culturally-appropriate outreach workers work with the highest risk individuals to make them less likely to commit violence by meeting them where they are at, talking to them about the costs of using violence, and helping them to obtain the social services they need – such as job training and drug treatment.
- a. Access Highest Risk: Workers utilize their trust with high-risk individuals to establish contact, develop relationships, begin to work with the people most likely to be involved in violence.
 - b. Change Behaviors: Workers engage with high-risk individuals to convince them to reject the use of violence by discussing the cost and consequences of violence and teaching alternative responses to situations.
 - c. Provide Treatment: Workers develop a caseload of clients who they work with intensively – seeing several times a week and assisting with their needs such as drug treatment, employment, leaving gangs.
3. Mobilizing the community to change norms
- Workers engage leaders in the community as well as community residents, local business owners, faith leaders, service providers, and the high risk, conveying the message that the residents, groups, and the community do not support the use of violence.
- a. Respond to Every Shooting: Whenever a shooting occurs, workers organize a response where dozens of community members voice their objection to the shooting.
 - b. Organize Community: Workers coordinate with existing and establish new block clubs, tenant councils, and neighborhood associations to assist.
 - c. Spread Positive Norms: Program distributes materials and hosts events to convey the message that violence is not acceptable.

Appendix F: Sample Letter of Commitment for Key Partners

If the success of the proposed strategy relies on the participation of an outside agency or organization – that is, if an entity other than the applicant is to play a necessary or critical role in project implementation – the applicant must include a Letter of Agreement, Letter of Commitment or other signed written agreement to demonstrate that the outside agency is aware of the proposed partnership and agrees to participate.

[to be submitted on letterhead of the OUTSIDE ENTITY]

To: Board of State and Community Corrections

Re: California Violence Intervention & Prevention (CalVIP) Grant

Date: [must be within 3 months of proposal submission]

This letter is being submitted to document that [NAME OF THE OUTSIDE ENTITY] agrees to partner on the CalVIP grant proposal being submitted by [NAME OF APPLICANT].

As a part of this grant, [NAME OF OUTSIDE ENTITY] agrees to [DESCRIBE THE NATURE OF THE PARTNERSHIP, I.E. WHAT THE OUTSIDE ENTITY IS AGREEING TO DO, ETC.].

Signed by,

Name, Title

[must be the Executive Officer, Department Head or other Authorized Representative of the Outside Entity]

Appendix G: Commitment to Coordinated Violence Reduction Efforts

For City Applicants Only

Assembly Bill 74 (Statutes of 2019, Chapter 23) mandates that: "Each city that receives a CalVIP grant shall collaborate and coordinate with area jurisdictions and agencies, including the existing county juvenile justice coordination council, with the goal of reducing violence in the city and adjacent areas." In the space below, please explain how your city will fulfill this obligation if selected for CalVIP funding:

Attachment C-1

A signature below is an assurance that the city will fulfill this obligation if selected for CalVIP funding:

AUTHORIZED SIGNATURE (This document must be signed by the person who is authorized to sign the Grant Agreement.)			
NAME OF AUTHORIZED OFFICER	TITLE	TELEPHONE NUMBER	EMAIL ADDRESS
STREET ADDRESS		CITY	STATE ZIP CODE
APPLICANT'S SIGNATURE (Blue Ink Only) X			DATE

Appendix H: CalVIP Coordinating & Advisory Council Membership

For City Applicants Only

Assembly Bill 74 (Statutes of 2019, Chapter 23) mandates that: "Each city grantee shall also establish a coordinating and advisory council to prioritize the use of the funds. Membership shall include city officials, local law enforcement, local educational agencies, local community-based organizations, and residents." This can be an existing group but must convene separately for the purpose of prioritizing the use of CalVIP funds.

Please list the members of the coordinating and advisory council that prioritized the use of CalVIP funds as reflected in this proposal. Include name, title, organization, email address and signature (**e-signatures are acceptable**). This document shall be considered public record. As such, do not include confidential information.

	Name	Title	Business Email Address	Signature
		Organization		
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

Appendix I: Local Evaluation Plan & Local Evaluation Report

California Violence Intervention & Prevention (CalVIP) Grant Preliminary Guidelines for the LOCAL EVALUATION PLAN

The California Violence Intervention & Prevention (CalVIP) Request for Proposals requires each grantee to submit a Local Evaluation Plan (LEP) to ensure that projects funded by the BSCC can be evaluated to determine their impact and effectiveness. The LEP should be developed prior to service delivery by program staff using a collaborative process that involves all relevant project stakeholders. Grantees are encouraged to identify a researcher who can assist in the collaborative process of developing the LEP and guide the local evaluation throughout the grant funding period. These guidelines were developed to assist grantees in creating a LEP that, at a minimum, addresses the information defined below.

Implementing practices and strategies that can be supported by data should be a consideration wherever possible. BSCC is responsible for verifying that grant money is spent efficiently and on effective programs. Data is just one mechanism by which to do that. Your data results may be used to add to the body of knowledge regarding what works with the target populations. Therefore, be cognizant to collect appropriate and consistent data.

BSCC will make public the LEP submitted by each grantee. Plans may be posted to the BSCC website and/or developed into a statewide summary report to be shared with the Administration, the Legislature and the public.

Project Background

- Information essential to understanding the grantee's project.
- A description of how the project matches the theory behind its development.
- A description of the project goals and measurable objectives identified in the proposal.

Evaluation Methods and Design

Describe the research design that will be used to evaluate the conduct (process evaluation¹⁵) and the effectiveness (outcome evaluation¹⁶) of the program. This section should include:

- A description of the research design for the process evaluation.
 - Document how the activities in the proposal will be carried out.
 - Describe the process variables and how they will be measured and defined.
 - Describe procedures ensuring that a program will be implemented to fidelity, when applicable.
- A description of the research design for the outcome evaluation.
 - Describe criteria for participant eligibility and comparison group(s), including the comparison group eligibility criteria.

¹⁵ See page 49 of the RFP for additional information on process and outcome evaluations.

¹⁶ Ibid.

- Define outcome measures.
- Describe measurement instruments, programs and interventions.
- Include a definition of successful program completion.
- Provide a rationale for determining whether outcomes are due to the project and not some other factor(s) unrelated to the project.
- For both the process and outcome evaluation, describe what data will be collected, their data source(s), and data collection methods (tools used to collect the data, frequency, and who and where the data will be collected).
- If multiple types of interventions will be employed, describe how the separate effects on outcome variables of each type of the intervention will be determined, if possible. If not possible, explain how the results will be interpreted given that outcomes might be due to complex interactions among interventions.

A Logic Model

Provide a visual representation of the project depicting the logical relationships between the input/resources, activities, outputs, outcomes and impacts of the project.

California Violence Intervention & Prevention (CalVIP) Grant Preliminary Guidelines for LOCAL EVALUATION REPORT

The California Violence Intervention & Prevention (CalVIP) Request for Proposals requires each grantee to submit a 3-Year Local Evaluation Report (LER) to determine project results, document definitive evidence regarding the project's efficacy and overall impact and assess whether or not the project achieved its intended goals and objectives. The LER must be based on the Local Evaluation Plan (LEP) submitted at the start of the grant. Any modifications to the LEP must be explained. These guidelines were developed to assist grantees in writing a LER that at a minimum, addresses the required information defined below.

The LER will be the documentation for what your organization did with the support of grant funds. BSCC will use these reports to help verify that the grant money was invested wisely and to describe the impact the grant had on the participants. Assuming the projects have successful outcomes, other organizations may want to adopt the project strategies or interventions you have demonstrated to be effective. Therefore, the report should include enough information to allow other organizations to replicate them.

The BSCC will make public the LER submitted by each grantee. Reports may be posted to the BSCC website and/or developed into a statewide summary report to be shared with the Administration, the Legislature and the public.

Executive Summary

The Executive Summary should be a synopsis of the project explaining: the project purpose; goals and objectives, including the extent to which they were achieved; research design; major findings, including unintended outcomes (positive and negative);

project accomplishments; barriers faced, how they were overcome; lessons learned; and conclusions.

Project Background

- Information essential to understanding the grantee's project.
- A description of the project goals and measurable objectives identified in the proposal.

Evaluation Method and Design

- A description of the research design for the process evaluation.
 - Document how the activities in the proposal are being carried out.
 - Describe the process measures and how they are being measured and defined.
 - Describe the procedures ensuring that a program is being implemented to fidelity, when applicable.
- A description of the research design for the outcome evaluation.
 - Describe criteria for participant eligibility and comparison group(s), including the comparison group eligibility criteria.
 - Define outcome measures.
 - Describe measurement instruments, programs and interventions.
 - Include a definition of successful program completion.
- For both the process and outcome evaluation, describe what data is being collected, their data source(s), and data collection methods (tools used to collect the data, frequency, and who and where were the data collected). Describe any difficulties in data collection, and how they may have influenced the results.

Evaluation Results and Discussion

- Provide data related the process evaluation. Describe any changes that were made as a result of the process evaluation findings.
- Total number of participants (unduplicated), must be included.
 - Include basic demographic information of your participants (age, gender, race/ethnicity).
 - Include the number of individuals that received various services.
- Progress towards goals
 - Provide a summary of the degree to which these goals and objectives were achieved.
 - Describe factors that affected the progress of project goals. This may include factors which resulted in achieving goals more quickly or impeded your progress. If there were factors that impeded your progress, describe how they were addressed.
- Report results of any analyses and provide a detailed explanation related to the project's performance over the course of the grant.
- Report results of any analyses and provide a detailed explanation of findings as it relates to any other additional outcome measures.
- Provide a clear interpretation of the results and lessons learned.

Conclusions and Recommendations

- Discuss the effectiveness of different strategies employed.

- Make useful recommendations with specific guidance for what to replicate or do differently.

A Current Logic Model

A visual representation, as of the date of the report, of the project depicting the logical relationships between the input/resources, activities, outputs, outcomes and impacts of the project.

Grantee Highlight

A brief, one-page, visually appealing, highlight or success story that provides additional information related to the program's success over the last three years. This highlight may be included in a statewide report. You may include optional graphs, charts, or photos¹⁷. While every effort will be made to include these in a statewide report, inclusion in the report is not guaranteed.

Attachment C-1

¹⁷ The BSCC will only accept photographs in which all persons depicted are over 18 years of age and have consented to both being photographed and to the use and release of their image. By submitting photographs to the BSCC, the submitter acknowledges that all approvals have been obtained from the subjects in the photograph(s) and that all persons are over 18 years of age. Further, by submitting the photographs, the submitter irrevocably authorizes the BSCC to edit, alter, copy, exhibit, publish or distribute the photographs for purposes of publicizing BSCC grant programs or for any other lawful purpose. All photographs submitted will be considered public records and subject to disclosure pursuant to the California Public Records Act.

Appendix J: Sample BSCC Grant Agreement

STANDARD AGREEMENT

STD 213 (Rev 03/2019)

AGREEMENT NUMBER

BSCC XXX-19

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

BOARD OF STATE AND COMMUNITY CORRECTIONS

CONTRACTOR NAME

GRANTEE NAME

2. The term of this Agreement is:

START DATE

~~JULY 1, 2020~~ **OCTOBER 1, 2020**

THROUGH END DATE

DECEMBER 31, 2023

3. The maximum amount of this Agreement is:

\$000,000.00

4. The parties agree to comply with the terms and conditions of the following exhibits, attachments, and appendices which are by this reference made a part of the Agreement.

EXHIBITS	TITLE	PAGES
Exhibit A	Scope of Work	3
Exhibit B	Budget Detail and Payment Provisions	4
Exhibit C	General Terms and Conditions (04/2017)	4
Exhibit D	Special Terms and Conditions	5
Attachment 1*	California Violence Intervention & Prevention Grant Request for Proposals	*
Attachment 2	CalVIP Grant Proposal	xx
Appendix A	CalVIP Executive Steering Committee	1
Appendix B	Criteria for Non-Governmental Organizations Receiving BSCC Program Funds	2

* This item is hereby incorporated by reference and can be viewed at: xxxxxxxxx

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

GRANTEE NAME

CONTRACTOR BUSINESS ADDRESS	CITY	STATE	ZIP
PRINTED NAME OF PERSON SIGNING	TITLE		
CONTRACTOR AUTHORIZED SIGNATURE	DATE SIGNED		

STATE OF CALIFORNIA

CONTRACTING AGENCY NAME

BOARD OF STATE AND COMMUNITY CORRECTIONS

CONTRACTING AGENCY ADDRESS	CITY	STATE	ZIP
2590 Venture Oaks Way, Suite 200	Sacramento	CA	95833
PRINTED NAME OF PERSON SIGNING	TITLE		
RICARDO GOODRIDGE	Deputy Director		
CONTRACTING AGENCY AUTHORIZED SIGNATURE	DATE SIGNED		

EXHIBIT A: SCOPE OF WORK

1. GRANT AGREEMENT – CALIFORNIA VIOLENCE AND INTERVENTION (CalVIP) GRANT

This Grant Agreement is between the State of California, Board of State and Community Corrections (hereafter referred to as BSCC) and _____ (hereafter referred to as the Grantee).

2. PROJECT SUMMARY AND ADMINISTRATION

- A. The Fiscal Year 2019-20 State Budget includes funding in the amount of \$30 million for the California Violence Intervention and Prevention (CalVIP) Grant Program, to be administered by the Board of State and Community Corrections (BSCC). California cities that are disproportionately impacted by violence and the community-based organizations that serve the residents of those cities are eligible to apply for CalVIP grant funding.

The purpose of the CalVIP Grant is to improve public health and safety by supporting effective violence reduction initiatives in communities that are disproportionately impacted by violence, particularly group-member involved homicide, shootings and aggravated assaults (Penal Code Sec. 14131(b)).

- B. Grantee agrees to administer the project in accordance with Attachment 1: CalVIP Request for Proposals (incorporated by reference) and Attachment 2: Grant Proposal, which are attached and hereto and made part of this agreement.

3. PROJECT OFFICIALS

- A. The BSCC's Executive Director or designee shall be the BSCC's representative for administration of the Grant Agreement and shall have authority to make determinations relating to any controversies that may arise under or regarding the interpretation, performance, or payment for work performed under this Grant Agreement.
- B. The Grantee's project officials shall be those identified as follows:

Authorized Officer with legal authority to sign:

Name:
Title:
Address:
Phone:

Designated Financial Officer authorized to receive warrants:

Name:
Title:
Address:
Phone:
Email:

Project Director authorized to administer the project:

Name:
Title:
Address:
Phone:

EXHIBIT A: SCOPE OF WORK

Email:

- C. Either party may change its project representatives upon written notice to the other party.
- D. By signing this Grant Agreement, the Authorized Officer listed above warrants that he or she has full legal authority to bind the entity for which he or she signs.

4. DATA COLLECTION

Grantees will be required to comply with all data collection and reporting requirements as described in Attachment 1: CalVIP Request for Proposals and Attachment 2: CalVIP Grant Proposal.

5. REPORTING REQUIREMENTS

- A. Grantee will submit quarterly progress reports in a format prescribed by the BSCC. These reports, which will describe progress made on program objectives and include required data, shall be submitted according to the following schedule:

Quarterly Progress Report Periods

Due no later than:

1. July 1, 2020 to September 30, 2020	November 15, 2020
1. October 1, 2020 to December 31, 2020	February 15, 2021
2. January 1, 2021 to March 31, 2021	May 15, 2021
3. April 1, 2021 to June 30, 2021	August 15, 2021
4. July 1, 2021 to September 30, 2021	November 15, 2021
5. October 1, 2021 to December 31, 2021	February 15, 2022
6. January 1, 2022 to March 31, 2022	May 15, 2022
7. April 1, 2022 to June 30, 2022	August 15, 2022
8. July 1, 2022 to September 30, 2022	November 15, 2022
9. October 1, 2022 to December 31, 2022	February 15, 2023
10. January 1, 2023 to March 31, 2023	May 15, 2023
11. April 1, 2023 to June 30, 2023	August 15, 2023

Note: Project activity period ends June 30, 2023. The period of July 1, 2023 to December 31, 2023 is for completion of Final Local Evaluation Report and financial audit only.

B. Evaluation Documents

Due no later than:

1. Local Evaluation Plan	December 31, 2020 March 31, 2020
2. Final Local Evaluation Report	December 31, 2023

C. Other

Due no later than:

Financial Audit Report	December 31, 2023
------------------------	-------------------

6. PROJECT RECORDS

- A. The Grantee shall establish an official file for the project. The file shall contain adequate documentation of all actions taken with respect to the project, including copies of this Grant Agreement, approved program/budget modifications, financial records and required reports.
- B. The Grantee shall establish separate accounting records and maintain documents and other evidence sufficient to properly reflect the amount, receipt, and disposition of all project funds, including grant funds and any matching funds by the Grantee and the total cost of

EXHIBIT A: SCOPE OF WORK

the project. Source documentation includes copies of all awards, applications, approved modifications, financial records and narrative reports.

- C. Personnel and payroll records shall include the time and attendance reports for all individuals reimbursed under the grant, whether they are employed full-time or part-time. Time and effort reports are also required for all subcontractors and consultants.
- D. The grantee shall maintain documentation of donated goods and/or services, including the basis for valuation.
- E. Grantee agrees to protect records adequately from fire or other damage. When records are stored away from the Grantee's principal office, a written index of the location of records stored must be on hand and ready access must be assured.
- F. All Grantee records relevant to the project must be preserved a minimum of three (3) years after closeout of the grant project and shall be subject at all reasonable times to inspection, examination, monitoring, copying, excerpting, transcribing, and auditing by the BSCC or designees. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular three-year period, whichever is later.

7. CONFLICT OF INTEREST

- A. Existing law prohibits any grantee, subgrantee, partner or like party who participated on the CalVIP Executive Steering Committee (See Appendix A) from receiving funds from the CalVIP grants awarded under this RFP. Applicants who are awarded grants under this RFP are responsible for reviewing the CalVIP ESC membership roster (see Appendix A) and ensuring that no grant dollars are passed through to any entity represented by the members of the CalVIP ESC.
- B. In cases of an actual conflict of interest with an ESC member, the Board may revoke the grant award and legal consequences could exist for the parties involved, including, but not limited to, repayment of the grant award.

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

1. INVOICING AND PAYMENTS (Grantee will select monthly or quarterly)

- A. The Grantee shall be paid in monthly in arrears by submitting an invoice (Form 201) to the BSCC that outlines actual expenditures claimed for the invoicing period.

Monthly Invoicing Periods:	Due no later than:
1. July 1, 2020 to July 31, 2020	September 15, 2020
2. August 1, 2020 to August 31, 2020	October 15, 2020
3. September 1, 2020 to September 30, 2020	November 15, 2020
1. October 1, 2020 to October 31, 2020	December 15, 2020
2. November 1, 2020 to November 30, 2020	January 15, 2021
3. December 1, 2020 to December 31, 2020	February 15, 2021
4. January 1, 2021 to January 31, 2021	March 15, 2021
5. February 1, 2021 to February 28, 2021	April 15, 2021
6. March 1, 2021 to March 31, 2021	May 15, 2021
7. April 1, 2021 to April 30, 2021	June 15, 2021
8. May 1, 2021 to May 31, 2021	July 15, 2021
9. June 1, 2021 to June 30, 2021	August 15, 2021
10. July 1, 2021 to July 31, 2021	September 15, 2021
11. August 1, 2021 to August 31, 2021	October 15, 2021
12. September 1, 2021 to September 30, 2021	November 15, 2021
13. October 1, 2021 to October 31, 2021	December 15, 2021
14. November 1, 2021 to November 30, 2021	January 15, 2022
15. December 1, 2021 to December 31, 2021	February 15, 2022
16. January 1, 2022 to January 31, 2022	March 15, 2022
17. February 1, 2022 to February 28, 2022	April 15, 2022
18. March 1, 2022 to March 31, 2022	May 15, 2022
19. April 1, 2022 to April 30, 2022	June 15, 2022
20. May 1, 2022 to May 31, 2022	July 15, 2022
21. June 1, 2022 to June 30, 2022	August 15, 2022
22. July 1, 2022 to July 31, 2022	September 15, 2022
23. August 1, 2022 to August 31, 2022	October 15, 2022
24. September 1, 2022 to September 30, 2022	November 15, 2022
25. October 1, 2022 to October 31, 2022	December 15, 2022
26. November 1, 2022 to November 30, 2022	January 15, 2023
27. December 1, 2022 through December 31, 2022	February 28, 2023
28. January 1, 2023 to January 31, 2023	March 15, 2023
29. February 1, 2023 to February 28, 2023	April 15, 2023

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

30. March 1, 2023 to March 31, 2023	May 15, 2023
31. April 1, 2023 to April 30, 2023	June 15, 2023
32. May 1, 2023 to May 31, 2023	July 15, 2023
33. June 1, 2023 to June 30, 2023	August 15, 2023

Final Invoicing Periods*:

Due no later than:

34. July 1, 2023 to July 31, 2023	September 15, 2023
35. August 1, 2023 to August 31, 2023	October 13, 2023
36. September 1, 2023 to September 30, 2023	November 10, 2023
37. October 1, 2023 to October 31, 2023	December 15, 2023
38. November 1, 2023 to November 30, 2023	January 12, 2024
39. December 1, 2023 to December 31, 2023	February 29, 2024

**Note: Only expenditures associated with completion of the Final Local Evaluation Report and the financial audit may be included on these last six invoices.*

- A. The Grantee shall be paid in quarterly in arrears by submitting an invoice (Form 201) to the BSCC that outlines actual expenditures claimed for the invoicing period.

Quarterly Invoicing Periods:

Due no later than:

1. July 1, 2020 to September 30, 2020	November 15, 2020
1. October 1, 2020 to December 31, 2020	February 15, 2021
2. January 1, 2021 to March 31, 2021	May 15, 2021
3. April 1, 2021 to June 30, 2021	August 15, 2021
4. July 1, 2021 to September 30, 2021	November 15, 2021
5. October 1, 2021 to December 31, 2021	February 15, 2022
6. January 1, 2022 to March 31, 2022	May 15, 2022
7. April 1, 2022 to June 30, 2022	August 15, 2022
8. July 1, 2022 to September 30, 2022	November 15, 2022
9. October 1, 2022 to December 31, 2022	January 15, 2023
10. January 1, 2023 to March 31, 2023	May 15, 2023
11. April 1, 2023 to June 30, 2023	August 15, 2023

Final Invoicing Periods*:

Due no later than:

13. July 1, 2023 to September 30, 2023	November 15, 2023
14. October 1, 2023 to December 31, 2023	February 29, 2024

**Note: Only expenditures associated with completion of the Final Local Evaluation Report and the financial audit may be included on these last two invoices.*

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

- B. All project expenditures (excluding costs associated with the completion of the Final Local Evaluation Report and the financial audit) and all obligated match contributions must be incurred by the end of the grant project period, June 30, 2023, and included on the invoice due August 15, 2023. Project expenditures incurred after June 30, 2023 will not be reimbursed.
- C. The Final Local Evaluation Report is due to BSCC by December 31, 2023. Expenditures incurred for the completion of the Final Local Evaluation Report during the period of July 1, 2023 to December 31, 2023 must be submitted during the Final Invoicing Periods, with the final invoice due on February 29, 2024. Supporting fiscal documentation will be required for all expenditures claimed on during the Final Invoicing Periods and must be submitted with the final invoice.
- D. The financial audit is due to BSCC by December 31, 2023. Expenditures incurred for the completion of the financial audit during the period of July 1, 2023 to December 31, 2023 must be submitted during the Final Invoicing Periods, with the final invoice due on February 29, 2024. Supporting fiscal documentation will be required for all expenditures claimed during the Final Invoicing Periods and must be submitted with the final invoice.
- D. Grantee shall submit an invoice to the BSCC each invoicing period, even if grant funds are not expended or requested during the invoicing period.
- E. Upon the BSCC's request, supporting documentation must be submitted for project expenditures. Grantees are required to maintain supporting documentation for all expenditures on the project site for the life of the grant and make it readily available for review during BSCC site visits. See Exhibit A. Scope of Work, Item 6. Project Records.

2. GRANT AMOUNT AND LIMITATION

- A. In no event shall the BSCC be obligated to pay any amount in excess of the grant award. Grantee waives any and all claims against the BSCC, and the State of California on account of project costs that may exceed the sum of the grant award.
- B. Under no circumstance will a budget item change be authorized that would cause the project to exceed the amount of the grant award identified in this Grant Agreement.

3. BUDGET CONTINGENCY CLAUSE

- A. This grant agreement is valid through CalVIP funding generated from the General Fund. The Grantee agrees that the BSCC's obligation to pay any sum to the grantee under any provision of this agreement is contingent upon the availability of sufficient funding granted through the passage of Assembly Bill 97 (Statutes of 2017, Chapter 14), also known as the California Budget Act of 2017. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Grant Agreement does not appropriate sufficient funds for the program, this Grant Agreement shall be of no further force and effect. In this event, the BSCC shall have no liability to pay any funds whatsoever to Grantee or to furnish any other considerations under this Agreement and Grantee shall not be obligated to perform any provisions of this Grant Agreement.
- B. If CalVIP funding is reduced or falls below estimates contained within the CalVIP Request for Proposals, the BSCC shall have the option to either cancel this Grant Agreement with no liability occurring to the BSCC or offer an amendment to this agreement to the Grantee to reflect a reduced amount.
- C. If BSCC cancels the agreement pursuant to Paragraph 3(B) or Grantee does not agree to an amendment in accordance with the option provided by Paragraph 3(B), it is mutually agreed that the Grant Agreement shall have no further force and effect. In this event, the BSCC shall have no liability to pay any funds whatsoever to Grantee or to furnish any other

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

considerations under this Agreement and Grantee shall not be obligated to perform any provisions of this Grant Agreement except that Grantee shall be required to maintain all project records required by Paragraph 6 of Exhibit A for a period of three (3) years following the termination of this agreement.

4. PROJECT COSTS

- A. Grantee is responsible for ensuring that actual expenditures are for eligible project costs. "Eligible" and "ineligible" project costs are set forth in the July 2016 BSCC Grant Administration Guide, which can be found under Quick Links here: https://www.bscc.ca.gov/s_correctionsplanningandprograms/
- B. The provisions of the BSCC Grant Administration Guide are incorporated by reference into this agreement and Grantee shall be responsible for adhering to the requirements set forth therein. To the extent any of the provisions of the BSCC Grant Administration Guide and this agreement conflict, the language in this agreement shall prevail.
- C. Grantee is responsible for ensuring that invoices submitted to the BSCC claim actual expenditures for eligible project costs.
- D. Grantee shall, upon demand, remit to the BSCC any grant funds not expended for eligible project costs or an amount equal to any grant funds expended by the Grantee in violation of the terms, provisions, conditions or commitments of this Grant Agreement.
- E. Grant funds must be used to support new program activities or to augment existing funds that expand current program activities. Grant funds shall not replace (supplant) any federal, state and/or local funds that have been appropriated for the same purpose. Violations can result in recoupment of monies provided under this grantor suspension of future program funding through BSCC grants.

5. PROMPT PAYMENT CLAUSE

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927.

6. WITHHOLDING OF GRANT DISBURSEMENTS

- A. The BSCC may withhold all or any portion of the grant funds provided by this Grant Agreement in the event the Grantee has materially and substantially breached the terms and conditions of this Grant Agreement.
- B. At such time as the balance of state funds allocated to the Grantee reaches five percent (5%), the BSCC may withhold that amount as security, to be released to the Grantee upon compliance with all grant provisions, including:
 - 1) submittal and approval of the final invoice;
 - 2) submittal and approval of the final progress report; and
 - 3) submittal and approval of any additional required reports, including but not limited to the Final Local Evaluation Report and the financial audit.
- C. The BSCC will not reimburse Grantee for costs identified as ineligible for grant funding. If grant funds have been provided for costs subsequently deemed ineligible, the BSCC may either withhold an equal amount from future payments to the Grantee or require repayment of an equal amount to the State by the Grantee.
- D. In the event that grant funds are withheld from the Grantee, the BSCC's Executive Director or designee shall notify the Grantee of the reasons for withholding and advise the Grantee of the time within which the Grantee may remedy the failure or violation leading to the withholding.

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

7. PROJECT BUDGET

BSCC Budget Line Item	A. Grant Funds	B. Match	C. Total (A+B)
1. Salaries and Benefits	\$0	\$0	\$0
2. Services and Supplies	\$0	\$0	\$0
3. Professional Services or Public Agency Subcontracts	\$0	\$0	\$0
4. Non-Governmental Organization (NGO) Subcontracts	\$0	\$0	\$0
5. Equipment/Fixed Assets	\$0	\$0	\$0
6. Project Evaluation	\$0	\$0	\$0
7. Financial Audit	\$0	\$0	\$0
8. Other (Travel, Training, etc.)	\$0	\$0	\$0
9. Indirect Costs	\$0	\$0	\$0
TOTALS	\$0	\$0	\$0

EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)

1. **APPROVAL:** This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.
2. **AMENDMENT:** No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.
3. **ASSIGNMENT:** This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.
4. **AUDIT:** Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).
5. **INDEMNIFICATION:** Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.
6. **DISPUTES:** Contractor shall continue with the responsibilities under this Agreement during any dispute.
7. **TERMINATION FOR CAUSE:** The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.
8. **INDEPENDENT CONTRACTOR:** Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.
9. **RECYCLING CERTIFICATION:** The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).
10. **NON-DISCRIMINATION CLAUSE:** During the performance of this Agreement, Contractor and its subcontractors shall not deny the contract's benefits to any person on the basis of race, religious

EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)

creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12900 et seq.), the regulations promulgated thereunder (Cal. Code Regs., tit. 2, §11000 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Gov. Code §§11135-11139.5), and the regulations or standards adopted by the awarding state agency to implement such article. Contractor shall permit access by representatives of the Department of Fair Employment and Housing and the awarding state agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. (See Cal. Code Regs., tit. 2, §11105.)

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

- 11. CERTIFICATION CLAUSES:** The CONTRACTOR CERTIFICATION CLAUSES contained in the document [CCC 04/2017](#) are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.
- 12. TIMELINESS:** Time is of the essence in this Agreement.
- 13. COMPENSATION:** The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.
- 14. GOVERNING LAW:** This contract is governed by and shall be interpreted in accordance with the laws of the State of California.
- 15. ANTITRUST CLAIMS:** The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.

A. The Government Code Chapter on Antitrust claims contains the following definitions:

- 1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.
- 2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.

B. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale

EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)

to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

- C. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.
- D. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. CHILD SUPPORT COMPLIANCE ACT: For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

- A. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- B. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. UNENFORCEABLE PROVISION: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

18. PRIORITY HIRING CONSIDERATIONS: If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS:

- A. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)
- B. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4)

EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)

that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

- 20. LOSS LEADER:** If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

Attachment C-1

EXHIBIT D: SPECIAL TERMS AND CONDITIONS

1. GRANTEE'S GENERAL RESPONSIBILITY

- A. Grantee agrees to comply with all terms and conditions of this Grant Agreement. Review and approval by the BSCC are solely for the purpose of proper administration of grant funds and shall not be deemed to relieve or restrict the Grantee's responsibility.
- B. Grantee is responsible for the performance of all project activities identified in Attachment 1: CalVIP Request for Proposals and Attachment 2: Grant Proposal/Application for Funding.
- C. Grantee shall immediately advise the BSCC of any significant problems or changes that arise during the course of the project.

2. GRANTEE ASSURANCES AND COMMITMENTS

- A. Compliance with Laws and Regulations
This Grant Agreement is governed by and shall be interpreted in accordance with the laws of the State of California. Grantee shall at all times comply with all applicable State laws, rules and regulations, and all applicable local ordinances.
- B. Fulfillment of Assurances and Declarations
Grantee shall fulfill all assurances, declarations, representations, and statements made by the Grantee in Attachment 1: CalVIP Request for Proposal and Attachment 2: Grant Proposal/Application for Funding, documents, amendments, approved modifications, and communications filed in support of its request for grant funds.
- C. Permits and Licenses
Grantee agrees to procure all permits and licenses necessary to complete the project, pay all charges and fees, and give all notices necessary or incidental to the due and lawful proceeding of the project work.

3. POTENTIAL SUBCONTRACTORS

- A. In accordance with the provisions of this Grant Agreement, the Grantee may subcontract for services needed to implement and/or support program activities. Grantee agrees that in the event of any inconsistency between this Grant Agreement and Grantee's agreement with a subcontractor, the language of this Grant Agreement will prevail.
- B. Nothing contained in this Grant Agreement or otherwise, shall create any contractual relation between the BSCC and any subcontractors, and no subcontract shall relieve the Grantee of his responsibilities and obligations hereunder. The Grantee agrees to be as fully responsible to the BSCC for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Grantee. The Grantee's obligation to pay its subcontractors is an independent obligation from the BSCC's obligation to make payments to the Grantee. As a result, the BSCC shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.
- C. Grantee shall ensure that all subcontractors comply with the eligibility requirements stated in the CalVIP RFP and described in Appendix B.
- D. Grantee assures that for any subcontract awarded by the Grantee, such insurance and fidelity bonds, as is customary and appropriate, will be obtained.
- E. Grantee agrees to place appropriate language in all subcontracts for work on the project requiring the Grantee's subcontractors to:

EXHIBIT D: SPECIAL TERMS AND CONDITIONS

1) Books and Records

Maintain adequate fiscal and project books, records, documents, and other evidence pertinent to the subcontractor's work on the project in accordance with generally accepted accounting principles. Adequate supporting documentation shall be maintained in such detail so as to permit tracing transactions from the invoices, to the accounting records, to the supporting documentation. These records shall be maintained for a minimum of three (3) years after the acceptance of the final grant project audit under the Grant Agreement and shall be subject to examination and/or audit by the BSCC or designees, state government auditors or designees, or by federal government auditors or designees.

2) Access to Books and Records

Make such books, records, supporting documentations, and other evidence available to the BSCC or designee, the State Controller's Office, the Department of General Services, the Department of Finance, California State Auditor, and their designated representatives during the course of the project and for a minimum of three (3) years after acceptance of the final grant project audit. The Subcontractor shall provide suitable facilities for access, monitoring, inspection, and copying of books and records related to the grant-funded project.

4. PROJECT ACCESS

Grantee shall ensure that the BSCC, or any authorized representative, will have suitable access to project activities, sites, staff and documents at all reasonable times during the grant period including those maintained by subcontractors. Access to program records will be made available by both the grantee and the subcontractors for a period of three (3) years following the end of the grant period.

5. ACCOUNTING AND AUDIT REQUIREMENTS

- A. Grantee agrees that accounting procedures for grant funds received pursuant to this Grant Agreement shall be in accordance with generally accepted government accounting principles and practices, and adequate supporting documentation shall be maintained in such detail as to provide an audit trail. Supporting documentation shall permit the tracing of transactions from such documents to relevant accounting records, financial reports and invoices.
- B. Grantees are required to provide the BSCC with a financial audit no later than the end of the contract term, December 31, 2023. The financial audit shall be performed by a Certified Public Accountant or a participating county or city auditor that is organizationally independent from the participating county's or city's project financial management functions. Expenses for this final audit may be reimbursed for actual costs up to \$25,000.
- C. The BSCC reserves the right to call for a program or financial audit at any time between the execution of this Grant Agreement and three years following the end of the grant period. At any time, the BSCC may disallow all or part of the cost of the activity or action determined to not be in compliance with the terms and conditions of this Grant Agreement or take other remedies legally available.

6. MODIFICATIONS

No change or modification in the project will be permitted without prior written approval from the BSCC. Changes may include modification to project scope, changes to performance measures, compliance with collection of data elements, and other significant changes in the budget or program components contained in the Application for Funding. Changes shall not be implemented by the project until authorized by the BSCC.

EXHIBIT D: SPECIAL TERMS AND CONDITIONS

7. TERMINATION

- A. This Grant Agreement may be terminated by the BSCC at any time after grant award and prior to completion of project upon action or inaction by the Grantee that constitutes a material and substantial breach of this Grant Agreement. Such action or inaction includes but is not limited to:
- 1) substantial alteration of the scope of the grant project without prior written approval of the BSCC;
 - 2) refusal or inability to complete the grant project in a manner consistent with Attachment 1: CalVIP Request for Proposal/Application for Funding, or approved modifications;
 - 3) failure to provide the required local match share of the total project costs; and
 - 4) failure to meet prescribed assurances, commitments, recording, accounting, auditing, and reporting requirements of the Grant Agreement.
- B. Prior to terminating the Grant Agreement under this provision, the BSCC shall provide the Grantee at least 30 calendar days written notice stating the reasons for termination and effective date thereof. The Grantee may appeal the termination decision in accordance with the instructions listed in Exhibit D: Special Terms and Conditions, Number 8. Settlement of Disputes.

8. SETTLEMENT OF DISPUTES

- A. The parties shall deal in good faith and attempt to resolve potential disputes informally. If the dispute persists, the Grantee shall submit to the BSCC Corrections Planning and Grant Programs Division Deputy Director a written demand for a final decision regarding the disposition of any dispute between the parties arising under, related to, or involving this Grant Agreement. Grantee's written demand shall be fully supported by factual information. The BSCC Corrections Planning and Grant Programs Division Deputy Director shall have 30 days after receipt of Grantee's written demand invoking this Section "Disputes" to render a written decision. If a written decision is not rendered within 30 days after receipt of the Grantee's demand, it shall be deemed a decision adverse to the Grantee's contention. If the Grantee is not satisfied with the decision of the BSCC Corrections Planning and Grant Programs Division Deputy Director, the Grantee may appeal the decision, in writing, within 15 days of its issuance (or the expiration of the 30-day period in the event no decision is rendered), to the BSCC Executive Director, who shall have 45 days to render a final decision. If the Grantee does not appeal the decision of the BSCC Corrections Planning and Grant Programs Division Deputy Director, the decision shall be conclusive and binding regarding the dispute and the Contractor shall be barred from commencing an action in court, or with the Victims Compensation Government Claims Board, for failure to exhaust Grantee's administrative remedies.
- B. Pending the final resolution of any dispute arising under, related to or involving this Grant Agreement, Grantee agrees to diligently proceed with the performance of this Grant Agreement, including the providing of services in accordance with the Grant Agreement. Grantee's failure to diligently proceed in accordance with the State's instructions regarding this Grant Agreement shall be considered a material breach of this Grant Agreement.
- C. Any final decision of the State shall be expressly identified as such, shall be in writing, and shall be signed by the Executive Director, if an appeal was made. If the Executive Director fails to render a final decision within 45 days after receipt of the Grantee's appeal for a final decision, it shall be deemed a final decision adverse to the Grantee's contentions. The

EXHIBIT D: SPECIAL TERMS AND CONDITIONS

State's final decision shall be conclusive and binding regarding the dispute unless the Grantee commences an action in a court of competent jurisdiction to contest such decision within 90 days following the date of the final decision or one (1) year following the accrual of the cause of action, whichever is later.

- D. The dates of decision and appeal in this section may be modified by mutual consent, as applicable, excepting the time to commence an action in a court of competent jurisdiction.

9. UNION ACTIVITIES

For all agreements, except fixed price contracts of \$50,000 or less, the Grantee acknowledges that applicability of Government Code §§16654 through 16649 to this Grant Agreement and agrees to the following:

- A. No State funds received under the Grant Agreement will be used to assist, promote or deter union organizing.
- B. Grantee will not, for any business conducted under the Grant Agreement, use any State property to hold meetings with employees or supervisors, if the purpose of such meetings is to assist, promote or deter union organizing, unless the State property is equally available to the general public for holding meetings.
- C. If Grantee incurs costs or makes expenditures to assist, promote or deter union organizing, Grantee will maintain records sufficient to show that no reimbursement from State funds has been sought for these costs, and that Grantee shall provide those records to the Attorney General upon request.

10. WAIVER

The parties hereto may waive any of their rights under this Grant Agreement unless such waiver is contrary to law, provided that any such waiver shall be in writing and signed by the party making such waiver.

APPENDIX A to the Grant Agreement: CalVIP EXECUTIVE STEERING COMMITTEE ROSTERCalVIP Executive Steering Committee for Grant Cycle from July 4 ~~October 1~~, 2020 to December 31, 2023

	Name	Title & Organizational Affiliation	From
1	Chief Andy Mills, Chair	Chief of Police, City of Santa Cruz & Board Member, BSCC	Santa Cruz
2	Amanda Benson	Chief Assistant Public Defender Sacramento County Public Defender's Office	Sacramento
3	Norchelle Brown	Policy Assistant U.S. House of Representatives	Los Angeles
4	Michelle Scray Brown	Chief Probation Officer San Bernardino County	San Bernardino
5	Rev. Dr. Charles Dorsey	The Dorsey Group, LLC	Long Beach
6	Erinn Herberman, PhD	Research Director San Diego County Probation Department	San Diego
7	Stephen Lindley	Brady: United Against Gun Violence	San Diego
8	DeAngelo Mack	Director of State Policy Public Health Advocates	Sacramento
9	Julio Marcial	Director, Youth Justice Liberty Hill Foundation	Los Angeles
10	Leannandra Martinez	Intake Specialist First Place for Youth	Oakland
11	Mike McLively	Senior Staff Attorney Giffords Law Center to Prevent Gun Violence	San Francisco
12	Stacy Alamo Mixson	Chief, Safe and Active Communities Branch California Department of Public Health	Sacramento
13	Daniel J. Orth	Program Officer, Kroc Institute for Peace & Justice University of San Diego	San Diego
14	Phal Sok	Youth Justice Coalition	Los Angeles
15	Steve Stavropoulos	Assistant Chief Deputy Sacramento County Probation Department	Sacramento

APPENDIX B to the Grant Agreement: Criteria for Non-Governmental Organizations Receiving BSCC Funds

The 2019-2020 CalVIP Request for Proposals (RFP) includes requirements that apply to non-governmental, community-based organizations. Grantees are responsible for ensuring that all contracted third parties continually meet these requirements as a condition of receiving any CalVIP funds. The RFP describes these requirements as follows:

A non-governmental organization (as either a direct grantee or subgrantee or subcontractor) must meet the following criteria:

- Have been duly organized, in existence, and in good standing at least six months before entering into a fiscal agreement with the BSCC or with the CalVIP grantee;
- In either instance (applicant or subgrantee), non-governmental entities that have recently reorganized or have merged with other qualified non-governmental entities that were in existence prior to the six-month date are also eligible, provided all necessary agreements have been executed and filed with the California Secretary of State prior to the start date of the grant agreement or subcontractor
- Be registered with the California Secretary of State's Office, if applicable;
- Have a valid business license, Employer Identification Number (EIN), or Taxpayer ID (if sole proprietorship);
- Have any other state or local licenses or certifications necessary to provide the services requested (e.g., facility licensing by the Department of Health Care Services), if applicable; and
- Have a physical address.

Non-Governmental Organizations (NGOs) include: community-based organizations (CBOs), faith-based organizations (FBOs), non-profit organizations/501(c)(3)s, evaluators (except government institutions such as universities), grant management companies and any other non-governmental agency or individual. Note: These criteria do not apply to government organizations (e.g. counties, cities, school districts).

Provide your agency name and in the table list information for all contracted parties.

Grantee:

Name of Contracted Party	Address	Email / Phone	Meets All Requirements
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>

Grantees are required to update this list and submit it to BSCC any time a new third-party contract is executed after the initial assurance date. Grantees shall retain (on-site) applicable source documentation for each contracted party that verifies compliance with the requirements listed in the CalVIP RFP. These records will be subject to the records and retention language found in Appendices A and C of the Standard Agreement.

The BSCC will not reimburse for costs incurred by any third party that does not meet the requirements listed above and for which the BSCC does not have a signed grantee assurance on file.

A signature below is an assurance that all requirements listed above have been met.

AUTHORIZED SIGNATURE (This document must be signed by the person who is authorized to sign the Grant Agreement.)			
NAME OF AUTHORIZED OFFICER	TITLE		TELEPHONE NUMBER
STREET ADDRESS	CITY	STATE	ZIP CODE
EMAIL ADDRESS			
SIGNATURE X			DATE

Appendix K: Sample Governing Board Resolution

California Violence Intervention and Prevention (CalVIP) Grant

WHEREAS the **(insert name of Applicant Agency)** desires to participate in the 2019 California Violence Intervention & Prevention funded through the California State General Fund and administered by the Board of State and Community Corrections (hereafter referred to as the BSCC).

NOW, THEREFORE, BE IT RESOLVED that the **(insert title of designated official)** be authorized on behalf of the **(insert name of Governing Board)** to submit the grant proposal for this funding and sign the Grant Agreement with the BSCC, including any amendments thereof.

BE IT FURTHER RESOLVED that grant funds received hereunder shall not be used to supplant expenditures controlled by this body.

BE IT FURTHER RESOLVED that the **(insert name of Applicant Agency)** agrees to ensure all matching funds required for the above grant are provided and abide by the terms and conditions of the Grant Agreement as set forth by the BSCC.

Passed, approved, and adopted by the **(insert name of Governing Board)** in a meeting thereof held on **(insert date)** by the following:

Ayes:

Notes:

Absent:

Signature: _____ Date: _____

Typed Name and Title: _____

ATTEST: Signature: _____ Date: _____

Typed Name and Title: _____

Appendix L: Certification of Compliance with BSCC Policies Regarding Debarment, Fraud, Theft and Embezzlement

It is the policy of the BSCC to protect grant funds from unreasonable risks of fraudulent, criminal, or other improper use. As such, the Board will not enter into contracts or provide reimbursement to applicants that have been:

10. debarred by any federal, state, or local government entities during the period of debarment;
or
11. convicted of fraud, theft, or embezzlement of federal, state, or local government grant funds for a period of three years following conviction.

Furthermore, the BSCC requires grant recipients to provide an assurance that there has been no applicable debarment, disqualification, suspension, or removal from a federal, state or local grant program on the part of the grantee at the time of application and that the grantee will immediately notify the BSCC should such debarment or conviction occur during the term of the Grant contract.

BSCC also requires that all grant recipients include, as a condition of award to a subgrantee or subcontractor, a requirement that the subgrantee or subcontractor will provide the same assurances to the grant recipient. If a grant recipient wishes to consider a subgrantee or subcontractor that has been debarred or convicted, the grant recipient must submit a written request for exception to the BSCC along with supporting documentation.

By checking the following boxes and signing below, applicant affirms that:

☐ I/We are not currently debarred by any federal, state, or local entity from applying for or receiving federal, state, or local grant funds.

☐ I/We have not been convicted of any crime involving theft, fraud, or embezzlement of federal, state, or local grant funds within the last three years. We will notify the BSCC should such debarment or conviction occur during the term of the Grant contract.

☐ I/We will hold subgrantees and subcontractors to these same requirements.

A grantee may make a request in writing to the Executive Director of the BSCC for an exception to the debarment policy. Any determination made by the Executive Director shall be made in writing.

AUTHORIZED SIGNATURE (This document must be signed by the person who is authorized to sign the Grant Agreement.)			
NAME OF AUTHORIZED OFFICER	TITLE	TELEPHONE NUMBER	EMAIL ADDRESS
STREET ADDRESS		CITY	STATE ZIP CODE
APPLICANT'S SIGNATURE (Blue Ink Only) X			DATE

Appendix M: Example of BSCC Comprehensive Monitoring Visit Tool

Corrections Planning and Grant Programs Division

COMPREHENSIVE MONITORING VISIT (CMV) TOOL

Grantee:	Award Year: 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/>
Grant Program:	Federal Funds: <input type="checkbox"/> State Funds: <input type="checkbox"/>
Contract Number:	Grant Amount:
Project Title:	
Project Director:	Financial Officer:
Project Director Phone:	Financial Officer Phone:
Project Director E-Mail:	Financial Officer E-mail:
Field Representative:	Date of Visit:
Persons Interviewed During the Monitoring (Name, Title, Agency):	
Project Sites Visited (Name, Address):	
Project Summary:	

I. ADMINISTRATIVE REVIEW

1. Executed Agreement

The Grantee has a copy of the fully executed Standard Agreement in the official file (e-file is acceptable). Yes ☐ No ☒

2. BSCC Grant Administration Guide

The Grantee has a copy of the BSCC Grant Administration Guide readily available, and staff know how to use it (e-file is acceptable). Yes ☐ No ☐

3. Organizational Chart

The Grantee has a current organizational chart for the department/unit/section responsible for programmatic oversight of the grant. Yes ☐ No ☐

4. Duty Statements

The Grantee maintains duty statements for grant-funded staff that list specific activities related to the grant. *Note: Standard job classifications usually are not acceptable, unless the position was created specifically for the grant.* Yes ☐ No ☐

5. Timesheets

5a. The Grantee maintains timesheets on all staff charged to the grant. *Note: Estimates and/or percentages are not acceptable.*

Yes ☐ No ☐

5b. The Grantee maintains functional timesheets or conducts time studies for split-funded positions. *Note: Estimates and/or percentages are not acceptable.*

Yes ☐ No ☐ N/A ☐

6. Staff Positions

All authorized positions are filled and performing grant-related duties.

Yes ☐ No ☐

If no, list all unfilled positions and explanations for vacancies in the Administrative Review Comments section.

7. Anticipated Changes

Are there any anticipated changes to staff or the project?

Yes ☐ No ☐

If yes, explain in the Administrative Review Comments section.

8. Subcontracts

8a. Does this grant provide for subcontracted services?

Yes ☐ No ☐

If yes, list subcontracts awarded in the Administrative Review Comments section.

8b. Copies of the subcontract awards are contained within the official project file.

Yes ☐ No ☐ N/A ☐

8c. Subcontracts contain the required language from the BSCC contract (e.g., access to program and fiscal records, access to facility, access to program participants, Non-Discrimination clause, Civil Rights compliance). Yes ☐ No ☐ N/A ☐

8d. Subcontracts appear to be in compliance with conflict of interest laws that prohibit individuals or organizations that participated on the Executive Steering Committee for this grant. Yes ☐ No ☐ N/A ☐

9. Non-Governmental Organization (NGO) Assurances

Any NGO that receives grant funds (as either a direct grantee, subgrantee, or subcontractor) must:

- Be duly organized, in existence, and in good standing at least six months before entering into a fiscal agreement with a BSCC grantee;
 - NGOs that have recently reorganized or have merged with other qualified non-governmental entities that were in existence prior to the six-month date are also eligible, provided all necessary agreements have been executed and filed with the California Secretary of State prior to the effective date of the BSCC grantee and NGO fiscal agreement.
- Be registered with the California Secretary of State's Office, if applicable;
- Have a valid business license, if required by the applicable local jurisdiction;
- Have a valid Employer Identification Number (EIN) or Taxpayer ID (if sole proprietorship);
- Have any other state or local licenses or certifications necessary to provide the services requested (e.g., facility licensing by the Department of Health Care Services), if applicable; and
- Have a physical address.

In addition to the administrative criteria listed above, any non-governmental, community-based organization that receives grant funds must have a proven track record working with the target population and the capacity to support data collection and evaluation efforts.

Does the grantee have assurance documentation for **each** NGO?

Yes ☐ No ☐

10. Budget Modifications

9a. Copies of project budget modifications are maintained in the official file.

Yes ☐ No ☐ N/A ☐

9b. Were there any substantial modifications made that were not approved by the BSCC?

Yes ☐ No ☐

If yes, explain in the Administrative Review Comments section.

Field Representative Comments for Administrative Review Section:

Number comments to correspond to the Administrative Review items.

II. CIVIL RIGHTS REVIEW

Not applicable to State-funded grants

III. FISCAL REVIEW

1. Budget File

The Grantee maintains an official budget file for the project. Yes ☐ No ☐

2. Fiscal Policies and Procedures

2a. The Grantee maintains written procedures for the fiscal policies related to the grant and they are accessible by grants management staff. Yes ☐ No ☐

2b. The Grantee can explain its agency's claims, payments and reimbursement processes as they relate to this grant (i.e., agency checks and balances).

Yes ☐ No ☐

3. Invoices

3a. Financial invoices are current, and spending is on track. Yes ☐ No ☐

3b. Copies of the BSCC invoices for reimbursement are within the official file.

Yes ☐ No ☐

3c. The fiscal/accounting records reviewed during the visit contained adequate supporting documentation for all claims on invoices, including match. Yes ☐ No ☐

3d. Salaries and benefits can be easily tied back to reimbursement invoices.

Yes ☐ No ☐

3e. The Grantee maintains supporting documentation or a calculation methodology for indirect costs or overhead claimed (e.g., an approved Indirect Cost Rate Proposal).

Yes ☐ No ☐ N/A ☐

3f. Expenditures appear to meet contract eligibility, as defined in the BSCC Grant Administration Guide. Yes ☐ No ☐

4. Tracking

4a. BSCC contract funds are deposited into separate fund accounts or coded to distinguish grant funds from other fund sources. Yes ☐ No ☐

4b. The Grantee maintains a tracking system for purchases, including receipts and disbursements, related to the grant program. Yes ☐ No ☐

4c. Tracking reports are reviewed by management and/or program staff.

Yes ☐ No ☐

4d. The Grantee can provide general ledgers documenting the entries for receipts and disbursements. Yes ☐ No ☐

5. Equipment/Fixed Assets

5a. Did the Grantee purchase or lease equipment/fixed assets with grant funds?

Yes ☐ No ☐

5b. The Grantee received prior approval from BSCC for purchases of equipment and/or fixed assets that were more than \$3,500 per item. Yes ☐ No ☐ N/A ☐

5c. The equipment/fixed assets were listed in the budget or in a Budget Modification. Yes ☐ No ☐ N/A ☐

5d. The Grantee maintains an inventory list of equipment/fixed assets purchased with grant funds. Yes ☐ No ☐ N/A ☐

5e. The Grantee maintains proof of receipt of equipment/fixed assets. Yes ☐ No ☐ N/A ☐

6. Supplanting

The Grantee can verify that expenditures submitted for grant reimbursement (including salaries and benefits) are not also claimed/reimbursed under another separate agreement or funding stream (supplanting).

Yes ☐ No ☐

7. Match

7a. The Grantee is in compliance with the match requirement.

Yes ☐ No ☐ N/A ☐

7b. If the Grantee is currently under-matched, is there a plan to meet the contractually obligated match amount?

8. Project Income

Does the Grantee generate income from grant funds (e.g., fundraisers, registration fees, etc.?)

Yes ☐ No ☐ N/A ☐

9. Subcontracts

9a. Does the Grantee require subcontract agencies to submit source documentation with their billing invoice? Yes ☐ No ☐ N/A ☐

9b. What type of documentation detail does the agency keep for subcontractor service delivery billing (to include list of positions funded, documented staff hours, list of services delivered, client sign-in logs, time/duration of services, other invoice detail, etc.) *Describe in the Fiscal Review Comments section.*

9c. Is the source documentation sufficient to justify charges? Yes ☐ No ☐ N/A ☐

9d. Does the Grantee conduct desk audits of subcontract agencies? Yes ☐ No ☐ N/A ☐

9e. Does the Grantee conduct site visits to subcontract agencies? Yes ☐ No ☐ N/A ☐

10. Audits

10a. What type of audit report will the project submit?

Single City/County Audit Report ☐

Program Specific Audit ☐

Other ☐

10b. The Grantee has audit reports covering the agency's internal control structure within the last two years. Yes ☐ No ☐

Field Representative Comments for Fiscal Review Section:

Number comments to correspond to Fiscal Review items.

IV. PROGRAM REVIEW

Note: Some of the information collected in this section will be used to foster discussion and assist with technical assistance, not necessarily to determine compliance.

1. Governing Body

1a. Does the grant require formation of some type of governing body (steering committee, coordinating council, etc.) to guide grant activities?

Yes ☐ No ☐ N/A ☐

1b. If so, has this body been formed and is it meeting as required?

Yes ☐ No ☐ N/A ☐

1c. Are all of the required members participating?

Yes ☐ No ☐ N/A ☐

2. Evidence-Based Interventions

2a. List all interventions being used by the grantee.

List in the Program Review Comments section.

2b. Which interventions do the grantee identify as "evidence-based?" Why? Based on what information? *Explain in the Program Review Comments section.*

2c. Does the Grantee have a quality assurance or fidelity monitoring process in place to ensure that interventions are implemented as intended? Yes ☐ No ☐

3. Assessments

3a. If providing direct services, how are participants assessed for risk, need and responsiveness? *Explain in the Program Review Comments section.*

3b. How is that information used? *Explain in the Program Review Comments section.*

4. Staff Training

4a. Do all project staff receive an orientation and/or training pertinent to the grant project? Yes ☐ No ☐

4b. Are there opportunities for ongoing training for staff affiliated with the grant?

Yes ☐ No ☐

5. Policies & Procedures

5a. Did the Grantee develop a written Policies & Procedures Manual or Program Manual specific to the grant project? Yes ☐ No ☐

5b. Are they accessible to staff? Yes ☐ No ☐

6. Case Management/Tracking

6a. Does the Grantee maintain an automated or web-based case management and/or data collection system to track clients served by the grant? Yes ☐ No ☐ N/A ☐

6b. If not, how are services and/or clients tracked?
Explain in the Program Review Comments section.

7. Source Documentation

The Grantee maintains appropriate source documentation (e.g., case records, case files, sign-in sheets, etc.) for the clients served. Yes ☐ No ☐ N/A ☐

8. Progress Reports

8a. Progress Reports are current. Yes ☐ No ☐

8b. Program records reviewed at the site visit provided sufficient detail to support information reported in Progress Reports. Yes ☐ No ☐
If no, explain in the Program Review Comments section.

9. Problems

The Grantee has experienced operational or service delivery problems.
If yes, explain in the Program Review Comments section. Yes ☐ No ☐

10. Sustainability

Does the grantee have a sustainability plan to continue service delivery after grant funds expire? Yes ☐ No ☐
Describe in the Program Review Comments section.

11. Other Requirements Reviewed

Per this site visit review, programmatic requirements specific to this grant program are being met. Yes ☐ No ☐

Field Representative Comments for the Program Review Section:

Number comments to correspond to Program Review items.

V. DATA COLLECTION AND EVALUATION

1. Evaluator

Does the Grantee subcontract for its data collection and evaluation services? Yes ☐ No ☐ N/A ☐

If yes, list name of organization and describe the relationship in the Data Collection and Evaluation Comments section.

2. Evaluation Plan

Is the Grantee on track with the activities and milestones described in its Evaluation Plan?

Yes ☐ No ☐ N/A ☐

3. Preliminary Evidence

3a. Do the data collection efforts show any preliminary evidence that could impact the project?

Yes ☐ No ☐ N/A ☐

3b. Has the Grantee used this information to make improvements or changes to the project?

Yes ☐ No ☐ N/A ☐

Field Representative Comments for Data Collection and Evaluation Section:

Number comments to correspond to Data Collection and Evaluation Review items.

VI. MONITORING SUMMARY

1. Outcome of Visit

1a. Does the project generally meet BSCC grant requirements?

Yes ☐ No ☐

1b. If no, will a Compliance Improvement Plan be submitted?

Yes ☐ No ☐

1c. Describe here:

2. Technical Assistance

2a. Does the Grantee have any technical assistance needs?

Yes ☐ No ☐

2b. Describe here:

Completed By: _____, Field Representative

X _____
Corrections Planning & Grant Programs Division
Date Completed:

Reviewed By: _____, Deputy Director

X _____
Corrections Planning & Grant Programs Division
Date Reviewed:

Appendix N: CalVIP Project Work Plan Instructions

CalVIP applicants must complete a Project Work Plan. This Project Work Plan identifies measurable goals and objectives, activities and services, the responsible parties and a timeline (see template below).

Completed Project Work Plans should (1) identify the project's top goals and objectives; (2) identify how the top goals will be achieved in terms of the activities, responsible staff/partners, and start and end dates; and (3) provide goals and objectives with a clear relationship to the need and intent of the grant. Applicants must use the Project Work Plan provided within the Proposal Package at the end of this document.

This attachment cannot exceed one (1) page and does not count toward the twelve (12) numbered page limit for the Proposal Narrative. There are no margin, spacing or font restrictions for the Project Work Plan.

(1) Goal:			
Objectives (A., B., C...):	A. B. C.		
Project activities that support the identified goal and objectives:	Responsible staff/ partners	Timeline	
		Start Date	End Date
1.			
2.			
3.			
(2) Goal:			
Objectives (A., B., C...):	A. B. C.		
Project activities that support the identified goal and objectives:	Responsible staff/ partners	Timeline	
		Start Date	End Date
1.			
2.			
3.			
(3) Goal:			
Objectives (A., B., C...):	A. B. C.		
Project activities that support the identified goal and objectives:	Responsible staff/ partners	Timeline	
		Start Date	End Date
1.			
2.			
3.			

California Violence Intervention and Prevention (CalVIP) Grant

CalVIP Proposal Cover Sheet

Submitted by:

INSERT Name of Applicant

Grant Dollars Requested:

INSERT \$ Dollar Amount

Date Submitted:

INSERT Date of Submission to BSCC

CalVIP Proposal Checklist

A complete proposal package for funding under the CalVIP Grant Program must contain the following items:

	Required Items:	X
1	Completed Cover Sheet (previous page)	<input type="checkbox"/>
2	CalVIP Proposal Checklist (this page) <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
3	Applicant Information Form <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
4	Proposal Narrative <ul style="list-style-type: none"> 12 pages or fewer Optional: 1-page bibliography (not counted toward 12 pages) 	<input type="checkbox"/>
5	Budget Attachment (includes Budget Tables and Narrative) <ul style="list-style-type: none"> 4 pages or fewer 	<input type="checkbox"/>
	Required Attachments for All Applicants:	
6	Letters of Commitment from Key Partners, if applicable (Appendix F)	<input type="checkbox"/>
7	Criteria for Non-Governmental Organizations Receiving BSCC Grant Funds (Appendix B) <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
8	Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement (Appendix L) <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
9	CalVIP Project Work Plan (Appendix N)	<input type="checkbox"/>
	Required Attachments for City Applicants ONLY:	
10	Commitment to Coordinated Violence Reduction Efforts (Appendix G) <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
11	CalVIP Coordinating and Advisory Council Membership (Appendix H)	<input type="checkbox"/>
	Optional:	
12	Governing Board Resolution (Appendix K) Note: The Governing Board Resolution or other documentation of signing authority is due prior to Grant Award Agreement, <u>not</u> at time of proposal submission.	<input type="checkbox"/>

I have reviewed this checklist and verified that all required items are included in this proposal packet. *Originally signed in blue ink by the authorized signatory (no stamped signatures)*

X

Applicant Authorized Signature (see Applicant Information Form, item P, next page)

*** Attachments other than those listed above will be removed from the proposal and not considered during the proposal evaluation process. ***

Applicant Information Form: Instructions

- A. Applicant:** Complete the required information for the city or community-based organization submitting the proposal. Indicate applicant type. For cities, the Applicant may be the city itself or a designated department or office. Check the appropriate box to indicate City or CBO.
- B. Tax Identification Number:** Provide the tax identification number of the Applicant.
- C. CBO Applicants ONLY – Location of Services:** Identify the city or cities where the CBO will provide services to residents. The city must be one of the 29 cities listed in Table 1 of the RFP.
- D. Project Title:** Provide the title of the proposed project.
- E. Name of Strategy (or Strategies) to be Implemented:** List the violence reduction strategy or strategies that will be implemented with the CalVIP funds (e.g. Focused Deterrence, Street Outreach, Hospital-Based Intervention, Mentoring, Case Management, etc.).
- F. Project Summary:** Provide a summary (100-150 words) of the proposed project. Note: this information may be posted to the BSCC's website for informational purposes.
- G. Key Partner Agencies:** List all key partner agencies (see page 16 of the RFP).
- H. Type of Project to be Implemented:** Identify whether the project will be a NEW effort, an ENHANCEMENT of an existing effort (i.e. increased services provided to existing population) or an EXPANSION of an existing effort (i.e. additional individuals served).
- I. Grant Funds Requested:** Enter the total amount of grant funds you are requesting.
- J. Match Funds Committed:** Enter the total amount of match funds committed (must equal amount in item I).
- K. City Applicants ONLY – Pass-Through Amount:** City applicants must enter the amount of grant funds that will be passed through to one or more CBO or public agencies or departments, other than law enforcement agencies or departments, that are primarily dedicated to community safety or violence prevention. Also enter the amount as a percentage (minimum 50%).
- L. Project Director:** Provide the name, title, and contact information for the individual responsible for oversight and management of the proposed project. This person must be an employee of the Applicant agency.
- M. Financial Officer:** Provide the name, title, and contact information for the individual responsible for fiscal oversight and management of the project. Typically, this is the individual that will certify and submit invoices. This person must be an employee of the Applicant agency.
- N. Day-to-Day Project Contact:** Provide the name, title, and contact information for the individual who serves as the primary contact person for the grant. Typically, this individual has day-to-day oversight for the project.
- O. Day-to-Day Fiscal Contact:** Provide the name, title and contact information for the individual who serves as the primary contact person for fiscal matters related to the grant. This may be the individual who prepares the invoices for approval by the Financial Officer.
- P. Authorized Signature:** Complete the required information for the person authorized to sign for the Applicant. This individual must read the assurances under this section, then sign and date in the appropriate fields.

CalVIP Applicant Information Form

A. APPLICANT:			B. TAX IDENTIFICATION NUMBER:		
NAME OF APPLICANT			TAX IDENTIFICATION #		
STREET ADDRESS		CITY	STATE		ZIP CODE
MAILING ADDRESS (if different)		CITY	STATE		ZIP CODE
APPLICANT TYPE: <input type="checkbox"/> COMMUNITY-BASED ORGANIZATION (CBO) <input checked="" type="checkbox"/> CITY					
C. CBO APPLICANTS ONLY – LOCATION OF RESIDENTS RECEIVING SERVICES (MUST BE CITY OR CITIES LISTED IN TABLE 1):					
D. PROJECT TITLE:					
E. STRATEGY TO BE IMPLEMENTED:					
F. PROJECT SUMMARY (100-150 words):					
G. KEY PARTNER AGENCIES (if applicable):		Name:		Letter of Commitment: <input type="checkbox"/>	
		Name:		Letter of Commitment: <input type="checkbox"/>	
		Name:		Letter of Commitment: <input type="checkbox"/>	
		Name:		Letter of Commitment: <input type="checkbox"/>	
		Name:		Letter of Commitment: <input type="checkbox"/>	
		Name:		Letter of Commitment: <input type="checkbox"/>	
H. TYPE OF PROJECT:		<input type="checkbox"/> NEW <input type="checkbox"/> ENHANCEMENT <input type="checkbox"/> EXPANSION			
I. GRANT FUNDS REQUESTED:		\$	J. MATCH FUNDS:		\$
K. CITY APPLICANTS ONLY – PASS-THROUGH AMOUNT:				\$	%
L. PROJECT DIRECTOR:					
NAME		TITLE		TELEPHONE NUMBER (direct line)	
STREET ADDRESS		CITY			
STATE		ZIP CODE	EMAIL ADDRESS		
M. FINANCIAL OFFICER:					
NAME		TITLE		TELEPHONE NUMBER (direct line)	
STREET ADDRESS		CITY			
STATE		ZIP CODE	EMAIL ADDRESS		
PAYMENT MAILING ADDRESS (if different)		CITY	STATE		ZIP CODE

N. DAY-TO-DAY PROGRAM CONTACT:		
NAME	TITLE	TELEPHONE NUMBER (direct line)
STREET ADDRESS		CITY
STATE	ZIP CODE	EMAIL ADDRESS

O. DAY-TO-DAY FISCAL CONTACT:		
NAME	TITLE	TELEPHONE NUMBER (direct line)
STREET ADDRESS		CITY
STATE	ZIP CODE	EMAIL ADDRESS

P. AUTHORIZED SIGNATURE*:			
By signing this application, I hereby certify I am vested by the Applicant with the authority to enter into contract with the BSCC, and the grantee and any subcontractors will abide by the laws, policies, and procedures governing this funding.			
NAME OF AUTHORIZED OFFICER	TITLE	TELEPHONE NUMBER	
STREET ADDRESS	CITY	STATE	ZIP CODE
EMAIL ADDRESS			
SIGNATURE			DATE

* *Authorized Signature: Must be a representative with the authority to sign documents and obligate the applicant.*

CalVIP Proposal Narrative

The Proposal Narrative section may not exceed **twelve (12) pages** total. See pages 25-29 for corresponding Rating Factors and Rating Criteria.

1. Description of Community Need (Percent of Total Value: 15%)

2. Project Description (Percent of Total Value: 35%)

3. Organizational Capacity and Coordination (Percent of Total Value: 20%)

4. Project Evaluation and Monitoring (Percent of Total Value: 15%)

Attachment G-1

CalVIP Project Work Plan

The Project Work Plan may not exceed one (1) page. See Appendix N for instructions.

(1) Goal:			
Objectives (A., B., C...):	A. B. C.		
Project activities that support the identified goal and objectives	Responsible staff/ partners	Timeline	
		Start Date	End Date
1. 2. 3. 4.			
(2) Goal:			
Objectives (A., B., C...):			
Project activities that support the identified goal and objectives	Responsible staff/ partners	Timeline	
		Start Date	End Date
1. 2. 3. 4.			
(3) Goal:			
Objectives (A., B., C...):	A. B. C.		
Project activities that support the identified goal and objectives	Responsible staff/ partners	Timeline	
		Start Date	End Date
1. 2. 3. 4.			

5. Project Budget (Percent of Total Value: 15%)

As part of the CalVIP proposal package, applicants are required to submit the 2019 CalVIP Grant Project Budget and Budget Narrative (Budget Attachment). Detailed instructions for completing the Budget Attachment are listed on the Instructions tab of the Excel workbook:

To access the CalVIP Budget Attachment, [click here](#).

The Budget Attachment may not exceed **four (4) pages** total. See page 29 for corresponding Rating Factors and Rating Criteria.

Upon submission, the Budget Attachment will become “Section 5: Project Budget,” making up part of the official proposal. The Budget Attachment must be filled out completely and accurately. Applicants are solely responsible for the accuracy and completeness of the information entered in the Budget Attachment and are encouraged to double check all entries.

All project costs must be directly related to the objectives and activities of the proposed project. The Budget Attachment covers the entire grant period. For example, if you are requesting \$1 million (with a \$1 million match), the Budget Table must total to \$2 million and the corresponding Narrative must explain how that \$2 million will be spent over the grant period.

Additional guidance on grant budgets can be found in the *BSCC Grant Administration Guide*, located on the BSCC [website](#).

Additional RFP Mandatory Documents

Criteria for Non-Governmental Organizations Receiving BSCC Grant Funds

Please see Appendix B

Written Agreements from Key Partners

Please see Appendix F

Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement

Please see Appendix L

City Applicants Only - Commitment to Coordinated Violence Reduction Efforts

Please see Appendix G

City Applicants Only - CalVIP Coordinating and Advisory Council Membership

Please see Appendix H

Attachment C-1

Attachment C-2

State Budget Act 2019-20
Assembly Bill No. 74
Chapter 23

CalVIP Grant

5227-108-0001 – For local assistance, Board of State and Community Corrections

Schedule:

(1)	4945-Corrections Planning and Grant Programs	30,000,000
	(a) Grants to the City of Los Angeles	(1,000,000)
	(b) Competitive grants to all other cities or to community-based organizations	(29,000,000)

Provisions:

1. The Board of State and Community Corrections program awarding state grant funds from subdivisions (a) and (b) of Schedule (1) shall be named the **California Violence Intervention and Prevention Grant Program (CalVIP)**.
2. All CalVIP grantees shall provide a 100-percent match to state grant funds awarded from subdivisions (a) and (b) of Schedule (1).
3. The amount appropriated in subdivision (b) of Schedule (1) shall be for competitive grants to cities or community-based organizations. A grant shall not exceed \$500,000, and at least two grants shall be awarded to cities with populations of 200,000 or less.
4. In awarding CalVIP grants, the Board of State and Community Corrections shall give preference to applicants in cities or regions that are disproportionately affected by violence, and shall give preference to applicants that propose to direct CalVIP funds to programs that have been shown to be the most effective at reducing violence.
5. Each city that receives a grant from subdivisions (a) and (b) of Schedule (1) shall distribute at least 50 percent of the grant funds it receives to one or more community-based organizations pursuant to the city's application.
6. Each city that receives a grant from subdivision (b) of Schedule (1) shall collaborate and coordinate with area jurisdictions and agencies, including the existing county juvenile justice coordination council, with the goal of reducing violence in the city and adjacent areas. Each city grantee shall also establish a coordinating and advisory council to prioritize the use of funds. Membership shall include city officials, local law enforcement, local educational agencies, local community-based organizations, and local residents.
7. Applicants for CalVIP grant funds shall include clearly defined, measurable objectives for the grant in the proposal to the Board of State and Community

Corrections. CalVIP grantees shall report to the Board of State and Community Corrections regarding their progress in achieving those objectives.

8. The Board of State and Community Corrections shall report to the Legislature pursuant to Section 9795 of the Government Code within 90 days following the close of the grant cycle on the overall effectiveness of the CalVIP program.
9. Funds appropriated in this item are available for encumbrance and expenditure until June 30, 2022.
10. Upon order of the Director of Finance, up to 5 percent of the amount appropriated in Schedule (1) shall be transferred to Schedule (1) of Item 5227-001-0001 for costs to administer the CalVIP program. Funds transferred pursuant to this provision are available for encumbrance and expenditure until June 30, 2022.
11. Of the amount appropriated in subdivision (b) of Schedule (1), \$3,000,000 shall be for competitive grants to cities with populations of 40,000 or less, defined as a "rural area" in subdivision (c) of Section 50199.21 of the Health and Safety Code.

Agenda Item D:

**Proposition 64 Public
Health & Safety Grant
Program, Request for
Proposals Due Date
Extension:**

Requesting Approval

MEETING DATE: April 9, 2020**AGENDA ITEM:** D**TO:** BSCC Chair and Members**FROM:** Helene Zentner, Field Representative, helene.zentner@bscc.ca.gov**SUBJECT:** Proposition 64 Public Health & Safety Grant Program, Request for Proposals Due Date Extension: **Requesting Approval**

Summary

This agenda item requests Board approval for a proposed 60-day extension on the Request for Proposals (RFP) Amended version (Attachment D-1) submittal due date for the Proposition 64 Public Health & Safety Grant Program and adjustments of all RFP dates contained therein.

Background

At its February 13, 2020 meeting, the Board approved and authorized the release of the Prop 64 PH&S RFP for as developed and recommended by the ESC. (Attachment D-2).

The final submittal date for the Prop 64 PH&S RFP was approved to be Friday, April 3, 2020. However, due to the coronavirus (COVID-19) and the critical local and state responses to the pandemic, including but not limited to the closures of some offices and agencies, the BSCC received numerous requests to extend the due date for the submittal of the RFP. After discussions with Chair Linda Penner, a 60-day extension for all dates associated with the Prop 64 PH&S RFP, including a new final submittal date of Friday, June 5, 2020, is being requested.

RFP Activities and Tentative Timeline

Below is a proposed extended timeline of activities necessary to complete the process for the competitive Prop 64 PH&S Grant Program RFP.

TENTATIVE TIMELINE AMENDED	ACTIVITY
April 3, 2020 June 5, 2020	Proposals due to the BSCC
April 22, 2020 TBD	ESC Rater Training
May 15, 2020 TBD	ESC rating of proposals complete
June 11, 2020 September 10, 2020	Present ESC funding recommendations to the BSCC Board for approval
July 1, 2020 October 1, 2020	Grants begin

Recommendation/Action Needed

Staff recommends that the Board:

- Approve and authorize BSCC staff to implement the extended timeline of the Prop 64 PH&S Grant Program RFP and all activity dates associated within the RFP.

Attachments

D-1: Proposition 64 Public Health & Safety Grant Program, Request for Proposals,
Amended

D-2: February 13, 2020 Board Report

Attachment D-1



Proposition 64 Public Health and Safety Grant Program

Amended: April 9, 2020

REQUEST FOR PROPOSALS

Eligible Applicants:
California Counties
California Cities

Grant Period: ~~July 1, 2020 to June 30, 2023~~
Amended to: October 1, 2020 to September 30, 2023

RFP Released: February 14, 2020
Letters of Intent Due: March 6, 2020

Proposals Due: ~~April 3, 2020~~
Amended to: June 5, 2020



Attachment D-1

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PROPOSITION 64 PUBLIC HEALTH & SAFETY GRANT PROGRAM	
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CONFIDENTIALITY NOTICE

All documents submitted as a part of the Proposition 64 Public Health and Safety Grant Program proposal are public documents and may be subject to a request pursuant to the California Public Records Act. The BSCC cannot ensure the confidentiality of any information submitted in or with this proposal. (Gov. Code, §§ 6250 et seq.)

PART I: GRANT INFORMATION

Grant Program Background

In November of 2016, voters approved Proposition 64, the *Control, Regulate and Tax Adult Use of Marijuana Act* (AUMA). AUMA legalized the recreational use of marijuana in California for individuals 21 years of age and older. Proposition 64, in pertinent part, provides that a portion of the tax revenue from the cultivation and retail sale of cannabis or cannabis products will be appropriated:

To the Board of State and Community Corrections for making grants to local governments to assist with law enforcement, fire protection, or other local programs addressing public health and safety associated with the implementation of the Control, Regulate and Tax Adult Use of Marijuana Act. The board shall not make any grants to local governments which have banned the cultivation, including personal cultivation... or retail sale of marijuana or marijuana products... (Rev. & Tax Code, § 34019, subd. (f)(3)(C).)

Total funding available for this Request for Proposals (RFP) for the Proposition 64 Public Health and Safety Grant Program (Prop 64 PH&S) is \$24,700,000. Successful applicants will be funded for a three-year grant project cycle commencing on ~~July 1, 2020 and ending on June 30, 2023~~ **October 1, 2020 and ending on September 30, 2023.**

Contact Information

This Request for Proposal (RFP) provides the information necessary to prepare a proposal to the BSCC for grant funds available through the Prop 64 PH&S Grant Program.

The BSCC staff cannot assist the applicant or its partners with the actual preparation of the proposal. Any technical questions concerning the RFP, the proposal process, or programmatic issues must be submitted by email to: Prop64_Grant@bscc.ca.gov.

The BSCC will accept and respond to questions about this RFP until ~~April 3, 2020~~ **June 5, 2020**. Frequently asked questions and answers (FAQs) concerning the BSCC's RFP process and the Prop 64 solicitation will be posted on the BSCC website and updated periodically through ~~April 3, 2020~~ **June 5, 2020**.

Bidders' Conference

Prospective applicants are invited – but not required – to attend a Bidders' Conference. The purpose of a Bidders' Conference is to answer technical questions from prospective bidders (applicants) and provide clarity on RFP instructions. There is no preference given

to applicants who attend the Bidders' Conference. Details for the Bidders' Conference are listed below:

Proposition 64 Public Health and Safety Grant Program Bidders' Conference

Wednesday, February 26, 2020

10:00 a.m.

Board of State and Community Corrections

1st Floor Board Room

2590 Venture Oaks Way

Sacramento, CA 95833

We request that applicants that plan to attend the Bidders' Conference in person RSVP by email with the name of their organization and the number of individuals that will be attending. This will help us in planning and preparing the materials that will be needed.

EMAIL RSVP to: Prop64_Grant@bscc.ca.gov

(Subject line: Prop 64 PH&S Grant Program Bidders' Conference)

Please note: The Prop 64 PH&S Grant Program Bidders' Conference will be livestreamed at www.bscc.ca.gov

Lead Public Agency

All Prop 64 PH&S local government grantees are required to designate a Lead Public Agency (LPA) to serve as the coordinator for all grant activities. LPA means a governmental agency with local authority of or within that county or city. The applicant may choose to fill the role of LPA itself or it may designate a department, agency, or office under its jurisdiction to serve as the LPA. The role of the LPA is to coordinate with local government agencies and non-governmental organizations (if applicable) to ensure successful implementation of the grant program. The LPA is responsible for data collection and management, overseeing evaluative activities, and will serve as the primary point of contact with the BSCC.

Letter of Intent

Applicants interested in applying for the Prop 64 PH&S Grant Program are asked, but are not required, to submit a non-binding Letter of Intent. These letters will aid the BSCC in planning for the proposal review process.

There is no formal template for the letter, but it should include the following information:

- Name of the Applicant (city, county, or city and county),
- Name of the lead public agency (LPA),
- A brief statement indicating the county or city's intent to submit a proposal, and
- Name of a contact person.

Failure to submit a Letter of Intent is not grounds for disqualification. Similarly, prospective applicants that submit a Letter of Intent and decide later not to apply will not be penalized.

Please submit your non-binding Letter of Intent by **March 6, 2020** via email or U.S. mail, using one of the following submission options:

Email Responses: Prop64_Grant@bscc.ca.gov
(Subject line: Prop 64 Grant Letter of Intent)

U.S. Mail Responses: Board of State and Community Corrections
Corrections Planning and Grant Programs Division
2590 Venture Oaks Way, Suite 200
Sacramento, CA 95833
Attn: Prop 64 Grant Letter of Intent

Proposal Due Date and Submission Instructions

The Proposition 64 Public Health and Safety Grant Program Proposal Package is provided at the end of this document and is provided in a fillable format. Using the Tab key will allow the applicant access to those areas requiring information. Applicants must submit one (1) electronic copy of the original signed proposal to the BSCC by **5:00 p.m. on April 3, 2020 June 5, 2020**.

A complete proposal package includes a scanned copy of the signed proposal and all required attachments as described on the Proposal Checklist (page 71).

Email the proposal package in a single email to: Prop64_Grant@bscc.ca.gov.

If the BSCC does not receive an email containing the complete proposal package by 5:00 p.m. (PST) on April 3, 2020 June 5, 2020, the proposal will not be considered.

Eligibility for Funding

Eligible applicants must be local governments that have not banned the cultivation or retail sale of marijuana or marijuana products.

For purposes of this RFP, the following definitions apply:

“Local governments” means cities, counties, and cities and counties in the State of California.

“Banned the cultivation” means

Regulations, ordinances, or amendments to local government charters that prohibit:

- indoor commercial cultivation (including mixed light cultivation),
- indoor personal cultivation (including mixed light cultivation),
- outdoor commercial cultivation, or

- outdoor personal cultivation within the local government's jurisdiction/authority that is not otherwise preempted by state law.

NOTE: Cultivation of cannabis is not banned by a local government if the local government restricts cultivation activities to specific locations pursuant to local zoning ordinances or if the local government requires licensure for specific commercial cultivation activities provided that such restrictions do not result in the prohibition of all indoor commercial cultivation, indoor personal cultivation, outdoor commercial cultivation, or outdoor personal cultivation activities within the local government's jurisdiction/authority (see Appendix A).

"Banned the retail sale" means

- Regulations, ordinances, or amendments to local government charters that prohibit the local establishment or local operation of any or all businesses licensed under Division 10 (commencing with Section 26000) of the Business and Professions Code.
- Businesses must be allowed to have a physical presence (i.e., brick and mortar location) within the local government's jurisdiction/authority.

NOTE: Retail sale of cannabis is not banned by a local government if the local government restricts the retail sale of cannabis to specific locations pursuant to local zoning ordinances, or if the local government requires licensure for specific retail sales activities provided that such restrictions do not result in the prohibition of all retail sales in the local government's jurisdiction/authority (see Appendix A).

A local government may prohibit cannabis delivery services in its jurisdiction and still be eligible for funding if the jurisdiction has also allowed for the local establishment or local operation of physical presence retail business [i.e., brick and mortar location(s)].

If a local government allows for cannabis delivery services but does not allow for the establishment or local operation of a physical presence retail business [(i.e., brick and mortar location(s))], that local government is not eligible for Prop 64 funding.

Local governments in California must meet the above eligibility criteria by ~~April 3, 2020~~ **June 5, 2020** to be considered for funding under this Prop 64 PH&S Grant Program RFP and must maintain eligibility for the duration of the grant period.

Applicants are required to submit a Letter of Eligibility (as part of the RFP submittal process), detailing how the city, county, or city and county meets the above criteria, including the dates the regulations, ordinances, or amendments to local government charters became enacted/effective (see Appendix B). Jurisdictions *in the process* of legalizing the cultivation or retail sale of marijuana or marijuana products will be ineligible for current funding under this Prop 64 PH&S Grant Program RFP.

Additionally, if a grant award is made to a city, county, or city and county (eligible at the time of award) but becomes ineligible post-award, the contract with the Grantee will be terminated and any remaining funds will cease to be disbursed for the rest of the contract term.

Grant Program Description

The purpose of this grant program is to fund projects that assist with law enforcement efforts, fire protection efforts, or other local projects addressing public health and safety associated with the implementation of the Control, Regulate and Tax Adult Use of Marijuana Act (AUMA).

Eligibility to Apply

Eligible applicants for Prop 64 PH&S Grant Program awards are:

- California Counties – Applications must be submitted by the Board of Supervisors or the Chief County Administrative Officer.
- California Cities – Applications must be submitted by the City Council or the Administrative Office of the City.
- California City and County – Application must be submitted by the Board of Supervisors, the Chief Administrative Officer, or City Council.

Eligible applicants **may not** submit more than one (1) proposal for funding consideration.

However, two (2) or more cities, two (2) or more counties, or a combination of two (2) or more cities and/or counties, may collaborate to submit a collaborative proposal. The jurisdictions comprising the collaborative application are not required to be contiguous. Each city or county involved in a collaborative proposal **may not** apply for an individual proposal nor be part of another collaborative proposal. All applications submitted under a collaborative basis must meet the following criteria:

1. One city or county **must** be clearly designated as the lead applicant, that city or county must submit the application, and that city or county is responsible for all aspects of grant administration and management.
2. Every city or county involved in the collaborative proposal **must** be eligible for funding per the eligibility criteria identified on page 3 of this RFP.
3. Every city or county involved in the collaborative proposal **must** submit a resolution from its City Council or Board of Supervisors indicating support of the collaborative effort and identifying its role(s) and responsibility(ies) relative to the grant.

Eligible Activities

Applicants must propose activities/strategies that fall within the four (4) Project Purpose Areas (PPAs) defined below. Applicants may either implement new activities, strategies, or programs, *OR* expand existing activities, strategies, or programs (without the supplantation of funds – see Supplanting definition on page 12).

All project components and activities must link to the intent of the Prop 64 PH&S Initiative - local impacts due to the legalization of cannabis in California.

All applicants **must** address PPA 1 (Youth Development/Youth Prevention and Intervention) and are required to budget a minimum of ten percent (10%) of requested grant funds for this area. However, should a jurisdiction determine a greater need for

PPA 1, applicants may budget up to the full requested grant (i.e., 100% requested in grant funds) for this area.

For the purposes of this RFP, youth are defined as under the age of 21 (i.e., individuals not of legal age to use and purchase cannabis products).

In addition to PPA 1 (Youth Development/Youth Prevention and Intervention), applicants may also identify and address one (1) or more of the other PPAs listed below as it relates to the local impact of legalizing cannabis. Project activities, strategies, and programmatic efforts may overlap within the listed PPAs. Each identified PPA activity, strategy, and programmatic effort does not have to relate to another identified PPA need(s). All project activities, strategies, and programmatic efforts must be associated with the implementation of AUMA (i.e., local impacts due to the legalization of cannabis in California).

Prop 64 PH&S Grant Program Project Purpose Areas (PPAs)

PPA 1: Youth Development/Youth Prevention and Intervention

This PPA is a mandatory component for the local Prop 64 PH&S Grant Project.

- Youth development programs should be designed to improve the lives of children and adolescents by meeting their basic physical, developmental, and social needs and by helping them to build the competencies needed to become successful adults.
- Youth Prevention and Intervention programs should address preventing youth substance use and addiction and/or intervening to promote healthy behaviors and environments while minimizing illness, injury, and other harms associated with substance use. Approaches may include preventing exposure, preventing youth from progressing from substance use to misuse, and preventing the onset of addiction, overdose, and other harms associated with misuse.

Youth development/youth prevention and intervention programs should be trauma-informed, culturally relevant, developmentally appropriate, community-driven, and promising / evidence-based (refer to Appendix A and Appendix C). These programs are intentional, prosocial approaches that engage youth within their communities, schools, organizations, peer groups, and families in a manner that is productive and constructive; recognizes, utilizes, and enhances young people's strengths; and promotes positive outcomes for young people by providing opportunities, fostering positive relationships, and furnishing the support needed to build on their strengths. Programs for youth should increase the individual's protective factors (e.g., positive family support; caring adults; positive peer groups; strong sense of self/self-esteem, and engagement in school and community activities).

Activities that may be funded include but are not limited to: peer mentoring or community-based mentoring; job training/apprenticeships; substance use education; substance use treatment; prosocial activities; juvenile record expungement; and youth outreach programs.

PPA 2: Public Health

Public health refers to activities for protecting the safety and improving the health of communities through education, policy/infrastructure making, and research for the safety of the community.

Activities that may be funded include but are not limited to: public health training and education; public information and outreach; inspection and enforcement of cannabis businesses; behavioral and mental health treatment; cannabis product safety; testing/safety equipment; pesticide impact efforts; drinking and waste water system updates; air quality efforts; and other environmental-system updates.

PPA 3: Public Safety

Public Safety refers to the welfare and protection of the general public, including but not limited to the prevention and protection of the public from dangers affecting safety such as crimes, disasters, or impacts due to the legalization of cannabis.

Activities that may be funded include but are not limited to: public information and outreach; training efforts; law enforcement; code enforcement; community planning or development efforts; cannabis delivery compliance, protective safety equipment; update to technology systems (track & trace); transportation impacts, water storage issues; fire protection; fuel mitigation and/or fuel reduction; and wildland/urban interface planning and implementation.

PPA 4: Environmental Impacts

Environmental impact refers to the prevention of human injury, and promoting well-being by identifying and evaluating environmental resources and hazardous agents by limiting exposures to hazardous physical, chemical, and biological agents in air, water, soil, food, and other environmental media or settings that may adversely affect human health.

Activities that may be funded include but are not limited to: technology/software; odor abatement; nuisance abatement; forest management; hazardous clean-up; sediment testing; water systems and storage; cultivation code enforcement; aquatic protections; fire protections; and pesticide impacts.

General BSCC Grant Requirements

Grant Agreement

Applicants approved for funding by the BSCC are required to enter into a Grant Agreement with the BSCC. Grantees must agree to comply with all terms and conditions of the Grant Agreement. See Appendix D for a sample contract (State of California: Contract and General Terms and Conditions).

The Grant Agreement start date is expected to be ~~July 1, 2020~~ **October 1, 2020**. Grant Agreements are considered fully executed only after they are signed by both the Grantee and the BSCC. Work, services, and encumbrances should not begin prior to the Grant Agreement start date. If a grantee chooses to incur costs for reimbursement, any work, services, and encumbrances which occur after the start date but prior to grant agreement

execution may not be reimbursed. Grantees are responsible for maintaining their Grant Agreement, all invoices, records, and relevant documentation for at least three (3) years after the final payment under the contract.

Governing Board Resolution

Local governmental applicants must submit a resolution from their governing board addressing specified requirements as included in the sample Governing Board Resolution, which can be found in Appendix E. A signed resolution is not required at the time of proposal submission; however, grant recipients must have a resolution on file for the Prop 64 PH&S Grant before a fully executed grant agreement can be completed.

Funding Awards

Disbursement of grant funds occurs on a reimbursement basis for costs incurred during a reporting period. The State Controller's Office (SCO) will issue the warrant (check) to the individual designated on the application form as the Financial Officer for the grant. Grantees must submit invoices to the BSCC on a quarterly basis through the online process no later than 45 days following the end of each quarter. Grantees must maintain adequate supporting documentation for all costs claimed on invoices. BSCC staff will conduct a desk review process which requires grantees to submit electronic documentation to support all grant funds claimed during the invoicing period and on-site monitoring visits that will include a review of documentation maintained as substantiation for project expenditures.

Audit Requirements

Grantees are required to provide the BSCC with a financial audit no later than the end of the contract term (~~December 31, 2023~~ **March, 31, 2024**). The financial audit shall be performed by a Certified Public Accountant or a participating county or city auditor that is organizationally independent from the participating county or city's project financial management functions. Expenses for this final audit may be reimbursed for actual costs up to \$25,000.

In addition, the BSCC reserves the right to call for a program or financial audit at any time between the execution of the grant agreement and three (3) years following the end of the grant period.

The Department of General Services, the Bureau of State Audits, Department of Finance, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this grant.

Quarterly Progress Reports

Grant award recipients are required to submit quarterly progress reports (QPRs) to the BSCC. QPRs are a critical element in BSCC's monitoring and oversight process. Grantees who are unable to demonstrate that they are making sufficient progress toward project goals and objectives and show that funds are being spent in accordance with the Grant Agreement could be subject to the withholding of funds. Once grants are awarded, the BSCC will work with grantees to create custom QPRs.

Grantee Orientation Process

Following the start of the grant period, BSCC staff will conduct a Grantee Orientation in Sacramento (at a date to be determined later). The purpose of this mandatory 1-day

session is to review the program requirements, invoicing and budget modification processes, data collection and reporting requirements, evaluation requirements, as well as other grant management and monitoring activities. Typically, the Project Director, Financial Officer, and Day-to-Day Contact must attend. Grant recipients may use Prop 64 PH&S Grant funds for travel-related expenditures such as airfare, mileage, meals, lodging, and other per diem costs. Applicants should include anticipated travel costs in the budget section of the proposal under the “Other” category for this event.

Travel

Travel is usually warranted when personal contact by project staff is the most appropriate method of conducting project-related business. Travel to and from training conferences may also be allowed. The most economical method of transportation, in terms of direct expenses to the project and the employee's time away from the project, must be used. Projects are required to include sufficient per diem and travel allocations for project-related personnel, as outlined in the Grant Agreement, to attend any mandated BSCC training conferences or workshops outlined in the terms of the program.

Units of Government

Units of government may follow either their own written travel and per diem policy or the State's policy. Units of government that plan to use cars from a state, county, city, district carpool, or garage may budget either the mileage rate established by the carpool or garage, or the state mileage rate, not to exceed the loaning agency rate.

Non-Governmental Organizations (NGOs)

An NGO receiving BSCC funds must use the California State travel and per diem policy, unless the grantee's written travel policy is more restrictive than the State's, in which case it must be used. Reimbursement is allowed for the cost of commercial carrier fares, parking, bridge, and road tolls, as well as necessary taxi, bus, and streetcar fares. This policy applies equally to NGOs that receive grant funds directly from the BSCC and those that receive grant funds indirectly through a subcontract with another NGO that received a BSCC grant award.

Out-of-State Travel

Out-of-state travel is restricted and only allowed in exceptional situations. Grantees must receive written BSCC approval prior to incurring expenses for out-of-state travel. Even if previously authorized in the Grant Award, grantees must submit to the BSCC a separate formal request (on grantee letterhead) for approval. Out-of-state travel requests must include a detailed justification and budget information.

In addition, California prohibits travel, except under specified circumstances, to states that have been found by the California Attorney General to have discriminatory laws. The BSCC will not reimburse for travel to these states unless the travel meets a specific exception under Government Code section 11139.8, subdivision (c). For additional information, please see: <https://oag.ca.gov/ab1887>.

Debarment, Fraud, Theft, or Embezzlement

It is the policy of the BSCC to protect grant funds from unreasonable risks of fraudulent, criminal, or other improper use. As such, the BSCC will not enter into contracts or provide disbursements or reimbursement to applicants that have been:

1. debarred by any federal, state, or local government entities during the period of debarment; or
2. convicted of fraud, theft, or embezzlement of federal, state, or local government grant funds for a period of three (3) years following conviction.

Furthermore, the BSCC requires grant recipients to provide an assurance that there has been no applicable debarment, disqualification, suspension, or removal from a federal, state or local grant program on the part of the grantee at the time of application and that the grantee will immediately notify the BSCC should such debarment or conviction occur during the term of the grant contract.

The BSCC also requires that all grant recipients include, as a condition of award to a subgrantee or subcontractor, a requirement that the subgrantee or subcontractor will provide the same assurances to the grant recipient. If a grant recipient wishes to consider a subgrantee or subcontractor that has been debarred or convicted, the grant recipient must submit a written request for exception to the BSCC along with supporting documentation.

All applicants must complete Appendix F certifying they are in compliance with the BSCC's policies on debarment, fraud, theft, and embezzlement.

Compliance Monitoring Visits

BSCC staff will conduct periodic monitoring of each project to assess whether the project is in compliance with grant requirements and making progress toward grant objectives. As needed, monitoring visits may also occur to provide technical assistance on fiscal, programmatic, evaluative, and administrative requirements. For your reference, a sample monitoring visit checklist is contained in Appendix G.

Project Funding Information

Grant Period

Successful proposals will be funded for a three-year grant project cycle commencing on ~~July 1, 2020 and ending on June 30, 2023~~ **October 1, 2020 and ending on September 30, 2023.**

However, additional time (~~July 1, 2023 through December 31, 2023~~ **January 1, 2024 through March 31, 2024**) is included in the term of the contract for the sole purposes of:

- 1) Finalizing and submitting a required Local Evaluation Report, and
- 2) Finalizing and submitting a required financial audit.

Funding Amount

A total of \$24,700,000 in state funding through the State and Local Government Law Enforcement Account is available for local projects through this RFP. The maximum amount for which any eligible single applicant may apply is \$1 million. Eligible collaborative applicants may submit one (1) proposal for a maximum of \$2 million, regardless of the number of local government partners collaborating on the application.

Applicants are encouraged to request only the amount of funds needed to support their proposal and the amount that can be justified with supporting documentation/information. All applicants must build their proposal, objectives, activities, timelines, and budget information for all three (3) years of the grant cycle. For example, if a single applicant is requesting the maximum award amount of \$1,000,000, the budget detail must clearly illustrate how that \$1,000,000 will be allocated across the entire three (3) years (see Budget Section for instructions).

All project components and activities must link to the intent of the Prop 64 PH&S Initiative - local impacts due to the legalization of cannabis in California.

Funding Distribution and Funding Thresholds

The total available funding of \$24,700,000 will be awarded within four categories and applicants will only compete with other applicants within that category. Recognizing that different sized jurisdictions have different capacities, resources, and needs, these categories were established so a percentage of the overall available funding will be set aside for small, medium, and large counties (and cities within those counties). The categories and amounts available for each are as follows:

1. Small counties, and all cities within those counties, will compete for 20 percent (20%) of the total Prop 64 PH&S grant funds, which equates to \$4,940,000.
2. Medium counties, and all cities within those counties, will compete for 20 percent (20%) of the total Prop 64 PH&S grant funds which equates to \$4,940,000.
3. Large counties, and all cities within those counties, will compete for 20 percent (20%) of the total Prop 64 PH&S grant funds which equates to \$4,940,000.

The categorization of counties as small, medium, or large is based on county population figures published by the California Department of Finance (see Appendix H). Cities will be categorized based on the county they are located.

The remaining 40 percent (40%) of the total Prop 64 PH&S grant funds will be used to fund the overall highest-rated proposals not funded through the small, medium, and large county set-aside categories.

4. The highest rated proposals that did not receive an award under the above categories will compete for the remaining 40 percent (40%), or \$9,880,000, regardless of county size category.

Funds in the small, medium, and large categories will be awarded first. Should there be a proposal that is only partially funded through a county-size category due to not having sufficient funds for a full proposal request, those proposals will be fully funded first using funds within the 40 percent (40%) category.

If there are not sufficient qualified applicants in any set-aside county-size category to exhaust all funds, those funds will be used to augment the 40 percent (40%) highest-rated category.

Minimum Score

Applicants must receive at least **50%** of the total points available to be considered for funding (i.e., qualified applicants). See Rating Factors, page 15.

Match Requirement

The Prop 64 PH&S Grant Program does not require matching and/or leveraged funds.

Supplanting

Supplanting is the deliberate reduction in the amount of federal, state, or local funds being appropriated to an existing program or activity because grant funds have been awarded for the same purposes.

Supplanting is strictly prohibited for all BSCC grants. BSCC grant funds shall be used to support new program activities or to augment existing funds which expand current program activities. BSCC grant funds shall not be used to replace existing funds.

It is the responsibility of the Grantee to ensure that supplanting does not occur. The Grantee must keep clear and detailed financial records to show that grant funds are used only for allowable costs and activities.

Project Evaluation Requirements

In addition to quarterly progress reports (QPRs), projects selected for funding will be required to submit to the BSCC: (1) a Local Evaluation Plan and (2) a Local Evaluation Report.

Local Evaluation Plan - The purpose of the Local Evaluation Plan (LEP) is to ensure that projects funded by the BSCC can be evaluated. Applicants will be expected to include a detailed description of how they plan to assess the effectiveness of the proposed program in relation to each of its goals and objectives identified in the proposal. The LEP should describe the evaluation design or model that will be used to evaluate the effectiveness of the project component(s), with the project goals and the objectives clearly stated. Applicants should include criteria for both process and outcome evaluations. Once submitted, any modifications to the LEP must be approved in advance by the BSCC. More detailed instructions on the LEP will be made available to successful applicants. See Appendix I for a sample of evaluation components.

Local Evaluation Report - Following project completion, grantees are required to complete a final Local Evaluation Report (LER) which must be in a format prescribed by the BSCC. The purpose of the final LER is to determine whether the overall project was effective in meeting the goals laid out in the LEP. To do this, the grantee must assess and document the effectiveness of the activities that were implemented. These activities should have been identified in the previously submitted LEP. More detailed instructions on the LER will be made available to successful applicants.

Applicants are strongly encouraged to identify research partners early on and include them in the development of the proposal, to better ensure that the goals and objectives listed in the proposal are realistic and measurable. Applicants are also strongly

encouraged (but not required) to use outside evaluators to ensure objective and impartial evaluations. Specifically, applicants are encouraged to partner with state universities or community colleges for evaluations. Evaluation planning, oversight, and reporting activities may be funded by the Prop 64 PH&S Grant Program monies and should be identified within the applicant's proposed budget.

BSCC Executive Steering Committee Process

Prop 64 PH&S Grant Program Executive Steering Committee

To ensure successful program design and implementation, the BSCC uses Executive Steering Committees (ESCs) and Advisory Groups to inform decision-making related to the Board's programs. These committees are composed of subject matter experts and stakeholders representing both the public and private sectors. The BSCC makes every attempt to include diverse representation on its ESCs and Advisory Groups - in breadth of experience, geography, and demographics. ESCs are convened and approved by the BSCC Board, as the need arises, to carry out specified tasks including the development of RFPs for grant funds. The Board then approves, rejects, or revises those recommendations. Members of ESCs are not paid for their time but are reimbursed for travel expenses incurred to attend meetings.

The Prop 64 PH&S Grant Program ESC includes subject matter experts on education, public health and safety, environmental impacts, law enforcement, fire protection, cannabis licensing and cultivation, substance use, mental health, and the criminal and juvenile justice systems. A list of ESC members can be found in Appendix J.

Conflicts of Interest

Existing law prohibits any grantee, subgrantee, partner, or like party who participated on the above referenced Prop 64 PH&S Grant Program ESC from receiving funds from the grants awarded under this RFP. Applicants who are awarded grants under this RFP are responsible for reviewing the Prop 64 PH&S Grant Program ESC membership roster and ensuring that no grant dollars are passed through to any entity represented by any member of the ESC.

Overview of the RFP Process

Confirmation of Receipt of Proposal

Upon submission of a proposal, applicants will receive a confirmation response from the BSCC stating the proposal has been received.

Disqualification – **PLEASE READ THOROUGHLY**

“Disqualification” means the proposal will not move forward to the ESC for the Proposal Rating Process and, therefore, will NOT be considered for funding under this grant.

The following will result in an **automatic disqualification**:

- The applicant is not a county or a city in California.
- The applicant does not meet the eligibility criteria for the Prop 64 PH&S Grant funding (see Eligibility for Funding, page 3).
- The funding request for a single application is more than \$1 million.
- The funding request for a collaborative application is more than \$2 million.
- An electronic version of the complete proposal package is not received by 5:00 p.m. on ~~Friday, April 3, 2020~~ **Friday, June 5, 2020**.
- The complete proposal package was not submitted using the BSCC Prop 64 PH&S Grant Program proposal template as provided at the end of this document including the narrative section formatting requirements set within the template:
 - Arial 12-point font
 - One-inch margins on all four sides
 - 1.5-line spacing
- The complete proposal package does not contain all required sections and attachments:
 - Coversheet - accurately completed
 - Prop 64 PH&S Grant Program Checklist - completed and signed in blue ink
 - Applicant Information Form - completed and signed in blue ink
 - Proposal Abstract
 - Proposal Narrative (Project Need, Project Description, & Project Evaluation)
 - Project Work Plan
 - Budget Table & Narrative
 - Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement (Appendix F) – completed and signed
 - Criteria for Non-Governmental Organizations Receiving Prop 64 PH&S Grant Funds (Appendix K) – completed and signed
 - Letter of Eligibility
 - Letter(s) of Commitment
- The Proposal Abstract exceeds the maximum limit of one (1) numbered page.
- The Proposal Narrative Section (Project Need, Project Description, & Project Evaluation) exceeds the maximum limit of eight (8) numbered pages.
- The Proposal Narrative Section contains footnotes, tables, graphs, charts, graphics and/or website links. (Note: two (2) additional pages are allowed for the above. See page 21 for instructions.)
- The Project Workplan is not on the template provided.
- The Project Workplan exceeds the maximum limit of two (2) numbered pages.
- The Budget Table exceeds the maximum limit of one (1) numbered page.

- The Budget Narrative exceeds the maximum limit of four (4) numbered pages.

Rating Process

Unless disqualified, proposals will advance to the Proposal Rating Process. The Prop 64 PH&S Grant Program ESC members will read and rate each proposal in accordance with the prescribed rating factors listed in the table below.

The ESC members will base their scores on how well an applicant addresses the items listed under each rating factor within the Proposal Narrative and Budget. Following the Proposal Rating Process, the ESC may convene for a final rater review meeting where funding recommendations will be finalized for consideration by the BSCC Board.

At the conclusion of this process, applicants will be notified of the funding recommendations. It is anticipated the BSCC Board will act on the recommendations at its meeting on ~~June 11, 2020~~ **September 10, 2020**. Applicants and partners are not to contact members of the ESC nor the BSCC Board to discuss proposals.

Rating Factors

The Rating Factors to be used and the maximum points assigned to each factor are shown in the table below. Applicants are asked to address each of these factors as a part of their proposal. The ESC assigned a percent value to each of the Rating Factors, correlating to its importance (see Percent of Total Value column).

Prop 64 PH&S Grant Program Rating Factors and Point Values

	Rating Factors	Point Range	Percent of Total Value	Weighted Rating Factor Score
1	Project Need	1 - 5	25%	50
2	Project Description	1 - 5	50%	100
3	Project Evaluation	1 - 5	15%	30
4	Project Budget	1 - 5	10%	20
Maximum Rating Factor Score:			100%	200

Raters will score an applicant's response in each of the Rating Factor categories on a scale of 1-5, according to the Sample Scoring Rubric shown below. Each rating factor score is then weighted according to the "Percent of Total Value" column (determined by the ESC) associated with each Rating Factor to arrive at the final Weighted Score for each Rating Factor and then added together for a final overall proposal score.

Threshold/Minimum Score

A proposal must meet a threshold of **50%**, or minimum score of **100** total points to be considered for funding. Total points equal the weighted score plus preference points.

Scoring Rubric for 1-5 Point Range

Poor 1	Fair 2	Satisfactory 3	Good 4	Excellent 5
The response addresses the criteria in a very inadequate way.	The response addresses the criteria in a non-specific or unsatisfactory way.	The response addresses the criteria in an adequate way.	The response addresses the criteria in a substantial way.	The response addresses the criteria in an outstanding way.

Attachment D-1

PART II: PROPOSAL INSTRUCTIONS AND RATING FACTORS

The following section contains pertinent information on how to complete the Request for Proposal package for the Prop 64 PH&S Grant Program. Submittal instructions are contained in Part I, page 3.

- Proposal Abstract
- Proposal Narrative Sections
 1. Project Need
 2. Project Description
 3. Project Evaluation
- Project Workplan
- Project Budget Table and Budget Narrative
- Additional Request for Proposals Information, if applicable
- Required Request for Proposals Attachments
 1. Letter(s) of Commitment
 2. Letter of Eligibility
 3. Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement
 4. Criteria for Non-Governmental Organizations Receiving Prop 64 PH&S Grant Funds

***** THE REQUEST FOR PROPOSAL PACKAGE CAN BE FOUND AT THE END OF THIS ENTIRE DOCUMENT. THE PROPOSAL TEMPLATE IS PROVIDED IN A FILLABLE FORMAT. USING THE TAB KEY WILL ALLOW THE APPLICANT ACCESS TO THOSE AREAS REQUIRING INFORMATION. *****

Proposal Abstract

Instructions: The Proposal Abstract should provide a brief summary of the proposed project. The Proposal Abstract must be submitted using the BSCC Prop 64 PH&S Grant Program proposal template (a locked, fillable form) provided at the end of this document (Arial 12-point font with one-inch margins on all four sides and at 1.5-line spaced) and cannot exceed **one (1) numbered page** in length. This section will not be included in the rating of the Proposal.

Proposal Narrative

The Proposal Narrative should address the Project Need, Project Description, and Project Evaluation, using each of the section headers exactly as provided in the proposal template (a locked, fillable form). The Proposal Narrative must be submitted using the BSCC Prop 64 PH&S Grant Program proposal template provided at the end of this document (Arial 12-point font with one-inch margins on all four sides and at 1.5-line spaced) and cannot exceed **eight (8) numbered pages** in length.

Footnotes are not allowable within the Proposal Narrative section. Sources cited must be included within Proposal Narrative or may be referenced within the Additional RFP Information attachment.

It is up to the applicant to determine how best to use the 8-page limit in addressing each RFP section. If these narrative sections total more than eight (8) pages in length, the application will be disqualified (see page 14).

Do not include any of the following within your narrative:

- Footnotes
- Tables;
- Graphs;
- Charts;
- Graphics; or
- Website links.

The 8-page limitation for these sections does not include the following mandatory items:

- The Cover Sheet;
- The Proposal Checklist;
- The Applicant Information Form;
- The Project Abstract;
- The Project Work Plan;
- The Budget Table and Budget Narrative; nor
- Other required attachments (see Proposal Checklist).

Within each narrative section, address the following bulleted items in a cohesive, comprehensive, and concise narrative format.

Rating Criteria for Project Need

Percent of Total Value: 25%

Address the rating factor for Project Need in narrative form as defined below. The response will be evaluated with a single rating based on a scale of 1-5.

Project Need: The applicant articulated a need that is pertinent to the intent of the grant program. The elements that are to comprise the Project Need are listed below. Addressing each element does not in itself merit a high rating; rather, although each element is to be addressed (when applicable), it is the quality of the response to each that is to be evaluated.

1.1	Describe the need(s) related to the impact of the passage of Proposition 64.
1.2a	Demonstrate how the need(s) is related to Project Purpose Area (PPA) 1 - Youth Development/Youth Prevention and Intervention.
1.2b	Demonstrate how the need(s) is related to other PPA(s) selected (if applicable).
1.3	Describe why the need(s) described above is not met with existing resources.
1.4	Provide relevant qualitative and/or quantitative data with citations in support of the need(s).
1.5	Describe the process that was used to determine the need(s), including soliciting input from key stakeholders (e.g., community, public, private).

Rating Criteria for Project Description

Percent of Total Value: 50%

Address the rating factor for Project Description in narrative form as defined below. The response will be evaluated with a single rating based on a scale of 1-5.

Project Description: The applicant provided a description of the project that is related to the identified need and the intent of the grant program. The elements that are to comprise the Project Description are listed below. Addressing each element does not in itself merit a high rating; rather, although each element is to be addressed, it is the quality of the response to each that is to be evaluated.

2.1	<p>Describe the proposed project that will address the need(s) discussed in the Project Needs section. The description should:</p> <ul style="list-style-type: none">• Describe the components of the proposed project linked to the mandatory PPA 1 – Youth Development/Youth Prevention and Intervention.• Describe the components of the proposed project linked to other PPAs selected (if applicable).• Describe the target area and/or population which will be the focus of the project, including how and why it was selected.• If applicable, provide an estimate of how many individuals will be served and the process for determining which services/activities an individual/group will receive.
-----	--

Project Description: The applicant provided a description of the project that is related to the identified need and the intent of the grant program. The elements that are to comprise the Project Description are listed below. Addressing each element does not in itself merit a high rating; rather, although each element is to be addressed, it is the quality of the response to each that is to be evaluated.	
2.2	Provide rationale to support the selection of the proposed project which includes relevant evidence or research supporting its use to address the need as described in the Project Need section (include citations if appropriate).
2.3	Describe the extent to which the proposed project will utilize existing resources or projects.
2.4	Describe the experience, staffing, and/or partnerships your organization will use to implement the proposed project [include partners' Letter(s) of Commitment, if applicable]. If partners are to be selected after the grant is awarded, then specify the process and criteria for selecting those partners.
2.5	Provide a Project Work Plan (Appendix L- Sample) that: <ul style="list-style-type: none"> Identifies the project's goals and measurable objectives (see Appendix A for definitions) that address PPA 1 and other selected PPAs (if applicable) that are related to the need and intent of the grant. Identifies how the goals will be achieved in terms of the activities, responsible staff/partners, and start and end dates. Is appropriate to the proposed project.

Rating Criteria for Project Evaluation

Percent of Total Value: 15%

Address the rating factor for Project Evaluation in narrative form as defined below. The response will be evaluated with a single rating based on a scale of 1-5.

Project Evaluation: The applicant described how it will evaluate the effectiveness of the proposed project. The elements that are to comprise the Project Evaluation are listed below. Addressing each element does not in itself merit a high rating; rather, although each element is to be addressed, it is the quality of the response to each that is to be evaluated.	
3.1	Describe a plan to determine the staff and/or entity that will conduct the project evaluation and how evaluation activities will be incorporated in the various phases of the project (e.g., implementation, service delivery period).
3.2	Identify process and outcome measures that are quantifiable and in line with the intent of the grant.
3.3	Describe a reasonable plan for monitoring the project to ensure that the project components are implemented as intended.
3.4	Describe a preliminary plan for how to collect and evaluate baseline and outcome data related to the outcome measures. Provide for data sharing agreements, if necessary.
3.5	Describe a research design or methodology that will allow for an assessment of whether the strategy that was implemented achieved the intended outcomes.

Proposal Budget

Rating Criteria for Project Budget Percent of Total Value: 10%

Address the rating factor for Project Budget in narrative form as defined below. The response will be evaluated with a single rating based on a scale of 1-5.

Project Budget: The applicant provided budget information for the proposed project. The elements that are to comprise the Project Budget are listed below. Addressing each element does not itself merit a high rating; rather, although each element is to be addressed, it is the quality of the response to each that is to be evaluated.

4.1	Provide a complete Budget Table that is appropriate for the proposed project.
4.2	Provide budget narrative that relates the expenses to the proposed project and is inclusive of all project components and the selected PPA(s).

As part of the application process, applicants are required to submit the RFP Budget Table and Narrative using the BSCC Prop 64 PH&S Grant Program proposal template provided at the end of this document (Arial 12-point font with one-inch margins on all four sides and at 1.5-line spaced).

- The Budget Table cannot exceed **one (1) numbered page** in length.
- The Budget Narrative cannot exceed **four (4) numbered pages** in length.

The Budget sections must be filled out completely and accurately. Applicants are solely responsible for the accuracy and completeness of the information entered in the Budget Section. All project costs must be directly related to the objectives and activities of the project demonstrating how the funds will be used to address the local need(s) due to the impact of legalizing cannabis in California. The Budget Table must cover the entire 3-year grant period.

For additional guidance related to grant budgets, refer to the *BSCC Grant Administration Guide*, found under Quick Links on the Corrections Planning and Grant Programs home page: http://www.bscc.ca.gov/s_correctionsplanningandprograms/

Additional RFP Information

Applicants may include a maximum of two (2) additional numbered pages to the Proposal Package. Identify these pages with the header: Additional RFP Information. These pages must have a one-inch margin on all four sides and may **only** include endnotes, tables, charts, graphs and/or graphics, must be cited/referenced within the Proposal Narrative, must directly support the Proposal Narrative, and be legible. If more than two (2) pages are submitted, only the first two (2) will go forward to the raters.

Letter(s) of Commitment

Applicants must include at least one (1) Letter of Commitment as part of the application package. A Letter(s) of Commitment should express, not only support for the proposed project, but also the author's specific commitment toward ensuring the overall success of the project. There is no required format for the Letter(s) of Commitment; however, each letter must:

- ✓ be on the collaborating partner organization's letterhead
- ✓ detail the roles and responsibilities of the partnering agency within the Prop 64 PH&S Grant Program.

Letter of Eligibility

Applicants must provide a Letter of Eligibility detailing how the county or city meets the eligibility criteria (page 3). There is no required format for the Letter of Eligibility; however, a sample is provided (see Appendix B) and the letter must:

- ✓ Be on the county or city letterhead
- ✓ Include the titles of the regulations, ordinances, or amendments to local government charters
- ✓ Include the dates the regulations, ordinances, or amendments to local government charters became enacted/effective

Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement

Please see Appendix F

Criteria for Non-Governmental Organizations Receiving Prop 64 PH&S Grant Funds

Please see Appendix K.

APPENDICES

Attachment D-1

APPENDIX A

Glossary of Terms – Prop 64 PH&S Grant

DEFINITIONS FOR THE PURPOSES OF THE PROPOSITION 64 PUBLIC HEALTH AND SAFETY GRANT

Banned

Banned is to prohibit, forbid, or bar by a local government.

For the purposes of this RFP, if any one or more of the four (4) cultivation activities below are true, the local government is ineligible for Prop 64 PH&S funding:

Banned Cultivation

- ✓ All indoor commercial cultivation (including mixed light cultivation)
- ✓ All indoor personal cultivation (including mixed light cultivation)
- ✓ All outdoor commercial cultivation
- ✓ All outdoor personal cultivation

NOTE: Cultivation of cannabis is not considered banned by a local government if the local government restricts cultivation activities to specific locations pursuant to local zoning ordinances or if the local government requires licensure for specific commercial cultivation activities provided that such restrictions do not result in the prohibition of all indoor commercial cultivation, indoor personal cultivation, outdoor commercial cultivation, or outdoor personal cultivation activities within the local government's jurisdiction/authority.

For the purposes of this RFP, if either of the retail sale activities below are true, the local government is ineligible for Prop 64 PH&S funding:

Banned Retail Sale

- ✓ Prohibiting establishment of business licensed under Division 10 of the Business and Professions Code
- ✓ Prohibiting operation of businesses licensed under Division 10 of the Business and Professions Code

NOTE: Retail sale of cannabis is not considered banned by a local government if the local government restricts the retail sale of cannabis to specific locations pursuant to local zoning ordinances, or if the local government requires licensure for specific retail sales activities provided that such restrictions do not result in the prohibition of all retail sales in the local government's jurisdiction/authority.

Community-based Organization

A community-based organization (CBO) is a nongovernmental organization that provides services to a community consisting of individuals, groups, or other organizations that constitute the local or community service population. In the context of the Prop 64 PH&S Grant Program, a CBO is generally considered to be a non-government, non-law enforcement organization that provides services individuals that are at risk of involvement

or already involved with the justice system. In this RFP, CBOs and nonprofit organizations are referred to as NGOs or Non-Governmental Organizations.

Community-Driven

Community-driven practices are programs and strategies that are derived from the traditional practices of a particular racial, ethnic, or cultural community and have been determined effective by the community.

Cultural Relevance

Cultural relevance acknowledges the influence of the youth's identity characteristics on the youth's experience of the world and incorporates perspectives into the program's environment. These identity characteristics include racial/ethnic, gender, class, religion, educational, sexual orientation, gender identity, family heritage, disability, and any other identity the youth communicates as important.

Every grantee and sub-grantee/sub-recipient that receives Prop 64 PH&S Grant funds should utilize programs, practices, and approaches that embed cultural relevancy.

Developmentally Appropriate

A service or intervention may be considered developmentally appropriate if it is based on a child's level of need, or developmental stage, rather than the child's chronological age.

Evidence-based / Promising Practices

Evidence-based practices are programs and strategies that have been found effective at improving positive or preventing negative health outcomes, using rigorous scientific research methods. Programs and strategies may be evidence-based across all populations, or only for particular cultures and identities.

Promising practices are programs and strategies that have shown some positive results and potential for improving desired health outcomes. They may have evidence from use in real-world settings, a strong theoretical framework, and/or expert opinion, but have not been fully replicated in scientific studies. Depending on the level of scientific evidence, these are sometimes referred to as "evidence-informed," "research-supported," or "emerging" practices.

Applicants may find it helpful to review the information on evidence-based practices in Appendix C of this RFP as well as in the Substance Abuse and Mental Health Services Administration's (SAMHSA) Guide to Evidence-Based Practices available at:

www.samhsa.gov/ebpwebguide.

Financial Audit

A financial audit provides assurances that an organization's financial statements are free of material misstatement based upon the application of generally accepted accounting principles.

Mixed Light Cultivation

Mixed light cultivation occurs within a greenhouse, hoop-house, glasshouse, conservatory, hothouse, or other similar structure and uses a combination of natural and supplemental artificial lighting.

Service Need Determination

In considering whether to provide diversion services to potential program participants, service providers receiving funding under this grant must use an approach that is trauma-informed, culturally relevant and developmentally appropriate. Approaches could include, but are not limited to, surveys or interviews. Applicants must thoroughly explain and justify their proposed approach for deciding which youth will be selected or chosen for program participation. Every applicant must identify some methodology for determining whether a potential program participant would benefit from services and how youth will be matched with specific services that provide those benefits.

Trauma

Trauma is an experience that causes intense physical and psychological stress reactions. It can refer to a single event, multiple events, or a set of circumstances that is experienced by an individual as physically and emotionally harmful or threatening and that has lasting adverse effects on the individual's physical, social, emotional, cognitive, or spiritual well-being.

Trauma-Informed

A Trauma-Informed approach is one in which all parties involved recognize and respond appropriately to the impact of traumatic stress designed to the youth's individual needs and ensure the physical and psychological safety of all youth, family members, and staff. Trauma-informed care is an organizational structure and system framework that involves understanding, recognizing, and responding to traumatic stress reactions and the effects of all types of trauma. Trauma-informed care also emphasizes raising awareness and providing resources about trauma and the impact of trauma on youth, family members and staff.

Youth

Youth is defined as under the age of 21 (i.e., individuals not of legal age to use and purchase cannabis products).

EVALUATIVE TERMS

Local Evaluation Plan and Local Evaluation Report¹

The purpose of the Local Evaluation Plan and the Local Evaluation Report is to identify whether the program achieved its goals and objectives. Outcome measures are designed to answer the question: "What results did the program produce?" Examples of outcome measures could include:

¹Justice Research and Statistics Association, Juvenile Justice Evaluation Center. (2003, June). *Juvenile Justice Program Evaluation: An overview (Second Edition)* p. 7. Retrieved from <http://www.jrsa.org/pubs/juv-justice/program-evaluation.pdf>.

- Results of pre/post surveys (e.g., improvements in the number of high school graduates or reductions in law enforcement contacts).
- Implementation of programs aimed at increasing the number of youth enrolled in mentoring programs.
- Changes in policies that improve access to alcohol and substance use prevention services for youth.

Goal versus Objective

Goals and objectives are necessary components of the Local Evaluation Plan and the Project Work Plan. These common terms are sometimes used interchangeably because both refer to the intended results of program activities. Goals are longer-term than objectives, more broadly stated and govern the specific objectives to which program activities are directed.

In proposals, goals are defined by broad statements of what the program intends to accomplish, representing the long-term intended outcome of the program².

Examples of goal statements³:

- To reduce the number of youths who commit serious offenses.
- To reduce the number of youths who exhibit a pattern of chronic offending.
- To divert youth who commit nonviolent offenses from state juvenile correctional institutions.
- To restore the losses suffered by the victims of crimes.
- To make improvements in academic behavior and/or achievement.
- To reduce rates of youth substance use in the target area.

Objectives are statements of specific, measurable aims of program activities. Objectives detail the tasks that must be completed to achieve goals. Descriptions of objectives in the proposals should include three elements:⁴

- 1) Direction – the expected change or accomplishment (e.g., improve, maintain);
- 2) Timeframe – when the objective will be achieved; and
- 3) Target Population – who is affected by the objective.

Examples of program objectives:⁵

- By the end of the program, drug-addicted youth will recognize the long-term consequences of drug use.
- To place eligible youth in an intensive supervision program within two weeks of adjudication to ensure their accountability and the community's safety.

² Justice Research and Statistics Association, Juvenile Justice Evaluation Center. (2003, June). *Juvenile Justice Program Evaluation: An overview (Second Edition)*. Retrieved from <http://www.jrsa.org/pubs/juv-justice/program-evaluation.pdf>. See also New York State Division of Criminal Justice Services. *A Guide to Developing Goals and Objectives for Your Program*. Retrieved from <http://www.criminaljustice.ny.gov/ofpa/goalwrite.htm>.

³ *Id.* at p. 4.

⁴ Justice Research and Statistics Association, Juvenile Justice Evaluation Center. (2003, June). *Juvenile Justice Program Evaluation: An overview (Second Edition)* p. 5. Retrieved from <http://www.jrsa.org/pubs/juv-justice/program-evaluation.pdf>.

⁵ *Id.*

- To ensure the youth in this program carry out all the terms of the mediation agreements they have worked out with their victims by program completion.
- To improve the self-discipline and study habits of youth enrolled.

Principles of Effective Intervention

During the past two decades, there has been renewed interest in examining correctional research. These efforts have been led by researchers such as Gendreau, Andrews, Cullen, Lipsey and others.⁶ Much evidence has been generated, leading to the conclusion that many rehabilitation programs have, in fact, produced significant reductions in recidivism. The next critical issue became the identification of those characteristics most commonly associated with effective programs. Through the work of numerous scholars (Andrews et al., 1990⁷; Cullen and Gendreau, 2000⁸; Lipsey 1999⁹), several “principles of effective intervention” have been identified. These principles can be briefly categorized as the following:

- Assess Risk/Needs
- Enhance Intrinsic Motivation
- Target Interventions
 - Risk Principle
 - Needs Principle
 - Responsivity Principle
 - Dosage
 - Treatment Principle
- Skill Train with Directed Practice
- Increase Positive Reinforcement
- Engage Ongoing Support in Natural Communities
- Measure Relevant Processes/Practices
- Provide Measurement Feedback

TERMS DEFINED BY THE PROPOSITION 64 INITIATIVE

Cannabis means all parts of the plant *Cannabis sativa* L., whether growing or not; the seeds thereof; the resin extracted from any part of the plant; and every compound, manufacture, salt, derivative, mixture, or preparation of the plant, its seeds or resin.

Cannabis accessories means any equipment, products or materials of any kind which are used, intended for use, or designed for use in planting, propagating, cultivating, growing, harvesting, manufacturing, compounding, converting, producing, processing, preparing,

⁶ For a thorough review of this research, see Cullen, F.T. and B.K. Applegate. 1998. Offender rehabilitation: Effective correctional intervention. Brookfield, Vt.: Ashgate Dartmouth.

⁷ Andrews, D.A., I. Zinger, R.D. Hoge, J. Bonta, P. Gendreau and F.T. Cullen. 1990. Does correctional treatment work? A clinically relevant and psychologically informed meta-analysis. *Criminology* 28(3):369-404.

⁸ Cullen, F.T. and P. Gendreau. 2000. Assessing correctional rehabilitation: Policy, practice, and prospects. In *Criminal justice 2000: Volume 3 – Policies, processes, and decisions of the criminal justice system*, ed. J. Horney, 109-175. Washington, D.C.: U.S. Department of Justice, National Institute of Justice.

⁹ Lipsey, M.W. 1999. Can intervention rehabilitate serious delinquents? *The Annals of the American Academy of Political and Social Science*, 564(2):142-166.

testing, analyzing, packaging, repackaging, storing, smoking, vaporizing, or containing cannabis, or for ingesting, inhaling, or otherwise introducing cannabis or cannabis products into the human body. (Health & Saf. Code, § 11018.2.)

Cannabis products means cannabis that has undergone a process whereby the plant material has been transformed into a concentrate, including, but not limited to, concentrated cannabis, or an edible or topical product containing cannabis or concentrated cannabis and other ingredients. (Health & Saf. Code, § 11018.1.)

Commercial marijuana activity includes the cultivation, possession, manufacture, distribution, processing, storing, laboratory testing, labeling, transportation, distribution, delivery or sale of marijuana and marijuana products as provided for in this division.

Cultivation means any activity involving the planting, growing, harvesting, drying, curing, grading, or trimming of marijuana.

Delivery means the commercial transfer of marijuana or marijuana products to a customer. Delivery also includes the use by a retailer of any technology platform owned and controlled by the retailer, or independently licensed under this division, that enables customers to arrange for or facilitate the commercial transfer by a licensed retailer of marijuana or marijuana products.

Distribution means the procurement, sale, and transport of marijuana and marijuana products between entities licensed pursuant to this division.

License means a state license issued under Section 26001 of the Business and Professions Code.

Licensee means any person or entity holding a license under Section 26001 of the Business and Professions Code.

Licensing authority means the state agency responsible for the issuance, renewal, or reinstatement of the license, or the state agency authorized to take disciplinary action against the licensee.

Local government (jurisdiction) means a city, county, or city and county.

Manufacture means to compound, blend, extract, infuse, or otherwise make or prepare a marijuana product.

Manufacturer means a person that conducts the production, preparation, propagation, or compounding of marijuana or marijuana products either directly or indirectly or by extraction methods, or independently by means of chemical synthesis, or by a combination of extraction and chemical synthesis at a fixed location that packages or repackages marijuana or marijuana products or labels or re-labels its container, that holds a state license pursuant to this division.

Marijuana has the same meaning as Cannabis (see above).

Nursery means a licensee that produces only clones, immature plants, seeds, and other agricultural products used specifically for the planting, propagation, and cultivation of marijuana.

Operation means any act for which licensure is required under the provisions of this division, or any commercial transfer of marijuana or marijuana products.

Package means any container or receptacle used for holding marijuana or marijuana products.

Purchaser means the customer who is engaged in a transaction with a licensee for purposes of obtaining marijuana or marijuana products.

Sell, sale, and to sell includes any transaction whereby, for any consideration, title to marijuana is transferred from one person to another, and includes the delivery of marijuana or marijuana products pursuant to an order placed for the purchase of the same and soliciting or receiving an order for the same, but does not include the return of marijuana or marijuana products by a licensee to the licensee from whom such marijuana or marijuana product was purchased.

Attachment 2

APPENDIX B

SAMPLE: Letter of Eligibility

Before grants can be awarded funds and receive an executed contract under the Proposition 64 Public Health and Safety Grant Program, the applying local jurisdiction (and all jurisdictions within a collaborative proposal) must assure they do not ban the following activities. Below is sample assurance language that, at a minimum, must be included in the Letter of Eligibility submitted to the Board of State and Community Corrections.

As an applicant, our jurisdiction(s) does not ban (i.e., prohibit, forbid, or bar):

- ☐ All indoor commercial cultivation (including mixed light cultivation)
Regulation(s), ordinance(s), and or amendment(s) to our local government charter(s) that ensure the above are true- *<provide information including enacted/effective date(s)>*.
- ☐ All indoor personal cultivation (including mixed light cultivation)
Regulation(s), ordinance(s), and or amendment(s) to our local government charter(s) that ensure the above are true- *<provide information including enacted/effective date(s)>*.
- ☐ All outdoor commercial cultivation
Regulation(s), ordinance(s), and or amendment(s) to our local government charter(s) that ensure the above are true- *<provide information including enacted/effective date(s)>*.
- ☐ All outdoor personal cultivation
Regulation(s), ordinance(s), and or amendment(s) to our local government charter(s) that ensure the above are true- *<provide information including enacted/effective date(s)>*.
- ☐ Establishment of business(es) licensed under Division 10 of the Business and Professions Code
Regulation(s), ordinance(s), and or amendment(s) to our local government charter(s) that ensure the above are true- *<provide information including enacted/effective date(s)>*.
- ☐ Operation of businesses licensed under Division 10 of the Business and Professions Code
Regulation(s), ordinance(s), and or amendment(s) to our local government charter(s) that ensure the above are true- *<provide information including enacted/effective date(s)>*.

Documentation detailed above will be provided to the BSCC upon request.

AUTHORIZED SIGNATURE (This document must be signed by the person who is authorized to sign the Grant Agreement.)		
NAME OF AUTHORIZED OFFICER		TITLE
STREET ADDRESS		
CITY	STATE	ZIP CODE
TELEPHONE NUMBER		EMAIL ADDRESS
AUTHORIZED OFFICER SIGNATURE (Blue Ink Only) x		DATE

Attachment D-1

APPENDIX C

Evidence-Based Resources

The websites provided below may be useful to applicants in the proposal development process. This list is not exhaustive and it is offered as a suggested starting point for applicants to use in researching evidence-based programs, practices, and strategies.

Blueprints for Violence Prevention

<http://www.colorado.edu/cspv/blueprints/index.html>

Board of State and Community Corrections

http://www.bscc.ca.gov/s_web-basedresourcesonevidence-basedpractices/

California Institute of Behavioral Health Solutions

<http://www.cibhs.org/evidence-based-practices-0>

Coalition for Evidence-Based Policy

<http://evidencebasedprograms.org/>

CrimeSolutions.gov

<http://www.crimesolutions.gov/>

Evaluating Drug Control and System Improvement Projects

Guidelines for Project Supported by the Bureau of Justice Assistance

<https://www.bja.gov/evaluation/guide/documents/nijguide.html>

Justice Research and Statistic Association

<http://www.jrsa.org/>

National Child Traumatic Stress Network

<https://www.NCTSN.Org>

National Criminal Justice Reference Service (NCJRS)

“Preventing and Reducing Youth Crime and Violence: Using Evidence-Based Practice.” A report prepared by Peter Greenwood, Ph.D., for the California Governor’s Office of Gang and Youth Violence Policy, 2010.

<https://www.ncjrs.gov/App/Publications/abstract.aspx?ID=255934>

National Institute of Corrections

<http://nicic.gov/Library/>

National Reentry Resource Center

<http://nationalreentryresourcecenter.org/>

Office of Justice Programs – Crime Solutions.gov

<http://www.CrimeSolutions.gov>

Office of Juvenile Justice and Delinquency Prevention Model Program Guide
<http://www.ojjdp.gov/mpg/>

Promising Practices Network
<http://www.promisingpractices.net/>

Reducing Recidivism to Increase Public Safety: A Cooperative Effort by Courts and Probation Hon, J. Richard Couzens, Placer County Superior Court (Ret.)
<http://www.courts.ca.gov/documents/EVIDENCE-BASED-PRACTICES-Summary-6-27-11.pdf>

Substance Abuse and Mental Health Services Administration
www.samhsa.gov/ebpwebguide

The National Documentation Centre on Drug Use
<http://www.drugsandalcohol.ie/3820/>

Washington State Institute for Public Policy
<http://www.wsipp.wa.gov/>

Attachment D-1

APPENDIX D
SAMPLE: Grant Agreement

STANDARD AGREEMENT
STD 213 (Rev 03/2019)

AGREEMENT NUMBER
BSCC XXX-20

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

BOARD OF STATE AND COMMUNITY CORRECTIONS

CONTRACTOR NAME

GRANTEE NAME

2. The term of this Agreement is:

START DATE

~~JULY 1, 2020~~ **OCTOBER 1, 2020**

THROUGH END DATE

~~DECEMBER 31, 2023~~ **MARCH 31, 2024**

3. The maximum amount of this Agreement is:

\$000,000.00

4. The parties agree to comply with the terms and conditions of the following exhibits, attachments, and appendices which are by this reference made a part of the Agreement.

EXHIBITS	TITLE	PAGES
Exhibit A	Scope of Work	3
Exhibit B	Budget Detail and Payment Provisions	4
Exhibit C	General Terms and Conditions (04/2017)	4
Exhibit D	Special Terms and Conditions	5
Attachment 1*	Proposition 64 Public Health & Safety (Prop 64 PH&S) Grant Request for Proposals	*xx
Attachment 2	Prop 64 PH&S Grant Proposal	xx
Appendix A	Prop 64 PH&S Executive Steering Committee	1
Appendix B	Criteria for Non-Governmental Organizations Receiving BSCC Program Funds	2

* This item is hereby incorporated by reference and can be viewed at: xxxxxxxxx

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

GRANTEE NAME

CONTRACTOR BUSINESS ADDRESS	CITY	STATE	ZIP
PRINTED NAME OF PERSON SIGNING	TITLE		
CONTRACTOR AUTHORIZED SIGNATURE	DATE SIGNED		

STATE OF CALIFORNIA

CONTRACTING AGENCY NAME

BOARD OF STATE AND COMMUNITY CORRECTIONS

CONTRACTING AGENCY ADDRESS	CITY	STATE	ZIP
2590 Venture Oaks Way, Suite 200	Sacramento	CA	95833
PRINTED NAME OF PERSON SIGNING	TITLE		
RICARDO GOODRIDGE	Deputy Director		
CONTRACTING AGENCY AUTHORIZED SIGNATURE	DATE SIGNED		

EXHIBIT A: SCOPE OF WORK

1. GRANT AGREEMENT – PROPOSITION 64 PUBLIC HEALTH AND SAFETY GRANT

This Grant Agreement is between the State of California, Board of State and Community Corrections (hereafter referred to as BSCC) and _____ (hereafter referred to as the Grantee).

2. PROJECT SUMMARY AND ADMINISTRATION

- A. The Fiscal Year 2019-20 State Budget includes funding in the amount of \$24.7 million for local assistance grants for the Proposition 64 Public Health and Safety (Prop 64 PH&S) Grant Program, to be administered by the Board of State and Community Corrections (BSCC).

The purpose of this grant program is to fund projects that assist with law enforcement efforts, fire protection efforts, or other local projects addressing public health and safety associated with the implementation of the Control, Regulate and Tax Adult Use of Marijuana Act (AUMA).

- B. Grantee agrees to administer the project in accordance with Attachment 1: Prop 64 PH&S Grant Program Request for Proposals (incorporated by reference) and Attachment 2: Grant Proposal, which are attached and hereto and made part of this agreement.

3. PROJECT OFFICIALS

- A. The BSCC's Executive Director or designee shall be the BSCC's representative for administration of the Grant Agreement and shall have authority to make determinations relating to any controversies that may arise under or regarding the interpretation, performance, or payment for work performed under this Grant Agreement.
- B. The Grantee's project officials shall be those identified as follows:

Authorized Officer with legal authority to sign:

Name:
Title:
Address:
Phone:

Designated Financial Officer authorized to receive warrants:

Name:
Title:
Address:
Phone:
Email:

Project Director authorized to administer the project:

Name:
Title:
Address:
Phone:
Email:

EXHIBIT A: SCOPE OF WORK

- C. Either party may change its project representatives upon written notice to the other party.
- D. By signing this Grant Agreement, the Authorized Officer listed above warrants that he or she has full legal authority to bind the entity for which he or she signs.

4. DATA COLLECTION

Grantees will be required to comply with all data collection and reporting requirements as described in Attachment 1: Prop 64 PH&S Grant Program Request for Proposals and Attachment 2: Grant Proposal.

5. REPORTING REQUIREMENTS

- A. Grantee will submit quarterly progress reports in a format prescribed by the BSCC. These reports, which will describe progress made on program objectives and include required data, shall be submitted according to the following schedule:

Quarterly Progress Report Periods

Due no later than:

1. July 1, 2020 to September 30, 2020	November 15, 2020
1. October 1, 2020 to December 31, 2020	February 15, 2021
2. January 1, 2021 to March 31, 2021	May 15, 2021
3. April 1, 2021 to June 30, 2021	August 15, 2021
4. July 1, 2021 to September 30, 2021	November 15, 2021
5. October 1, 2021 to December 31, 2021	February 15, 2022
6. January 1, 2022 to March 31, 2022	May 15, 2022
7. April 1, 2022 to June 30, 2022	August 15, 2022
8. July 1, 2022 to September 30, 2022	November 15, 2022
9. October 1, 2022 to December 31, 2022	February 15, 2023
10. January 1, 2023 to March 31, 2023	May 15, 2023
11. April 1, 2023 to June 30, 2023	August 15, 2023
12. July 1, 2023 to September 30, 2023	November 15, 2023

Note: Project activity period ends ~~June 30, 2023~~ **September 30, 2023**. The period of ~~July 1, 2023 to December 31, 2023~~ **October 1, 2023 to March 31, 2024** is for completion of Final Local Evaluation Report and the financial audit only.

B. Evaluation Documents

Due no later than:

1. Local Evaluation Plan	November 1, 2020 February 1, 2021
2. Final Local Evaluation Report	December 31, 2023 March 31, 2024

C. Other

Due no later than:

Financial Audit	December 31, 2023 March 31, 2024
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6. PROJECT RECORDS

- A. The Grantee shall establish an official file for the project. The file shall contain adequate documentation of all actions taken with respect to the project, including copies of this Grant Agreement, approved program/budget modifications, financial records and required reports.

EXHIBIT A: SCOPE OF WORK

- B. The Grantee shall establish separate accounting records and maintain documents and other evidence sufficient to properly reflect the amount, receipt, and disposition of all project funds, including grant funds and any matching funds by the Grantee and the total cost of the project. Source documentation includes copies of all awards, applications, approved modifications, financial records and narrative reports.
- C. Personnel and payroll records shall include the time and attendance reports for all individuals reimbursed under the grant, whether they are employed full-time or part-time. Time and effort reports are also required for all subcontractors and consultants.
- D. The grantee shall maintain documentation of donated goods and/or services, including the basis for valuation.
- E. Grantee agrees to protect records adequately from fire or other damage. When records are stored away from the Grantee's principal office, a written index of the location of records stored must be on hand and ready access must be assured.
- F. All Grantee records relevant to the project must be preserved a minimum of three (3) years after closeout of the grant project and shall be subject at all reasonable times to inspection, examination, monitoring, copying, excerpting, transcribing, and auditing by the BSCC or designees. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular three-year period, whichever is later.

7. CONFLICT OF INTEREST

- A. Existing law prohibits any grantee, subgrantee, partner or like party who participated on the Prop 64 PH&S Executive Steering Committee (See Contract Appendix A) from receiving funds from the Prop 64 PH&S grants awarded under this RFP. Applicants who are awarded grants under this RFP are responsible for reviewing the Prop 64 PH&S ESC membership roster (see Contract Appendix A) and ensuring that no grant dollars are passed through to any entity represented by the members of the Prop 64 PH&S ESC.
- B. In cases of an actual conflict of interest with an ESC member, the Board may revoke the grant award and legal consequences could exist for the parties involved, including, but not limited to, repayment of the grant award.

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

1. INVOICING AND PAYMENTS (Grantee will select monthly or quarterly)

- A. The Grantee shall be paid in quarterly in arrears by submitting an invoice (Form 201) to the BSCC that outlines actual expenditures claimed for the invoicing period.

Quarterly Invoicing Periods:	Due no later than:
1. July 1, 2020 to September 30, 2020	November 15, 2020
1. October 1, 2020 to December 31, 2020	February 15, 2021
2. January 1, 2021 to March 31, 2021	May 15, 2021
3. April 1, 2021 to June 30, 2021	August 15, 2021
4. July 1, 2021 to September 30, 2021	November 15, 2021
5. October 1, 2021 to December 31, 2021	February 15, 2022
6. January 1, 2022 to March 31, 2022	May 15, 2022
7. April 1, 2022 to June 30, 2022	August 15, 2022
8. July 1, 2022 to September 30, 2022	November 15, 2022
9. October 1, 2022 to December 31, 2022	January 15, 2023
10. January 1, 2023 to March 31, 2023	May 15, 2023
11. April 1, 2023 to June 30, 2023	August 15, 2023
12. July 1, 2023 to September 30, 2023	November 15, 2023
Final Invoicing Periods*:	Due no later than:
13. July 1, 2023 to September 30, 2023	November 15, 2023
13. October 1, 2023 to December 31, 2023	February 15, 2024
14. January 1, 2024 to March 31, 2024	May 15, 2024

**Note: Only expenditures associated with completion of the Final Local Evaluation Report and the financial audit may be included on these last two invoices.*

- B. All project expenditures (excluding costs associated with the completion of the Final Local Evaluation Report and the financial audit) must be incurred by the end of the grant project period, ~~June 30, 2023~~ **September 30, 2023**, and included on the invoice due ~~August 15, 2023~~ **November 15, 2023**. Project expenditures incurred after ~~June 30, 2023~~ **September 30, 2023** will not be reimbursed.
- C. The Final Local Evaluation Report is due to BSCC by ~~December 31, 2023~~ **March 31, 2024**. Expenditures incurred solely for the completion of the Final Local Evaluation Report during the period of ~~July 1, 2023 to December 31, 2023~~ **October 1, 2023 to March 31, 2024** must be submitted on the invoice due ~~February 15, 2024~~ **May 15, 2024**. Supporting fiscal documentation will be required for all expenditures claimed during the Final Invoicing Periods and must be submitted with your final invoice due no later than ~~February 15, 2024~~ **May 15, 2024**.
- D. The financial audit is due to BSCC by ~~December 31, 2023~~ **March 31, 2024**. Expenditures incurred solely for the completion of the financial audit during the period of ~~July 1, 2023 through December 31, 2023~~ **October 1, 2023 to March 31, 2024** must be submitted on the invoice due ~~February 15, 2024~~ **May 15, 2024**. Supporting fiscal documentation will be required for all expenditures claimed during the Final Invoicing Periods and must be submitted with your final invoice due no later than ~~February 15, 2024~~ **May 15, 2024**.
- E. Grantee shall submit an invoice to the BSCC each invoicing period, even if grant funds are not expended or requested during the invoicing period.

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

- F. Upon the BSCC's request, supporting documentation must be submitted for project expenditures. Grantees are required to maintain supporting documentation for all expenditures on the project site for the life of the grant and make it readily available for review during BSCC site visits. See Exhibit A. Scope of Work, Item 6. Project Records.

Attachment D-1

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

2. GRANT AMOUNT AND LIMITATION

- A. In no event shall the BSCC be obligated to pay any amount in excess of the grant award. Grantee waives any and all claims against the BSCC, and the State of California on account of project costs that may exceed the sum of the grant award.
- B. Under no circumstance will a budget item change be authorized that would cause the project to exceed the amount of the grant award identified in this Grant Agreement.

3. BUDGET CONTINGENCY CLAUSE

- A. This grant agreement is valid and enforceable only if sufficient funds are made available through the Proposition 64 Initiative (the Control, Regulate and Tax Adult Use of Marijuana Act) via the State and Local Government Law Enforcement Account. On or before July 15th of each fiscal year, the State Controller shall deposit funds derived from Proposition 64 taxes into the State and Local Government Law Enforcement Account pursuant to Revenue and Taxation Code section 34019, subdivision (f)(3). The grantee agrees that the BSCC's obligation to pay any sum to the grantee under any provision of this agreement is contingent upon the availability of sufficient funding made available to the BSCC pursuant to Revenue and Taxation Code section 34019, subdivision (f)(3)(C).
- B. If Prop 64 PH&S funding is reduced or falls below estimates contained within the Prop 64 PH&S Request for Proposals, the BSCC shall have the option to either cancel this Grant Agreement with no liability occurring to the BSCC or offer an amendment to this agreement to the Grantee to reflect a reduced amount.
- C. If BSCC cancels the agreement pursuant to Paragraph 3(B) or Grantee does not agree to an amendment in accordance with the option provided by Paragraph 3(B), it is mutually agreed that the Grant Agreement shall have no further force and effect. In this event, the BSCC shall have no liability to pay any funds whatsoever to Grantee or to furnish any other considerations under this Agreement and Grantee shall not be obligated to perform any provisions of this Grant Agreement except that Grantee shall be required to maintain all project records required by Paragraph 6 of Exhibit A for a period of three (3) years following the termination of this agreement.

4. PROJECT COSTS

- A. Grantee is responsible for ensuring that actual expenditures are for eligible project costs. "Eligible" and "ineligible" project costs are set forth in the July 2016 BSCC Grant Administration Guide, which can be found under Quick Links here: http://www.bscc.ca.gov/s_correctionsplanningandprograms.php

The provisions of the BSCC Grant Administration Guide are incorporated by reference into this agreement and Grantee shall be responsible for adhering to the requirements set forth therein. To the extent any of the provisions of the BSCC Grant Administration Guide and this agreement conflict, the language in this agreement shall prevail.
- B. Grantee is responsible for ensuring that invoices submitted to the BSCC claim actual expenditures for eligible project costs.
- C. Grantee shall, upon demand, remit to the BSCC any grant funds not expended for eligible project costs or an amount equal to any grant funds expended by the Grantee in violation of the terms, provisions, conditions or commitments of this Grant Agreement.

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

- D. Grant funds must be used to support new program activities or to augment existing funds that expand current program activities. Grant funds shall not replace (supplant) any federal, state and/or local funds that have been appropriated for the same purpose. Violations can result in recoupment of monies provided under this grantor suspension of future program funding through BSCC grants.

5. PROMPT PAYMENT CLAUSE

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927.

6. WITHHOLDING OF GRANT DISBURSEMENTS

- A. The BSCC may withhold all or any portion of the grant funds provided by this Grant Agreement in the event the Grantee has materially and substantially breached the terms and conditions of this Grant Agreement.
- B. At such time as the balance of state funds allocated to the Grantee reaches five percent (5%), the BSCC may withhold that amount as security, to be released to the Grantee upon compliance with all grant provisions, including:
- 1) submittal and approval of the final invoice;
 - 2) submittal and approval of the final progress report; and
 - 3) submittal and approval of any additional required reports, including but not limited to the Final Local Evaluation Report and the financial audit.
- C. The BSCC will not reimburse Grantee for costs identified as ineligible for grant funding. If grant funds have been provided for costs subsequently deemed ineligible, the BSCC may either withhold an equal amount from future payments to the Grantee or require repayment of an equal amount to the State by the Grantee.
- D. In the event that grant funds are withheld from the Grantee, the BSCC's Executive Director or designee shall notify the Grantee of the reasons for withholding and advise the Grantee of the time within which the Grantee may remedy the failure or violation leading to the withholding.

EXHIBIT B: BUDGET DETAIL AND PAYMENT PROVISIONS

7. PROJECT BUDGET

PROPOSED BUDGET LINE ITEMS	GRANT FUNDS REQUESTED
1. Salaries and Benefits	\$
2. Services and Supplies	\$
3. Professional Services	\$
4. Non-Governmental Organizations (NGO) Contracts	\$
5. Indirect Costs / Administrative Overhead (may not exceed 10% of grant award)	\$
6. Equipment / Fixed Assets	\$
7. Data Collection / Enhancement	\$
8. Program Evaluation	\$
9. Sustainability Planning	\$
10. Other (include travel & training costs)	\$
11. Financial Audit	\$
TOTAL	\$

EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)

1. **APPROVAL:** This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor may not commence performance until such approval has been obtained.
2. **AMENDMENT:** No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.
3. **ASSIGNMENT:** This Agreement is not assignable by the Contractor, either in whole or in part, without the consent of the State in the form of a formal written amendment.
4. **AUDIT:** Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, Department of Finance or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).
5. **INDEMNIFICATION:** Contractor agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor in the performance of this Agreement.
6. **DISPUTES:** Contractor shall continue with the responsibilities under this Agreement during any dispute.
7. **TERMINATION FOR CAUSE:** The State may terminate this Agreement and be relieved of any payments should the Contractor fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor under this Agreement and the balance, if any, shall be paid to the Contractor upon demand.
8. **INDEPENDENT CONTRACTOR:** Contractor, and the agents and employees of Contractor, in the performance of this Agreement, shall act in an independent capacity and not as officers or employees or agents of the State.
9. **RECYCLING CERTIFICATION:** The Contractor shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).
10. **NON-DISCRIMINATION CLAUSE:** During the performance of this Agreement, Contractor and its subcontractors shall not deny the contract's benefits to any person on the basis of race, religious

EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)

creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12900 et seq.), the regulations promulgated thereunder (Cal. Code Regs., tit. 2, §11000 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Gov. Code §§11135-11139.5), and the regulations or standards adopted by the awarding state agency to implement such article. Contractor shall permit access by representatives of the Department of Fair Employment and Housing and the awarding state agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause. Contractor and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. (See Cal. Code Regs., tit. 2, §11105.)

Contractor shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

- 11. CERTIFICATION CLAUSES:** The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 04/2017 (<http://www.dgs.ca.gov/ols/Resources/StandardContractLanguage.aspx>) are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.
- 12. TIMELINESS:** Time is of the essence in this Agreement.
- 13. COMPENSATION:** The consideration to be paid Contractor, as provided herein, shall be in compensation for all of Contractor's expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.
- 14. GOVERNING LAW:** This contract is governed by and shall be interpreted in accordance with the laws of the State of California.
- 15. ANTITRUST CLAIMS:** The Contractor by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor shall comply with the requirements of the Government Codes Sections set out below.
 - A. The Government Code Chapter on Antitrust claims contains the following definitions:
 - 1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.
 - 2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.
 - B. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and

EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)

Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.

- C. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.
- D. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

16. CHILD SUPPORT COMPLIANCE ACT: For any Agreement in excess of \$100,000, the contractor acknowledges in accordance with Public Contract Code 7110, that:

- A. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- B. The contractor, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

17. UNENFORCEABLE PROVISION: In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

18. PRIORITY HIRING CONSIDERATIONS: If this Contract includes services in excess of \$200,000, the Contractor shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS:

- A. If for this Contract Contractor made a commitment to achieve small business participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)
- B. If for this Contract Contractor made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor received under the Contract; (2) the name and address of the DVBE(s) that participated in the

EXHIBIT C: GENERAL TERMS AND CONDITIONS (04/2017)

performance of the Contract; (3) the amount each DVBE received from the prime Contractor; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

- 20. LOSS LEADER:** If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

SAMPLE
Attachment D-1

EXHIBIT D
SAMPLE - SPECIAL TERMS AND CONDITIONS

1. GRANTEE'S GENERAL RESPONSIBILITY

- A. Grantee agrees to comply with all terms and conditions of this Grant Agreement. Review and approval by the BSCC are solely for the purpose of proper administration of grant funds and shall not be deemed to relieve or restrict the Grantee's responsibility.
- B. Grantee is responsible for the performance of all project activities identified in Attachment 1: Prop 64 PH&S Request for Proposals and Attachment 2: Grant Proposal/Application for Funding.
- C. Grantee shall immediately advise the BSCC of any significant problems or changes that arise during the course of the project.

2. GRANTEE ASSURANCES AND COMMITMENTS

- A. Compliance with Laws and Regulations
This Grant Agreement is governed by and shall be interpreted in accordance with the laws of the State of California. Grantee shall at all times comply with all applicable State laws, rules and regulations, and all applicable local ordinances.
- B. Fulfillment of Assurances and Declarations
Grantee shall fulfill all assurances, declarations, representations, and statements made by the Grantee in Attachment 1: Prop 64 PH&S Request for Proposal and Attachment 2: Grant Proposal/Application for Funding, documents, amendments, approved modifications, and communications filed in support of its request for grant funds.
- C. Permits and Licenses
Grantee agrees to procure all permits and licenses necessary to complete the project, pay all charges and fees, and give all notices necessary or incidental to the due and lawful proceeding of the project work.

3. POTENTIAL SUBCONTRACTORS

- A. In accordance with the provisions of this Grant Agreement, the Grantee may subcontract for services needed to implement and/or support program activities. Grantee agrees that in the event of any inconsistency between this Grant Agreement and Grantee's agreement with a subcontractor, the language of this Grant Agreement will prevail.
- B. Nothing contained in this Grant Agreement or otherwise, shall create any contractual relation between the BSCC and any subcontractors, and no subcontract shall relieve the Grantee of his responsibilities and obligations hereunder. The Grantee agrees to be as fully responsible to the BSCC for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the Grantee. The Grantee's obligation to pay its subcontractors is an independent obligation from the BSCC's obligation to make payments to the Grantee. As a result, the BSCC shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor.
- C. Grantee shall ensure that all subcontractors comply with the eligibility requirements stated in the Prop 64 PH&S RFP and described in Contract Appendix B.
- D. Grantee assures that for any subcontract awarded by the Grantee, such insurance and fidelity bonds, as is customary and appropriate, will be obtained.
- E. Grantee agrees to place appropriate language in all subcontracts for work on the project requiring the Grantee's subcontractors to:

EXHIBIT D

SAMPLE - SPECIAL TERMS AND CONDITIONS

1) Books and Records

Maintain adequate fiscal and project books, records, documents, and other evidence pertinent to the subcontractor's work on the project in accordance with generally accepted accounting principles. Adequate supporting documentation shall be maintained in such detail so as to permit tracing transactions from the invoices, to the accounting records, to the supporting documentation. These records shall be maintained for a minimum of three (3) years after the acceptance of the final grant project audit under the Grant Agreement and shall be subject to examination and/or audit by the BSCC or designees, state government auditors or designees, or by federal government auditors or designees.

2) Access to Books and Records

Make such books, records, supporting documentations, and other evidence available to the BSCC or designee, the State Controller's Office, the Department of General Services, the Department of Finance, California State Auditor, and their designated representatives during the course of the project and for a minimum of three (3) years after acceptance of the final grant project audit. The Subcontractor shall provide suitable facilities for access, monitoring, inspection, and copying of books and records related to the grant-funded project.

4. PROJECT ACCESS

Grantee shall ensure that the BSCC, or any authorized representative, will have suitable access to project activities, sites, staff and documents at all reasonable times during the grant period including those maintained by subcontractors. Access to program records will be made available by both the grantee and the subcontractors for a period of three (3) years following the end of the grant period.

5. ACCOUNTING AND AUDIT REQUIREMENTS

- A. Grantee agrees that accounting procedures for grant funds received pursuant to this Grant Agreement shall be in accordance with generally accepted government accounting principles and practices, and adequate supporting documentation shall be maintained in such detail as to provide an audit trail. Supporting documentation shall permit the tracing of transactions from such documents to relevant accounting records, financial reports and invoices.
- B. Grantees are required to provide the BSCC with a financial audit within no later than the end of the contract term (December 31, 2023). The financial audit shall be performed by a Certified Public Accountant or a participating county or city auditor that is organizationally independent from the participating county or city's project financial management functions. Expenses for this final audit may be reimbursed for actual costs up to \$25,000.
- C. The BSCC reserves the right to call for a program or financial audit at any time between the execution of this Grant Agreement and three years following the end of the grant period. At any time, the BSCC may disallow all or part of the cost of the activity or action determined to not be in compliance with the terms and conditions of this Grant Agreement or take other remedies legally available.

6. MODIFICATIONS

No change or modification in the project will be permitted without prior written approval from the BSCC. Changes may include modification to project scope, changes to performance measures, compliance with collection of data elements, and other significant changes in the budget or

EXHIBIT D
SAMPLE - SPECIAL TERMS AND CONDITIONS

program components contained in the Application for Funding. Changes shall not be implemented by the project until authorized by the BSCC.

7. TERMINATION

- A. This Grant Agreement may be terminated by the BSCC at any time after grant award and prior to completion of project upon action or inaction by the Grantee that constitutes a material and substantial breach of this Grant Agreement. Such action or inaction includes but is not limited to:
- 2) substantial alteration of the scope of the grant project without prior written approval of the BSCC;
 - 3) refusal or inability to complete the grant project in a manner consistent with Attachment 1: Prop 64 PH&S Request for Proposal/Application for Funding, or approved modifications;
 - 4) failure to provide the required local match share of the total project costs; and
 - 5) failure to meet prescribed assurances, commitments, recording, accounting, auditing, and reporting requirements of the Grant Agreement.
- B. Prior to terminating the Grant Agreement under this provision, the BSCC shall provide the Grantee at least 30 calendar days written notice stating the reasons for termination and effective date thereof. The Grantee may appeal the termination decision in accordance with the instructions listed in Exhibit D: Special Terms and Conditions, Number 8. Settlement of Disputes.

8. SETTLEMENT OF DISPUTES

- A. The parties shall deal in good faith and attempt to resolve potential disputes informally. If the dispute persists, the Grantee shall submit to the BSCC Corrections Planning and Grant Programs Division Deputy Director a written demand for a final decision regarding the disposition of any dispute between the parties arising under, related to, or involving this Grant Agreement. Grantee's written demand shall be fully supported by factual information. The BSCC Corrections Planning and Grant Programs Division Deputy Director shall have 30 days after receipt of Grantee's written demand invoking this Section "Disputes" to render a written decision. If a written decision is not rendered within 30 days after receipt of the Grantee's demand, it shall be deemed a decision adverse to the Grantee's contention. If the Grantee is not satisfied with the decision of the BSCC Corrections Planning and Grant Programs Division Deputy Director, the Grantee may appeal the decision, in writing, within 15 days of its issuance (or the expiration of the 30-day period in the event no decision is rendered), to the BSCC Executive Director, who shall have 45 days to render a final decision. If the Grantee does not appeal the decision of the BSCC Corrections Planning and Grant Programs Division Deputy Director, the decision shall be conclusive and binding regarding the dispute and the Contractor shall be barred from commencing an action in court, or with the Victims Compensation Government Claims Board, for failure to exhaust Grantee's administrative remedies.
- B. Pending the final resolution of any dispute arising under, related to or involving this Grant Agreement, Grantee agrees to diligently proceed with the performance of this Grant Agreement, including the providing of services in accordance with the Grant Agreement. Grantee's failure to diligently proceed in accordance with the State's instructions regarding this Grant Agreement shall be considered a material breach of this Grant Agreement.

EXHIBIT D
SAMPLE - SPECIAL TERMS AND CONDITIONS

- C. Any final decision of the State shall be expressly identified as such, shall be in writing, and shall be signed by the Executive Director, if an appeal was made. If the Executive Director fails to render a final decision within 45 days after receipt of the Grantee's appeal for a final decision, it shall be deemed a final decision adverse to the Grantee's contentions. The State's final decision shall be conclusive and binding regarding the dispute unless the Grantee commences an action in a court of competent jurisdiction to contest such decision within 90 days following the date of the final decision or one (1) year following the accrual of the cause of action, whichever is later.
- D. The dates of decision and appeal in this section may be modified by mutual consent, as applicable, excepting the time to commence an action in a court of competent jurisdiction.

9. UNION ACTIVITIES

For all agreements, except fixed price contracts of \$50,000 or less, the Grantee acknowledges that applicability of Government Code §§16654 through 16649 to this Grant Agreement and agrees to the following:

- A. No State funds received under the Grant Agreement will be used to assist, promote or deter union organizing.
- B. Grantee will not, for any business conducted under the Grant Agreement, use any State property to hold meetings with employees or supervisors, if the purpose of such meetings is to assist, promote or deter union organizing, unless the State property is equally available to the general public for holding meetings.
- C. If Grantee incurs costs or makes expenditures to assist, promote or deter union organizing, Grantee will maintain records sufficient to show that no reimbursement from State funds has been sought for these costs, and that Grantee shall provide those records to the Attorney General upon request.

10. WAIVER

The parties hereto may waive any of their rights under this Grant Agreement unless such waiver is contrary to law, provided that any such waiver shall be in writing and signed by the party making such waiver

APPENDIX E
SAMPLE: Governing Board Resolution

Before grant funds can be reimbursed, a grantee must either (1) submit a resolution from its Governing Board that delegates authority to the individual authorized to execute the grant agreement or (2) provide sufficient documentation indicating that the prospective grantee has been vested with plenary authority to execute grant agreements (e.g., a City Council or County Board of Supervisors delegating such authority to an Agency head).

Below is assurance language that, at a minimum, must be included in the resolution submitted to the Board of State and Community Corrections.

WHEREAS the ***(insert name of Local Government)*** desires to participate in the Proposition 64 Public Health and Safety Grant Program funded through the California State and Local Government Law Enforcement Account and administered by the Board of State and Community Corrections (hereafter referred to as the BSCC).

NOW, THEREFORE, BE IT RESOLVED that the ***(insert title of designated official)*** be authorized on behalf of the ***(insert name of Governing Board)*** to submit the grant proposal for this funding and sign the Grant Agreement with the BSCC, including any amendments thereof.

BE IT FURTHER RESOLVED that grant funds received hereunder shall not be used to supplant expenditures controlled by this body.

BE IT FURTHER RESOLVED that the ***(insert name of Local Government)*** agrees to abide by the terms and conditions of the Grant Agreement as set forth by the BSCC.

Passed, approved, and adopted by the ***(insert name of Governing Board)*** in a meeting thereof held on ***(insert date)*** by the following:

Ayes:

Notes:

Absent:

Signature: _____ Date: _____

Typed Name and Title: _____

ATTEST: Signature: _____ Date: _____

Typed Name and Title: _____

APPENDIX F

Certification of Compliance with BSCC Policies Regarding Debarment, Fraud, Theft, and Embezzlement

It is the policy of the BSCC to protect grant funds from unreasonable risks of fraudulent, criminal, or other improper use. As such, the Board will not enter into contracts or provide reimbursement to applicants that have been:

1. debarred by any federal, state, or local government entities during the period of debarment; or
2. convicted of fraud, theft, or embezzlement of federal, state, or local government grant funds for a period of three years following conviction.

Furthermore, the BSCC requires grant recipients to provide an assurance that there has been no applicable debarment, disqualification, suspension, or removal from a federal, state or local grant program on the part of the grantee at the time of application and that the grantee will immediately notify the BSCC should such debarment or conviction occur during the term of the Grant contract.

BSCC also requires that all grant recipients include, as a condition of award to a subgrantee or subcontractor, a requirement that the subgrantee or subcontractor will provide the same assurances to the grant recipient. If a grant recipient wishes to consider a subgrantee or subcontractor that has been debarred or convicted, the grant recipient must submit a written request for exception to the BSCC along with supporting documentation.

By checking the following boxes and signing below, applicant affirms that:

- ☐ I/We are not currently debarred by any federal, state, or local entity from applying for or receiving federal, state, or local grant funds.
- ☐ I/We have not been convicted of any crime involving theft, fraud, or embezzlement of federal, state, or local grant funds within the last three years. We will notify the BSCC should such debarment or conviction occur during the term of the Grant contract.
- ☐ I/We will hold subgrantees and subcontractors to these same requirements.

A grantee may make a request in writing to the Executive Director of the BSCC for an exception to the debarment policy. Any determination made by the Executive Director shall be made in writing.

AUTHORIZED SIGNATURE <small>(This document must be signed by the person who is authorized to sign the Grant Agreement.)</small>			
NAME OF AUTHORIZED OFFICER	TITLE	TELEPHONE NUMBER	
STREET ADDRESS	CITY	STATE	ZIP CODE
EMAIL ADDRESS			
AUTHORIZED OFFICER SIGNATURE (Blue Ink Only) X			DATE

APPENDIX G
SAMPLE: BSCC Comprehensive Monitoring Visit Tool

Corrections Planning and Grant Programs Division
COMPREHENSIVE MONITORING VISIT (CMV) TOOL
SAMPLE

Grantee: **Award Year:** 1 ☐ 2 ☐ 3 ☐ 4 ☐
(as applicable)

Grant Program: **Federal Funds:** ☐ **State Funds:** ☐

Contract Number: **Grant Amount:**

Project Title:

Project Director: **Financial Officer:**

Project Director Phone: **Financial Officer Phone:**

Project Director E-Mail: **Financial Officer E-mail:**

Field Representative: **Date of Visit:**

Persons Interviewed During the Monitoring (Name, Title, Agency):

Project Sites Visited (Name, Address):

Project Summary:

I. ADMINISTRATIVE REVIEW

1. Executed Agreement

The Grantee has a copy of the fully executed Standard Agreement in the official file (e-file is acceptable). Yes ☐ No ☐

2. BSCC Grant Administration Guide

The Grantee has a copy of the BSCC Grant Administration Guide readily available, and staff know how to use it (e-file is acceptable). Yes ☐ No ☐

3. Organizational Chart

The Grantee has a current organizational chart for the department/unit/section responsible for programmatic oversight of the grant. Yes ☐ No ☐

4. Duty Statements

The Grantee maintains duty statements for grant-funded staff that list specific activities related to the grant. *Note: Standard job classifications usually are not acceptable, unless the position was created specifically for the grant.* Yes ☐ No ☐

5. Timesheets

5a. The Grantee maintains timesheets on all staff charged to the grant. Note: Estimates and/or percentages are not acceptable. Yes ☐ No ☐

5b. The Grantee maintains functional timesheets or conducts time studies for split-funded positions.

Note: Estimates and/or percentages are not acceptable. Yes ☐ No ☐ N/A ☐

6. Staff Positions

All authorized positions are filled and performing grant-related duties.

Yes ☐ No ☐

If no, list all unfilled positions and explanations for vacancies in the Administrative Review Comments section.

7. Anticipated Changes

Are there any anticipated changes to staff or the project?

Yes ☐ No ☐

If yes, explain in the Administrative Review Comments section.

8. Subcontracts

8a. Does this grant provide for subcontracted services?

Yes ☐ No ☐

If yes, list subcontracts awarded in the Administrative Review Comments section.

8b. Copies of the subcontract awards are contained within the official project file.

Yes ☐ No ☐ N/A ☐

8c. Subcontracts contain the required language from the BSCC contract (e.g., access to program and fiscal records, access to facility, access to program participants, Non-Discrimination clause, Civil Rights compliance). Yes ☐ No ☐ N/A ☐

8d. Subcontracts appear to be following conflict of interest laws that prohibit individuals or organizations that participated on the Executive Steering Committee for this grant. Yes ☐ No ☐ N/A ☐

9. Budget Modifications

9a. Copies of project budget modifications are maintained in the official file. Yes ☐ No ☐ N/A ☐

9b. Were there any substantial modifications made that were not approved by the BSCC? Yes ☐ No ☐

If yes, explain in the Administrative Review Comments section.

10. Fidelity Bond

The Grantee maintains a Fidelity Bond (applicable for non-governmental entities only). Yes ☐ No ☐ N/A ☐

Field Representative Comments for Administrative Review Section:
Number comments to correspond to the Administrative Review items.

II. CIVIL RIGHTS REVIEW

(for all federal grants; as applicable to state-funded programs)

1. Equal Employment Opportunity Plan

1a. The Grantee has an Equal Employment Opportunity Plan (EEOP) on file for review. Yes ☐ No ☐

1b. If yes, on what date did the Grantee prepare the EEOP?

2. EEOP Short Form

2a. If applicable: has the Grantee submitted an EEOP Short Form to the Office for Civil Rights (OCR), U.S. Department of Justice (DOJ) (i.e., 50 or more employees and \$750,000 or more in federal funds)? Yes ☐ No ☐ N/A ☐

2b. If yes, on what date did the Grantee submit the EEOP Short Form?

3. Notification to Program Participants

How does the Grantee notify program participants and beneficiaries that it does not discriminate on the basis of race, color, national origin, religion, sex, disability, and age in the delivery of services (e.g., posters, inclusion in program brochures, program materials, etc.)? ***Explain in Civil Rights Review Comments section.***

4. Notification to Employees

How does the Grantee notify employees that it does not discriminate on the basis of race, color, national origin, religion, sex, disability, and age in the delivery of services (e.g., posters, dissemination of relevant orders or policies, recruitment materials, etc.)? ***Explain in Civil Rights Review Comments section.***

5. Complaints

There are written policies or procedures in place for notifying program beneficiaries how to file complaints alleging discrimination by the grantee with the BSCC or the OCR.

Yes ☐ No ☐ N/A ☐

6. Discrimination on the Basis of Disability

If the Grantee has 50 or more employees and receives DOJ funding of \$25,000 or more, has the grantee:

6a. Adopted grievance procedures (for both employees and program participants) that incorporate due process standards and provide for prompt and equitable resolution of complaints alleging a violation of the DOJ regulations which prohibit discrimination on the basis of a disability in employment practices and the delivery of services?

Yes ☐ No ☐ N/A ☐

6b. Designated a person to coordinate compliance with prohibitions against disability discrimination?

Yes ☐ No ☐ N/A ☐

6c. Notified participants, beneficiaries, employees, applicants, and others that the grantee does not discriminate on the basis of disability?

Yes ☐ No ☐ N/A ☐

7. Discrimination on the Basis of Sex

If the Grantee operates an education program or activity, have they taken the following actions?

7a. Adopted grievance procedures that provide for the prompt and equitable resolution of complaints alleging a violation of the DOJ regulations which prohibit discrimination on the basis of sex?

Yes ☐ No ☐ N/A ☐

7b. Designated a person to coordinate compliance with the prohibitions against sex discrimination?

Yes ☐ No ☐ N/A ☐

7c. Notified applicants for admission and employment, employees, students, parents, and others that the grantee does not discriminate on the basis of sex in its educational programs or activities?

Yes ☐ No ☐ N/A ☐

8. Findings

The Grantee has complied with the requirement to submit to the OCR any findings of discrimination against the grantee issued by a federal or state court, or federal or state administering agency, on the grounds of race, color, religion, national origin, or sex.

Yes ☐ No ☐ N/A ☐

9. Limited English Proficiency

What steps have been taken to provide meaningful access to its programs and activities to person who have limited English proficiency (LEP)? Include whether the grantee has developed a written policy on providing language access services to LEP persons.

10. Training

Training is conducted for the Grantee's employees on the requirements under federal civil rights laws.

Yes ☐ No ☐ N/A ☐

11. Religious Activities

If the grantee conducts religious activities as part of its program or services, do they:

11a. Provide services to everyone regardless of religion or religious belief?

Yes ☐ No ☐ N/A ☐

11b. Ensure it does not use federal funds to conduct inherently religious activities (such as prayer, religious instruction, or attempt to convert participants to another religion) and that such activities are kept separate in time or place from federally-funded activities?

Yes ☐ No ☐ N/A ☐

11c. Ensure participation in religious activities is voluntary for beneficiaries of federally-funded programs?

Yes ☐ No ☐ N/A ☐

Field Representative Comments for Civil Rights Review Section:
Number comments to correspond to the Civil Rights Review items.

III. FISCAL REVIEW

1. Budget File

The Grantee maintains an official budget file for the project.

Yes ☐ No ☐

2. Fiscal Policies and Procedures

2a. The Grantee maintains written procedures for the fiscal policies related to the grant and they are accessible by grants management staff.

Yes ☐ No ☐

2b. The Grantee can explain its agency's claims, payments and reimbursement processes as they relate to this grant (i.e., agency checks and balances).

Yes ☐ No ☐

3. Invoices

3a. Financial invoices are current and spending is on track.

Yes ☐ No ☐

3b. Copies of the BSCC invoices for reimbursement are within the official file.

Yes ☐ No ☐

3c. The fiscal/accounting records reviewed during the visit contained adequate supporting documentation for all claims on invoices, including match.

Yes ☐ No ☐

3d. Salaries and benefits can be easily tied back to reimbursement invoices.

Yes ☐ No ☐

3e. The Grantee maintains supporting documentation or a calculation methodology for indirect costs or overhead claimed (e.g., an approved Indirect Cost Rate Proposal).

Yes ☐ No ☐ N/A ☐

3f. Expenditures appear to meet contract eligibility, as defined in the BSCC Grant Administration Guide.

Yes ☐ No ☐

4. Tracking

4a. BSCC contract funds are deposited into separate fund accounts or coded to distinguish grant funds from other fund sources.

Yes ☐ No ☐

4b. The Grantee maintains a tracking system for purchases, including receipts and disbursements, related to the grant program.

Yes ☐ No ☐

4c. Tracking reports are reviewed by management and/or program staff.

Yes ☐ No ☐

4d. The Grantee can provide general ledgers documenting the entries for receipts and disbursements.

Yes ☐ No ☐

5. Equipment/Fixed Assets

5a. Did the Grantee purchase or lease equipment/fixed assets with grant funds?

Yes ☐ No ☐

5b. The Grantee received prior approval from BSCC for purchases of equipment and/or fixed assets that were more than \$3,500 per item.

Yes ☐ No ☐ N/A ☐

5c. The equipment/fixed assets were listed in the budget or in a Budget Modification.

Yes ☐ No ☐ N/A ☐

5d. The Grantee maintains an inventory list of equipment/fixed assets purchased with grant funds.

Yes ☐ No ☐ N/A ☐

5e. The Grantee maintains proof of receipt of equipment/fixed assets.

Yes ☐ No ☐ N/A ☐

6. Supplanting

The Grantee can verify that expenditures submitted for grant reimbursement (including salaries and benefits) are not also claimed/reimbursed under another separate agreement or funding stream (supplanting). Yes ☐ No ☐

7. Match

7a. The Grantee is in compliance with the match requirement.

Yes ☐ No ☐ N/A ☐

7b. If the Grantee is currently under-matched, is there a plan to meet the contractually obligated match amount?

8. Project Income

Does the Grantee generate income from grant funds (e.g., fundraisers, registration fees, etc.?) Yes ☐ No ☐ N/A ☐

9. Subcontracts

9a. Does the Grantee require subcontract agencies to submit source documentation with their billing invoice? Yes ☐ No ☐ N/A ☐

9b. What type of documentation detail does the agency keep for subcontractor service delivery billing (to include list of positions funded, documented staff hours, list of services delivered, participant sign-in logs, time/duration of services, other invoice detail, etc.)?

Describe in the Fiscal Review Comments section.

9c. Is the source documentation sufficient to justify charges? Yes ☐ No ☐ N/A ☐

9d. Does the Grantee conduct desk audits of subcontract agencies?

Yes ☐ No ☐ N/A ☐

9e. Does the Grantee conduct site visits to subcontract agencies?

Yes ☐ No ☐ N/A ☐

10. Audits

10a. What type of audit report will the project submit?

Single City/County Audit Report ☐

Program Specific Audit ☐

Other ☐

10b. The Grantee has audit reports covering the agency's internal control structure within the last two years. Yes ☐ No ☐

Field Representative Comments for Fiscal Review Section:
Number comments to correspond to Fiscal Review items.

IV. PROGRAM REVIEW

Note: Some of the information collected in this section will be used to foster discussion and assist with technical assistance, not necessarily to determine compliance.

1. Governing Body

1a. Does the grant require formation of some type of governing body (steering committee, coordinating council, etc.) to guide grant activities?

Yes ☐ No ☐ N/A ☐

1b. If so, has this body been formed and is it meeting as required?

Yes ☐ No ☐ N/A ☐

1c. Are all required members participating?

Yes ☐ No ☐ N/A ☐

2. Evidence-Based Interventions

2a. List all interventions being used by the grantee.

List in the Program Review Comments section.

2b. Which interventions do the grantee identify as “evidence-based?” Why? Based on what information? **Explain in the Program Review Comments section.**

2c. Does the Grantee have a quality assurance or fidelity monitoring process in place to ensure that interventions are implemented as intended? Yes ☐ No ☐

3. Assessments

3a. If providing direct services, how are participants assessed for risk, need and responsiveness? **Explain in the Program Review Comments section.**

3b. How is that information used? **Explain in the Program Review Comments section.**

4. Staff Training

4a. Do all project staff receive an orientation and/or training pertinent to the grant project? Yes ☐ No ☐

4b. Are there opportunities for ongoing training for staff affiliated with the grant?

Yes ☐ No ☐

5. Policies & Procedures

5a. Did the Grantee develop a written Policies & Procedures Manual or Program Manual specific to the grant project? Yes ☐ No ☐

5b. Are they accessible to staff?

Yes ☐ No ☐

6. Case Management/Tracking

6a. Does the Grantee maintain an automated or web-based case management and/or data collection system to track participants served by the grant?

Yes ☐ No ☐ N/A ☐

6b. If not, how are services and/or participants tracked?

Explain in the Program Review Comments section.

7. Source Documentation

The Grantee maintains appropriate source documentation (e.g., case records, case files, sign-in sheets, etc.) for the participants served. Yes ☐ No ☐ N/A ☐

8. Progress Reports

8a. Progress Reports are current. Yes ☐ No ☐

8b. Program records reviewed at the site visit provided sufficient detail to support information reported in Progress Reports. Yes ☐ No ☐

If no, explain in the Program Review Comments section.

9. Problems

The Grantee has experienced operational or service delivery problems.

If yes, explain in the Program Review Comments section. Yes ☐ No ☐

10. Sustainability

Does the grantee have a sustainability plan to continue service delivery after grant funds expire? Yes ☐ No ☐

Describe in the Program Review Comments section.

11. Other Requirements Reviewed

Per this site visit review, programmatic requirements specific to this grant program are being met. Yes ☐ No ☐

Field Representative Comments for the Program Review Section:

Number comments to correspond to Program Review items.

V. DATA COLLECTION AND EVALUATION

1. Evaluator

Does the Grantee subcontract for its data collection and evaluation services?

Yes ☐ No ☐ N/A ☐

If yes, list name of organization and describe the relationship in the Data Collection and Evaluation Comments section.

2. Evaluation Plan

Is the Grantee on track with the activities and milestones described in its Evaluation Plan? Yes ☐ No ☐ N/A ☐

3. Preliminary Evidence

3a. Do the data collection efforts show any preliminary evidence that could impact the project? Yes ☐ No ☐ N/A ☐

3b. Has the Grantee used this information to make improvements or changes to the project? **Yes** ☐ **No** ☐ **N/A** ☐

Field Representative Comments for Data Collection and Evaluation Section:
Number comments to correspond to Data Collection and Evaluation Review items.

VI. MONITORING SUMMARY

1. Outcome of Visit

1a. Does the project generally meet BSCC grant requirements? **Yes** ☐ **No** ☐

1b. If no, will a Compliance Improvement Plan be submitted? **Yes** ☐ **No** ☐

1c. Describe here:

2. Technical Assistance

2a. Does the Grantee have any technical assistance needs? **Yes** ☐ **No** ☐

2b. Describe here:

APPENDIX H
County Population Index
Source: California Department of Finance, Population Estimates, January 1, 2019

Large Counties (750,000 and above)

Los Angeles	10,253,716
San Diego	3,351,786
Orange	3,222,498
Riverside	2,440,124
San Bernardino	2,192,203
Santa Clara	1,954,286
Alameda	1,669,301
Sacramento	1,546,174
Contra Costa	1,155,879
Fresno	1,018,241
Kern	916,464
San Francisco	883,869
Ventura	856,598
San Mateo	774,485
San Joaquin	770,385

Medium Counties (200,001-749,999)

Stanislaus	558,972
Sonoma	500,675
Tulare	479,112
Santa Barbara	454,593
Monterey	445,414
Solano	441,307
Placer	396,691
Merced	282,928
San Luis Obispo	280,393
Santa Cruz	274,871
Marin	262,879
Butte	226,466
Yolo	222,581

Small Counties (Under 200,000)

El Dorado	191,848	Calaveras	45,117
Imperial	190,266	Siskiyou	44,584
Shasta	178,773	Amador	38,294
Madera	159,536	Lassen	30,150
Kings	153,710	Glenn	29,132
Napa	140,779	Del Norte	27,401
Humboldt	135,333	Colusa	22,117
Nevada	98,904	Plumas	19,779
Sutter	97,490	Inyo	18,593
Mendocino	89,009	Mariposa	18,068
Yuba	77,916	Trinity	13,688
Lake	65,071	Mono	13,616
Tehama	64,387	Modoc	9,602
San Benito	62,296	Sierra	3,213
Tuolumne	54,590	Alpine	1,162

APPENDIX I

SAMPLE: Local Evaluation Plan Components

The Prop 64 PH&S Grant Program Local Evaluation Plan (LEP) should, at a minimum, address the following:

Project Description as it pertains to the mandatory Project Purpose Area (PPA) 1: Youth Development/Youth Prevention and Intervention-

- Describe the intervention(s)/services/activities to be used in the project
- Define the target population (for example: gender age, system-involvement history, criminogenic factors, etc.)
- Define the criteria for participant eligibility
- Estimate the number of participants receiving intervention(s) per project component
- Describe the process for determining which interventions(s) a participant will receive
- Describe a plan to document the services within the intervention(s) provided to each participant
- Describe a plan for tracking participants in terms of progress in the project (for example start dates, attendance logs, dropouts, successful completions, etc.)

Project Description as it relates to the other PPAs, as applicable. Depending on the project's scope, goals, and objectives, the below PPAs may include the same or similar bullets from PPA 1. Additional LEP requirements may be added once grantees have been awarded and all Prop 64 PH&S Grant Program project components have been determined-

- PPA 2 – Public Health
- PPA 3 – Public Safety
- PPA 4 – Environmental Impact

Project Evaluation Design-

- Define project goals
- Define project objectives
- Define variables and measures that will be used to demonstrate whether each goal, and their corresponding objectives, were achieved
- Indicate who will be conducting the outcome evaluation (i.e., external contractor/agency, internal team/individual, etc.)
- Identify all data sources
- Define criteria for determining-
 - Participant success/failure in the project (PPA 1)
 - Activity success/failure in the project (PPAs 2, 3, and/or 4, as applicable)
- Describe the research design that will be used to complete the evaluation
 - Identify and define all outcome measures
 - How will the results be used to determine whether your project was effective?
 - Include any comparisons made

Project Oversight-

- Describe the project-oversight structure and overall decision-making process for the project
 - Describe the research design for the process evaluation
 - Identify and define all process evaluation measures
 - Describe how the process measure data will be used to monitor the effectiveness of the project

Attachment D-1

APPENDIX J
Prop 64 PH&S Grant Executive Steering Committee

	Name	Title	Organization /Agency
1	Linda Penner Chair	BSCC Board Member	Board of State and Community Corrections
2	Steve Carney	Chief Deputy, Cannabis Licensing Office	Santa Cruz County Sheriff's Office
3	Manuel Escandon	Director, Student Intervention & Prevention Department	Office of the Fresno County Superintendent of Schools
4	Hollie Hall	Consultant, PhD.	Watershed Resource Specialist Humboldt, Trinity, and Mendocino Counties
5	Tanja Heitman	Chief Probation Officer	Santa Barbara County
6	Amy Irani	Director	Environmental Health Nevada County
7	Vicki Jones	Environmental Health Division Director	Merced County Public Health
8	Jon Lopey	Sheriff	Siskiyou County
9	Renee Menart	Communication & Policy Analyst	Center on Juvenile and Criminal Justice
10	Dave Neilsen	Retired, Deputy Director	CA Department Alcohol & Drug Programs
11	Royal Ramey	Co-Founder	The Forestry and Fire Recruitment Program
12	Sarah Ruby	Deputy Public Defender	Santa Clara County
13	Michael Salvador	Police Chief	City of Atwater
14	Sharyn Turner	Registered Nurse	Nevada County Superintendent of Schools
15	Scott Whitney	Police Chief	City of Oxnard

APPENDIX K

Criteria for Non-Governmental Organizations Receiving Proposition 64 Public Health and Safety Grant Funds

(Page 1 of 2)

The Proposition 64 Public Health and Safety (Prop 64 PH&S) Grant Program Request for Proposals (RFP) includes requirements that apply to non-governmental organizations that receive funds under this grant. All grantees are responsible for ensuring that any contracted third parties continually meet these requirements as a condition of receiving Prop 64 PH&S funds. The RFP describes these requirements as follows.

Any non-governmental organization that receives Prop 64 PH&S grant funds (as either subgrantee or subcontractor) must:

- Have been duly organized, in existence, and in good standing for at least six months prior to the effective date of its fiscal agreement with the BSCC or with the Prop 64 PH&S grantee. Non-governmental entities that have recently reorganized or have merged with other qualified non-governmental entities that were in existence prior to the six-month date are also eligible, provided all necessary agreements have been executed and filed with the California Secretary of State prior to the start date of the grant agreement with the BSCC or the start date of the grantee-subcontractor fiscal agreement.
- Be registered with the California Secretary of State's Office, if applicable;
- Have a valid business license, Employer Identification Number (EIN), and/or Taxpayer ID (if sole proprietorship);
- Have any other state or local licenses or certifications necessary to provide the services requested (e.g., facility licensing by the Department of Health Care Services), if applicable; and
- Have a physical address.

In the table below, provide the name of the Grantee and list all contracted parties.

Grantee:

Name of Contracted Party	Address	Email / Phone	Meets All Requirements
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>
			Yes <input type="checkbox"/> No <input type="checkbox"/>

Grantees are required to update this list and submit it to the BSCC any time a new third-party contract is executed after the initial assurance date. Grantees shall retain (on-site) applicable source documentation for each contracted party that verifies compliance with the requirements listed in the Prop 64 PH&S Grant RFP. These records will be subject to the records and retention language found in Appendices A and C of the Standard Agreement.

Unless prior approval is obtained, the BSCC prohibits disbursement or reimbursement to any NGO that does not meet the requirements listed above and for which the BSCC does not have a signed grantee assurance on file.

A signature below is an assurance that all requirements listed above have been met.

AUTHORIZED SIGNATURE (This document must be signed by the person who is authorized to sign the Grant Agreement.)				
NAME OF AUTHORIZED OFFICER		TITLE		TELEPHONE
STREET ADDRESS		CITY	STATE	ZIP CODE
EMAIL ADDRESS				
SIGNATURE x			DATE	

APPENDIX L

SAMPLE: Prop 64 PH&S Grant Program Work Plan

Applicants must complete Project Work Plan. This Project Work Plan identifies measurable goals and objectives, activities and services, the responsible parties and a timeline. Completed plans should (1) identify the project's top goals and objectives (this must include at least one goal for the required youth development/youth prevention and intervention PPA); (2) identify how the top goals will be achieved in terms of the activities, responsible staff/partners, and start and end dates; and (3) provide goals and objectives with a clear relationship to the need and intent of the grant. Applicants must use the Grant Work Plan provided within the Proposal Package at the end of this document. The form cannot exceed two (2) numbered pages and does not count toward the eight (8) numbered page limit for the Proposal Narrative. Proposal Work Plan document to be submitted is provided within the Proposal Package.

(1) Goal:				
Objectives (A., B., etc.)				
Project activities that support the identified goal and objectives		Responsible staff/ partners	Timeline	
			Start Date	End Date
(2) Goal:				
Objectives (A., B., etc.)				
Project activities that support the identified goal and objectives		Responsible staff/ partners	Timeline	
			Start Date	End Date
(3) Goal:				
Objectives (A., B., etc.)				
Project activities that support the identified goal and objectives		Responsible staff/ partners	Timeline	
			Start Date	End Date
(4) Goal:				
Objectives (A., B., etc.)				
Project activities that support the identified goal and objectives		Responsible staff/ partners	Timeline	
			Start Date	End Date

PROPOSITION 64

PUBLIC HEALTH & SAFETY

GRANT PROGRAM

PROPOSAL PACKAGE*

COVER SHEET

Submitted by (Name of eligible applicant):

DATE SUBMITTED TO THE BSCC:

**The Proposition 64 Public Health and Safety Grant Program Proposal Package is provided in a fillable format. Using the Tab key will allow the applicant access to those areas requiring information.*

PROPOSITION 64 PUBLIC HEALTH & SAFETY GRANT PROGRAM: PROPOSAL CHECKLIST

A complete proposal package for funding under the Proposition 64 PH&S Grant Program must contain the following items:

	Required Items:	✓
1	Cover Sheet (previous page)	<input type="checkbox"/>
2	Proposition 64 PH&S Grant Program Proposal Checklist <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
3	Applicant Information Form <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
4	Proposal Abstract <ul style="list-style-type: none"> No more than one (1) page 	<input type="checkbox"/>
5	Proposal Narrative to include Project Need, Project Description, Project Evaluation Sections <ul style="list-style-type: none"> No more than eight (8) pages 	<input type="checkbox"/>
6	Project Work Plan <ul style="list-style-type: none"> No more than 2 pages using the template provided (see Appendix L for instructions) 	<input type="checkbox"/>
7	Budget Information (Budget Table & Narrative) <ul style="list-style-type: none"> Use BSCC templates provided Budget Narrative must be no more than four (4) pages 	<input type="checkbox"/>
8	Additional Request for Proposals Information, if applicable <ul style="list-style-type: none"> No more than two (2) pages 	<input type="checkbox"/>
9	Letter(s) of Commitment	<input type="checkbox"/>
10	Letter of Eligibility (see Appendix B)	<input type="checkbox"/>
11	Certification of Compliance with BSCC Policies on Debarment, Fraud, Theft and Embezzlement (Appendix F) <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
12	Criteria for Non-Governmental Organizations Receiving Proposition 64 Public Health and Safety Grant Funds (Appendix K) <ul style="list-style-type: none"> Originally signed in blue ink by the authorized signatory (no stamped signatures) 	<input type="checkbox"/>
	Optional:	
13	Governing Board Resolution (Appendix E) <i>Note: The Governing Board Resolution is due prior to contract execution, but is <u>not</u> required at the time of proposal submission.</i>	<input type="checkbox"/>

I have reviewed this checklist and verified that all required items are included in this proposal packet.

X

Applicant Authorized Signature (see Applicant Information Form, Part O, next page)

*****ATTACHMENTS OTHER THAN THOSE LISTED ABOVE OR MORE THAN THE
ALLOWED PAGE LIMIT WILL NOT BE CONSIDERED*****

Applicant Information Form: Instructions

- A. Applicant:** Complete the required information for the local government submitting the proposal. If Applicant is a city, provide name as City of <NAME>; if Applicant is a county, provide name as <NAME> County.
- B. Tax Identification Number:** Provide the tax identification number of the Applicant.
- C. Project Title:** Provide the title of the proposed Prop 64 PH&S Grant project.
- D. Project Summary:** Provide a summary (100-150 words) of the proposed project. Note: this information may be posted to the BSCC's website for informational purposes.
- E. Grant Funds Requested:** Enter the total amount of Prop 64 PH&S Grant Program funds you are requesting. Use whole numbers (no decimal points).
- F. Project Purpose Area (PPA) 1 – Youth Development/Youth Prevention & Intervention (Mandatory):** Enter the amount of Prop 64 PH&S grant funds that will be used for PPA 1. Amount must be at least 10% of the funds requested in Section E. Use whole numbers (no decimal points).
- G. Project Purpose Areas 2, 3, & 4:** In addition to PPA 1, identify other PPAs the Applicant proposes to be implemented as part of the Prop 64 PH&S Grant Program. Check as many boxes as are applicable.
- H. Lead Public Agency (LPA):** Indicate which local public agency will be Lead of the Prop 64 PH&S Grant Program.
- I. Project Director:** Provide the name, title, and contact information for the individual responsible for oversight and management of the proposed project. This person must be an employee of the Applicant agency or LPA.
- J. Financial Officer:** Provide the name, title, and contact information for the individual responsible for fiscal oversight and management of the project. Typically, this is the individual that will certify and submit invoices. This person must be an employee of the Applicant agency or LPA.
- K. Day-to-Day Project Contact:** Provide the name, title, and contact information for the individual who serves as the primary contact person for the grant. Typically, this individual has day-to-day oversight for the project.
- L. Day-to-Day Fiscal Contact:** Provide the name, title and contact information for the individual who serves as the primary contact person for fiscal matters related to the grant. This may be the individual who prepares the invoices for approval by the Financial Officer.
- M. Authorized Signature:** Complete the required information for the person authorized to sign for the Applicant. This individual must read the assurances under this section, then sign and date in the appropriate fields.

**Proposition 64 Public Health & Safety Grant Program
Applicant Information Form**

A. APPLICANT: City of <Name> <u>or</u> <Name> County		B. TAX IDENTIFICATION NUMBER:	
NAME OF APPLICANT		TAX IDENTIFICATION #	
STREET ADDRESS	CITY	STATE	ZIP CODE
MAILING ADDRESS (if different)	CITY	STATE	ZIP CODE
C. PROJECT TITLE:			
D. PROJECT SUMMARY (100-150 words):		E. GRANT FUNDS REQUESTED:	\$
F. PROJECT PURPOSE AREA 1- YOUTH DEVELOPMENT/YOUTH PREVENTION & INTERVENTION (MANDATORY): Must be at least 10% of the amount in Section E			\$
G. PROJECT PURPOSE AREAS 2, 3, & 4 (In addition to PPA 1, check all that apply for the proposed project)			
<input type="checkbox"/> PPA 2: Public Health <input type="checkbox"/> PPA 3: Public Safety <input type="checkbox"/> PPA 4: Environmental Impact			
H. LEAD PUBLIC AGENCY:			
I. PROJECT DIRECTOR:			
NAME	TITLE	DEPARTMENT/AGENCY	
STREET ADDRESS		CITY	
STATE	ZIP CODE	TELEPHONE NUMBER	
EMAIL ADDRESS			
J. FINANCIAL OFFICER:			
NAME	TITLE	DEPARTMENT/AGENCY	
STREET ADDRESS		CITY	
STATE	ZIP CODE	TELEPHONE NUMBER	
EMAIL ADDRESS			
PAYMENT MAILING ADDRESS (if different)	CITY	STATE	ZIP CODE

K. DAY-TO-DAY PROGRAM CONTACT:		
NAME	TITLE	DEPARTMENT/AGENCY
STREET ADDRESS		CITY
STATE	ZIP CODE	TELEPHONE NUMBER
EMAIL ADDRESS		

L. DAY-TO-DAY FISCAL CONTACT:		
NAME	TITLE	DEPARTMENT/AGENCY
STREET ADDRESS		CITY
STATE	ZIP CODE	TELEPHONE NUMBER
EMAIL ADDRESS		

M. AUTHORIZED SIGNATURE*:			
By signing this application, I hereby certify I am vested by the Applicant with the authority to enter into contract with the BSCC, and the grantee and any subcontractors will abide by the laws, policies, and procedures governing this funding.			
NAME OF AUTHORIZED OFFICER	TITLE	TELEPHONE NUMBER	
STREET ADDRESS	CITY	STATE	ZIP CODE
EMAIL ADDRESS			
SIGNATURE			DATE

* Authorized Signature: Must be a representative with the authority to sign documents and obligate the applicant.

**Proposition 64 Public Health & Safety Grant Program
Request for Proposals Document**

Proposal Abstract

The Proposal Abstract may not exceed one (1) page.

Attachment D-1

Proposal Narrative

The Proposal Narrative section may not exceed eight (8) pages in totality. See pages 19-20 for corresponding Rating Factors and Criteria.

1. Project Need (Percent of Total Value: 25%)

2. Project Description (Percent of Total Value: 50%)

3. Project Evaluation (Percent of Total Value: 15%)

Attachment D-

Prop 64 PH&S Grant Program Request for Proposals Project Work Plan

The Project Work Plan may not exceed two (2) pages. See Appendix L for full instructions.

(1) Goal:			
Objectives (A., B., etc.)			
Project activities that support the identified goal and objectives	Responsible staff/ partners	Timeline	
		Start Date	End Date
(2) Goal:			
Objectives (A., B., etc.)			
Project activities that support the identified goal and objectives	Responsible staff/ partners	Timeline	
		Start Date	End Date
(3) Goal:			
Objectives (A., B., etc.)			
Project activities that support the identified goal and objectives	Responsible staff/ partners	Timeline	
		Start Date	End Date
(4) Goal:			
Objectives (A., B., etc.)			
Project activities that support the identified goal and objectives	Responsible staff/ partners	Timeline	
		Start Date	End Date

Proposal Budget

4. Project Budget – Table and Narrative (Percent of Total Value: 10%)

A. Budget Table (use the table provided below): Applicants are limited to the use of the Line Item categories listed and are not required to request funds for every Line Item listed. If a budget line item is not applicable for the proposed project, complete with entering \$0.

Total Grant Funds Requested: Complete this column, for the total grant funds requested for the full 3-Year grant funding term (~~July 1, 2020 to June 30, 2023~~ **October 1, 2020 to September 30, 2023**) for all grant related activities/items. The Total amount for this column must equal the dollar amount provided in Section E of the Application Information Form. Use whole numbers only.

Grant Funds Proposed for PPA 1 (Youth Development/Youth Prevention & Intervention): Of the grant funds requested within each line item for the “Total Grant Funds Requested” column, complete this column for the grant funds to be used specifically for the mandatory PPA 1- Youth Development/Youth Prevention & Intervention for the full 3-Year grant funding term (~~July 1, 2020 to June 30, 2023~~ **October 1, 2020 to September 30, 2023**). This column **MUST** total at least ten percent (10%) of the Total Grant Funds Requested column and must equal the dollar amount provided in Section F of the Application Information Form. Use whole numbers only.

Please verify total amounts as columns do not auto-calculate.

PROPOSED BUDGET LINE ITEMS	GRANT FUNDS REQUESTED		*GRANT FUNDS PROPOSED FOR PPA 1
1. Salaries and Benefits	\$	→	\$
2. Services and Supplies	\$	→	\$
3. Professional Services	\$	→	\$
4. Non-Governmental Organizations (NGO) Contracts	\$	→	\$
5. Indirect Costs / Administrative Overhead (may not exceed 10% of grant award)	\$	→	\$
6. Equipment / Fixed Assets	\$	→	\$
7. Data Collection / Enhancement	\$	→	\$
8. Program Evaluation	\$	→	\$
9. Sustainability Planning	\$	→	\$
10. Other (include travel & training costs)	\$	→	\$
11. Financial Audit	\$	→	\$
TOTAL	\$	→	\$ *

* This column **MUST** total at least ten percent (10%) of the Total Grant Funds Requested.

B. Budget Narrative Instructions (use the template provided on the following pages):

The Budget Narrative must provide sufficient detail in each category regarding how the Prop 64 PH&S Grant Program funds are anticipated to be expended to implement and operate the proposed project as identified in the Project Description and the Budget Table (previous page). The proposal must provide justification that the amount of grant funds requested is reasonable and appropriate given the proposed project's design and scope, and how the requested amounts will serve to meet the stated goals and objectives. Applicants are limited to the use of the Line Item categories listed, however applicants are not required to request funds for every Line Item. If a budget line item is not applicable for the proposed project, complete with entering N/A. All funds must be used consistent with the requirements of the BSCC Grant Administration Guide, located on the BSCC website, including any updated version that may be posted during the term of the grant agreement. The BSCC will notify grantees whenever an updated version is posted. Definitions for the Prop 64 PH&S Grant Program Budget Line Items are as follows.

- 1. Salaries and Benefits:** List the classification/title, percentage of time, salary or hourly rates, and benefits (as applicable) for each staff person that will be funded by the grant, either by the Applicant or the Lead Public Agency (LPA). Briefly describe their roles/responsibilities within the Prop 64 PH&S Grant Program.

*Do not include information for public agency subcontractors or professional consultants; that information should be provided under the Professional Services Line Item and/or the Program Evaluation Line Item, as applicable.

*Do not include information for NGO subcontractors; that information should be provided under Non-Governmental Organization (NGO) Contracts Line Item.

- 2. Services and Supplies:** Include and itemize all services and supplies to be purchased by the Prop 64 PH&S Grant Program.

*Services and supplies to be purchased by NGOs, partner agencies, subgrantees, or subcontractors must be included in the applicable line item (e.g., Professional Services Line Item, NGO Contracts Line Item).

- 3. Professional Services:** List the names of any public agency(ies) or professional consultant(s) that will work on and be funded by the Prop 64 PH&S Grant Program. Show the amount of funds allocated to each agency/consultant and itemize the services that will be provided. List any positions to be funded, including classification/title, percentage of time, salary or hourly rates, and benefits (if applicable).

*Do not include information for subcontractors or consultants solely for the purpose(s) of Project Evaluation; that information should be provided under the Program Evaluation Line Item.

- 4. Non-Governmental Organization (NGO) Subcontracts:** List the names of all NGOs that will work on and be funded by the Prop 64 PH&S Grant Program. Include any positions to be funded, including classification/title, percentage of time, salary or hourly rates, and benefits (if applicable). If a community partner has not been selected as of

the date of the submission of the application, identify the amount of grant funds that will be allocated and describe the services to be provided.

5. **Indirect Costs:** Indirect costs may be charged as an amount not to exceed ten percent (10%) of the actual total direct project costs. Indirect costs are shared costs that cannot be directly assigned to a particular activity but are necessary to the operation of the organization and the performance of the project. Indirect cost guidelines can be found in the BSCC Grant Administration Guide located on the BSCC website.
6. **Equipment and Fixed Assets:** Include grant funds associated with equipment and fixed assets purchased by the Prop 64 PH&S Grant Program. Equipment and fixed assets are defined as nonexpendable personal property having a useful life of more than one (1) year and an acquisition cost of \$5,000 or more per unit. Items that do not meet this threshold should be included in the Services and Supplies Line Item Category. Itemize all equipment and fixed assets to be purchased by the Prop 64 PH&S Grant Program.

*Equipment and fixed assets purchased by partner agencies, NGOs, subgrantees, or subcontractors must be included the applicable Line Item (e.g., Professional Services Line Item, NGO Contracts Line Item).
7. **Data Collection / Enhancements:** Include and itemize all grant fund costs associated with the project's data collection efforts and/or necessary enhancements to an existing data collection mechanism to capture the data required for the Prop 64 PH&S Grant Program.
8. **Program Evaluation:** Include and itemize all grant fund costs associated with evaluation efforts for this project. This should include any subcontracts funded by the Prop 64 PH&S Grant Program solely for the purposes of Program Evaluation.
9. **Sustainability Planning:** Itemize all costs associated with the Applicant's efforts for sustaining this project after the Prop 64 PH&S Grant Program has ended.
10. **Other (Travel & Training costs):** Itemize all costs that do not fit into the Line Item Categories listed above, including travel and training. At a minimum, applicants should budget for at least four (4) project-related individuals to travel to Sacramento for a Grantee Orientation.

*For this Line Item, do not include "other" costs for partner agencies, NGOs, subgrantees, or subcontractors. These costs must be included in the applicable Line Item (e.g., Professional Services Line Item, NGO Contracts Line Item).

NOTE: Out-of-State travel using grant funding is permissible only in rare cases and is monitored very closely. Out-of-State travel included in the proposed budget does not guarantee automatic approval; these travel requests undergo a high level of review and scrutiny and approval is granted only in limited cases. Out-of-State travel requests require separate and prior approval by the BSCC.

11. Financial Audit: Up to \$25,000 may be allocated for an end of project financial audit. The audit provides assurances that an organization's financial statements are free of material misstatement based upon the application of generally accepted accounting principles.

Attachment D-1

Budget Narrative

The Budget Narrative may not exceed four (4) pages. Dollar amounts must be in whole numbers and match the corresponding Line Item amounts provided in the Budget Table.

1. **Salaries and Benefits:** \$
2. **Services and Supplies:** \$
3. **Professional Services:** \$
4. **Non-Governmental Organizations (NGO) Contracts:** \$
5. **Indirect Costs (not to exceed 10% of the actual total direct project costs):** \$
6. **Equipment / Fixed Assets:** \$
7. **Data Collection / Enhancement:** \$
8. **Program Evaluation:** \$
9. **Sustainability Planning:** \$
10. **Other (include travel and training costs):** \$
11. **Financial Audit:** \$

Additional Request for Proposals Information

Applicants may include a maximum of two (2) additional numbered pages entitled “Additional RFP Information” to the Proposal Package. These pages must have a one-inch margin on all four sides and may **only** include endnotes, tables, charts, graphs and/or graphics, must be cited/referenced within the Proposal Narrative, must directly support the Proposal Narrative, and must be legible.

Attachment D-1

Attachment D-2

MEETING DATE: February 13, 2020

AGENDA ITEM: E

TO: BSCC Chair and Members

FROM: Helene Zentner, Field Representative, helene.zentner@bscc.ca.gov

SUBJECT: Proposition 64 Public Health & Safety Grant Program, Request for Proposals: **Requesting Approval**

Summary

This agenda item requests Board approval to release the proposed Request for Proposals (RFP) (Attachment E-1) for the Proposition 64 Public Health & Safety Grant Program, which directs revenue from the cultivation and sale of cannabis to projects that promote public safety and health.

Background

The Proposition 64 Public Health & Safety (Prop 64 PH&S) Grant Program was established as part of a voter-approved initiative in November 2016, the *Control, Regulate and Tax Adult Use of Marijuana Act* (AUMA). This initiative legalized the recreational use of cannabis in California for individuals 21 years of age and older. As part of the initiative, a portion of tax revenue generated from the cultivation and retail sale of cannabis or cannabis products would go toward implementing AUMA within the State and would provide funds for a variety of grant programs to assist in mitigating impacts due to the legalization of recreational cannabis.

Revenue and Taxation Code section 34019, subdivision (f)(3)(C) (Attachment E-3) provides that funds derived from tax revenues collected as part of AUMA are to go to the “the Board of State and Community Corrections for making grants to local governments to assist with law enforcement, fire protection, or other local programs addressing public health and safety associated with the implementation of the Control, Regulate and Tax Adult Use of Marijuana Act.” However, “[t]he board shall not make any grants to local governments which have banned the cultivation, including personal cultivation... or retail sale of cannabis or cannabis products...”

In addition, the Governor’s 2019-20 May Revision to the Budget provided additional direction to the BSCC on this grant, as follows: “[f]or a competitive grant program for local governments that have not banned cannabis cultivation or retail activities that will prioritize various public health and safety programs, including, but not limited to, local partnerships focused on prevention and intervention programs for youth and to support collaborative enforcement efforts aimed at combating illegal cannabis cultivation and sales.”

At its July 11, 2019 meeting, the Board appointed BSCC Board Chair Linda Penner to serve as chair of the Prop 64 PH&S Grant Program Executive Steering Committee (Attachment E-2). In addition, the Board authorized BSCC staff to work with Chair Penner to establish an ESC and modify membership, if needed. It also authorized the ESC to oversee the grant development process and make funding recommendations for this grant program.

The Prop 64 PH&S ESC consisted of a diverse group of subject-matter experts representing various disciplines and geographic areas in California. (Attachment E-2.) The ESC met on October 22-23, 2019 and November 13, 2019 to develop key decisions relative to this grant. Those decisions are reflected in the attached RFP.

Key Grant Components

- Eligible applicants for the Prop 64 PH&S Grant Program are local governments (i.e., Counties and Cities) in California that have not banned the cultivation, including personal cultivation, or retail sale of marijuana or marijuana products.
 - “Banned the cultivation” is defined as regulations, ordinances, or amendments to local government charters that prohibit:
 - indoor commercial cultivation (including mixed light cultivation),
 - indoor personal cultivation (including mixed light cultivation),
 - outdoor commercial cultivation, or
 - outdoor personal cultivation
 - “Banned the retail sale” is defined as regulations, ordinances, or amendments to local government charters that prohibit the local establishment or local operation of any or all businesses licensed under Division 10 (commencing with Section 26000) of the Business and Professions Code. Businesses must be allowed to have a physical presence (i.e., brick and mortar location) within the local government’s jurisdiction/authority.
- There is \$24.7 million available in this RFP for four (4) Project Purpose Areas (PPAs) or combinations of the PPAs.
 - PPA 1: Youth Development/Youth Prevention & Intervention. This is a mandatory component and all applicants are required to budget a minimum of 10 percent of requested grant funds for this area.
 - PPA 2: Public Health
 - PPA 3: Public Safety
 - PPA 4: Environmental Impacts
- A maximum of \$1 million may be requested by any one eligible applicant. A maximum of \$2 million may be requested by a collaborative application composed of eligible local governments.
- All grant project components and activities must link to the intent of the Prop 64 PH&S Initiative, i.e., addressing local impacts due to the legalization of cannabis in California.

- Grant funds will be awarded within four (4) categories and applicants will compete only with other applicants within that category. The categories and amounts available are as follows:
 - Small counties, and all cities within those counties, will compete for 20 percent of the total Prop 64 PH&S grant funds, or \$4,940,000.
 - Medium counties, and all cities within those counties, will compete for 20 percent of the total Prop 64 PH&S grant funds, or \$4,940,000.
 - Large counties, and all cities within those counties, will compete for 20 percent of the total Prop 64 PH&S grant funds, or \$4,940,000.
 - The highest-rated proposals that did not receive an award under the above categories will compete for the remaining 40 percent, or \$9,880,000, regardless of county size category.
- To be considered for funding, an application must score at least 50 percent of the total available points (i.e., minimum of 100 total points).
- Successful applicants will be funded for a term of three years – July 1, 2020 through June 30, 2023.

RFP Activities and Tentative Timeline

Below is a tentative timeline of activities necessary to administer a competitive RFP for the Prop 64 PH&S Grant Program.

TENTATIVE TIMELINE	ACTIVITY
July 11, 2019	Selection of Chair and ESC development agenda item to the BSCC Board
October 22 & 23, 2019 November 13, 2019	Request for Proposals (RFP) development by ESC
February 13, 2020	Present the ESC's RFP recommendation to the BSCC Board for approval
February 14, 2020	Release the RFP to the field (contingent on BSCC Board approval)
Date TBD – week of February 24-28, 2020	Bidders' Conference(s)
April 3, 2020	Proposals due to the BSCC
April 22, 2020	ESC Rater Training
May 15, 2020	ESC rating of proposals complete
May 27, 2020	<i>Tentative ESC Scoring Meeting (pending TBD)</i>
June 11, 2020	Present ESC funding recommendations to the BSCC Board for approval
July 1, 2020	Grants begin

Recommendation/Action Needed

Staff recommends that the Board:

- Approve and authorize release of the RFP as recommended by the ESC.

Attachments

E-1: Proposition 64 Public Health & Safety Grant Program, Request for Proposals

E-2: Proposition 64 Public Health & Safety Grant Program Executive Steering Committee
Member Roster

E-3: Revenue and Taxation Code section 34019

Attachment D-2

Agenda Item E:

**California Violence,
Intervention and
Prevention (CalVIP) No
Cost, One Year
Extensions:
Requesting Approval**

MEETING DATE: April 9, 2020**AGENDA ITEM:** E**TO:** BSCC Chair and Members**FROM:** Colleen Curtin, Field Representative, colleen.curtin@bscc.ca.gov**SUBJECT:** California Violence, Intervention and Prevention (CalVIP) No Cost, One Year Extensions: **Requesting Approval**

Summary

This agenda item requests Board approval of a no-cost, one-year extension for two CalVIP grantees, the City of Pasadena and Young Visionaries Youth Leadership Academy (YVYLA). These grantees experienced time-consuming budget negotiations at the start of the grant that delayed the initiation of service delivery. The extensions would allow for additional time to run their programs and spend down the grant funds in accordance with their Grant Agreements.

Background

The FY 2017-18 State Budget Act included funding in the amount of \$9,215,000 for the CalVIP Grant, to be administered by the BSCC. On April 19, 2018, the Board approved 20 CalVIP grant awards with this funding, as recommended by the CalVIP ESC. Nine cities and nine CBOs received full awards, while the city and community-based organization that fell at the funding cut-off – the City of Pasadena and YVYLA, respectively – received partial awards. Subsequently, both grantees were asked to revise their budgets and project plans to reflect the partial awards. Just as those negotiations were wrapping up, BSCC received an additional \$9,000,000 for CalVIP through the FY 2018-19 State Budget Act. At its meeting on July 12, 2018, the Board approved using the new appropriation to fully fund the City of Pasadena and YVYLA, in addition to awarding new cohort of CalVIP grants.

As a result of these early budget negotiations, both grantees got a late start on grant activities. Providing a no cost, one-year extension until August 2021 will allow current grantees additional time to deliver services, offsetting the time lost at the start of the grant period. The remaining spending period associated with the FY 2018-19 CalVIP funds is sufficient to allow for the extensions as well as a comfortable liquidation period for the BSCC.

Recommendation/Action Needed

1. Staff recommends that the Board approve a no-cost, one-year extension for the City of Pasadena and Young Visionaries Youth Leadership Academy.

Attachments

E-1: Project Summaries for City of Pasadena and Young Visionaries Youth Leadership Academy

Attachment E-1

California Violence Intervention & Prevention (CalVIP) Grant Project Summaries

Pasadena, City of

- Cohort 1
- \$497,462 award
- 50% pass-through to CBOs

Services provided:

- “Cure Violence” public health model (street outreach, case management, community outreach and support)

Target population: Youth, ages 11-24

Project Summary: The Pasadena Public Health Department and its partners will implement the new “Project Safe Pasadena” which will focus on reducing violent crime among youth aged 11-24 in Northwest Pasadena and Altadena. The project is based on the “Cure Violence” public health model, and includes three components: 1) interrupt the cycle of violence using a peer-based street outreach approach to mediate ongoing disputes and de-escalate tensions; 2) change thinking and behavior among the highest-risk youth by providing intensive case management services to them and their families; and 3) change community norms and improve community-police relations through a violence prevention messaging campaign, youth-focused enrichment events and community-based events that bring community and stakeholders (including police) together, and specialized training for police.

Young Visionaries Youth Leadership Academy (San Bernardino)

- Cohort 1
- \$500,000 award

Services provided:

- Case management
- Mental health therapy
- Job training and employment

Target population: Youth & young adults

Project Summary: Young Visionaries Youth Leadership Academy (YVYLA) is a non-profit organization serving the youth of San Bernardino County. The target population of YVYLA is youth and young adults residing in the city of San Bernardino. Through a comprehensive four-part strategy this project is designed to reduce violent crime by: 1) increasing employment readiness and supporting job search for the target population, 2) increasing the number of the target population who receive mentors, case management, therapeutic mental health and addiction counseling services; 3) increasing high school graduation rates; and 4) increasing the number of individuals who receive prevention services and curricula in the community.

Agenda Item F:

**Temporary Staff Authority
to Approve BSCC Grant
Project Scope Changes,
Modifications of Program
Purpose Areas, and No
Cost Extensions In
Consultation with the
Board Chair:
Requesting Approval**

MEETING DATE: April 9, 2020**AGENDA ITEM:** F**TO:** BSCC Chair and Members**FROM:** Ricardo Goodridge, Deputy Director, ricardo.goodridge@bscc.ca.gov**SUBJECT:** Temporary Staff Authority to Approve BSCC Grant Project Scope Changes, Modifications of Program Purpose Areas, and No Cost Extensions In Consultation with the Board Chair: **Requesting Approval**

Summary

This agenda item requests Board approval to temporarily approve project scope changes, modifications of program purpose areas, and no-cost extensions to state and federal grant programs impacted by coronavirus (COVID-19), in consultation with the Board Chair.

Background

Due to COVID-19 and its widespread impacts across the state, many grant-funded programs have expressed interest in pausing, modifying, and/or extending their Grant Agreements to cope with service delivery challenges. State and local directives to stay at home or shelter in place, perform essential activities, and practice social distancing have severely limited the ability of many programs to provide services as originally intended.

Traditionally, project scope changes, modifications of program purpose areas, and no-cost extensions are presented to the Board by staff for Board approval. Staff anticipates an increase in the volume of projects requesting project changes between the April and June 2020 Board meeting and seeks temporary authority to approve these types of changes during this period in consultation with the Board Chair. Staff will ensure proposed changes are consistent with the grants' stated purpose and maintain written documentation of all modifications.

Recommendation/Action Needed

Staff recommends that the Board:

- Grant staff temporary authority between the April and June Board meetings to approve grant project scope changes, modifications of program purpose areas, and no-cost extensions in consultation with the Board Chair.

Agenda Item G:

**Jail Profile Survey: Status
Update on the Addition of
Late-Night Release
Information and Plans for a
Future Comprehensive
Update:
Information Only**

MEETING DATE: April 9, 2020

AGENDA ITEM: G

TO: BSCC Chair and Members

FROM: Kasey Warmuth, Chief of Research, kasey.warmuth@bscc.ca.gov

SUBJECT: Jail Profile Survey: Status Update on the Addition of Late-night Release Information and Plans for a Future Comprehensive Update: **Information Only**

Summary

This agenda item provides:

- a status update regarding the collection of late-night release information through the Jail Profile Survey (JPS),
- an overview of the AB 109 Jail Profile Survey,
- a status update on JPS system improvements, and
- a brief description of plans for a future comprehensive JPS update.

Background

Since the early 1980s the Board of State and Community Corrections (BSCC) and its predecessor entities¹ have administered the JPS to collect county jail population data from local jurisdictions. Over the years, the data have provided counties a means of tracking changes in their jail populations and projecting program and facility needs. It has also provided a means to inform stakeholders, researchers, policymakers, and other interested parties about local detention populations.

The current version of the JPS was developed in 2001. Some data elements are collected monthly (Attachment G-1) and others are collected quarterly (Attachment G-2). The data are reported to the BSCC quarterly. Combined, these forms are used to collect data about:

- The average daily population (ADP), including a breakdown of the ADP by gender, sentenced and nonsentenced population, and felony and misdemeanor population.
- Inmates that require medical attention.
- Inmates that require mental health attention.
- Inmates housed under contract.
- The number of early releases due to a lack of housing capacity.
- The number of inmate assaults on staff.
- The amount spent on medications and psychotropic medications.

On February 13, 2020, the Board authorized the modification of the JPS to collect information about late-night releases, after consultation with reporting jurisdictions and subject-matter experts. During this meeting BSCC staff also highlighted near-term JPS system improvements.

¹ Formerly the Corrections Standards Authority (CSA) and Board of Corrections (BOC).

Status Update on the Addition of Late-Night Release Information

BSCC staff is working on a draft recommendation for the collection of information related to late-night releases from county jails. The draft recommendation will take into consideration existing California law, California's recent legislative efforts, legislative changes in other states, and the concerns associated with late-night releases.

Once the draft recommendation is developed, the BSCC will solicit comments and suggestions from reporting jurisdictions, subject-matter experts, and interested stakeholders. Solicitations seeking comments will indicate that the comments should address one or more of the following points:

- Evaluate whether the proposed collection of information will have practical utility.
- Evaluate whether the quality, utility, and clarity of the information to be collected can be improved. If so, specify how.
- Evaluate the burden of the proposed collection of information for the responding agencies.

Comments will be accepted by email or can be provided during a videoconference meeting (see below).

Prior to the current coronavirus emergency and California's stay-at-home order, the BSCC planned on completing the public comment period by June 1, 2020, completing JPS system updates and data reporter training by December 31, 2020, and begin collecting late-night release information in January 2021. We are working out necessary modifications given the current coronavirus-related impacts across the state. If the initial plan remains feasible, implementation will occur in January 2021. However, a shift in the timeline may be necessary. A public comment period will begin as soon as practical following this Board meeting and the completion of the draft recommendation. Status updates will be provided during future Board meetings.

The following steps will be taken to solicit comments and suggestions regarding the draft recommendation:

- The Safe Release of Inmates/Transportation and Discipline Workgroup of the Adult Titles 15 and 24 Regulations Revisions Executive Steering Committee will be provided with the draft recommendation in advance of their next meeting for discussion and comment.
- The BSCC will solicit input from California sheriffs through the California State Sheriff's Association (CSSA).
- The BSCC will distribute the draft recommendation to the JPS data reporters and seek input in advance of their next quarterly videoconference meeting.
- The draft recommendation and information about the opportunities to provide comments will be posted to the BSCC website. Opportunities to provide comments will include two videoconference meetings, the JPS email box, and written correspondence.

After the public comment period, the BSCC will modify the draft recommendation, as necessary, to address the comments received. This modified recommendation for the collection of information related to late-night releases from jails will be presented to the BSCC Board for approval. The presentation will also include the tentative timeline for

implementation and information about technical assistance that will be provided to JPS data reporters regarding the new data elements.

Overview of the AB 109 Jail Profile Survey

Since 2011, the BSCC has administered the AB 109 Jail Profile Survey (Attachment G-3) to collect data from local detention facilities related to the impact of realignment. The survey collects information about the:

- Total number of Penal Code (PC) section 1170(h) offenders who were sentenced to the county jail as a new commitment, placed into alternative custody programs (e.g., electronic monitoring, work furlough), and returned to custody from an alternative custody program.
- Total number of Post Release Community Supervision (PRCS) offenders booked on a flash incarceration only (PC section 3454(c)), booked during the month (PC section 3455(a)), booked with a new local charge, and received jail time as the result of a revocation hearing.
- Total number of state parolees booked on a parole violation only (PC section 3056), who received jail time as a result of a revocation hearing, booked with new local charges, and who received a local sentence (including 1170(h) sentences).

A goal during survey development was to incorporate the new realignment-related data elements into the existing JPS. However, BSCC did not have the resources or staff IT expertise to do so at the time. Thus, the administration of the survey is manual through an excel form that data reporters complete on a monthly basis and email to BSCC staff. Each reporting quarter, BSCC staff track submissions, screen the data, compile the data into a single file, and publish the complete file to the BSCC website. Combining this survey with the JPS would provide an efficiency for BSCC staff. It will likely provide an efficiency for data reporters as well since 50 percent of the AB 109 data reporters are the same individuals who report data for the JPS.

Status Update on JPS System Improvements

Several JPS system improvements are in progress to make the system more flexible to better accommodate future modifications or requirements, and gain efficiencies for staff managing the survey. The improvements include:

- Modifications to the application used by data reporters to submit data for the monthly and quarterly JPS surveys. Specifically:
 - Adding reporting fields for the collection of late-night release information.
 - Adding reporting fields for the collection of AB109 Jail Profile Survey information.
 - Integrating additional data validation checks at the point of data entry.
- Database modifications to accommodate the additional data elements (i.e., late-night releases and AB 109).
- Development of an application for BSCC staff to manage the survey (i.e., tracking submissions, screening data, approving submitted reports, and pushing data for publication to the online query system). This will replace the current process, which is outdated, requires many manual work arounds for known problems, and will no longer function once the new data elements are added.

- Modifications to the online query system to ensure the new data elements are publicly accessible.

Prior to the current coronavirus-related impacts, these systems improvements were to be completed by December 31, 2020. A shift in this timeline may be necessary and status updates will be provided during future Board meetings.

Plans for a Future Comprehensive JPS Update

In the longer term, the BSCC is planning for a larger scale IT project that will modernize the JPS and, to the extent feasible, provide an interface between the JPS and the numerous other data collection tools within the BSCC. Our goal is to have a web-based and consolidated data collection system with built in validation that will reduce data errors and duplicate data collection efforts. By linking BSCC's numerous data collection systems, we will also be able to provide additional information.

The current version of the JPS was developed in 2001. Because of its age, the information it gathers may not reflect current needs or interests given the changes in the justice system over the years. In the near term, the BSCC will initiate a process to complete a comprehensive update of the information that is gathered through the JPS. The comprehensive update will ensure the resources dedicated to the longer-term IT project, described above, are in support of an updated version of the JPS that has been redesigned to collect information reflective of the various current needs or interests of the local jurisdictions and stakeholders, researchers, policymakers, and other interested parties. This process was anticipated to begin in January 2021 after the late-night release information and current system updates are complete.

Attachments

G-1: Monthly Jail Profile Survey Form
G-2: Quarterly Jail Profile Survey Form
G-3: AB 109 Jail Profile Survey Form

Attachment G-1

BOARD OF STATE AND COMMUNITY CORRECTIONS MONTHLY JAIL PROFILE SURVEY

Agency:	<input type="text"/>	[Month]	[Year]
Person Reporting:	<input type="text"/>	Reporting Period:	<input type="text"/>
Phone:	<input type="text"/>	Date:	<input type="text"/>

Section A: Total ADP

	Name of Facility	Type	Population Cap	Non-Sentenced		Sentenced		Totals
				Male	Female	Male	Female	
1)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	0
2)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	0
3)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	0
4)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	0
5)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	0
A1) ADP Totals				0	0	0	0	0
A2) Average number of felony inmates				<input type="text"/>		<input type="text"/>		0
A3) Average number of misdemeanor inmates				<input type="text"/>		<input type="text"/>		0
A4) Date of highest one-day population for the month				<input type="text"/>		The highest count was:		<input type="text"/>

Section B: Classification Profile of Inmates Comprising ADP

B1) ADP of maximum-security inmates	(No longer collected)	NC
B2) ADP of medium-security inmates	(No longer collected)	NC
B3) ADP of minimum-security inmates	(No longer collected)	NC

Section C: Inmates Requiring Mental Health Attention

C1) Number of mental health cases open on the last day of the month	<input type="text"/>
C2) Number of new mental health cases that were opened during this month	<input type="text"/>
C3) Number of inmates, on the last day of the month, receiving psychotropic medication for a mental health disorder	<input type="text"/>
C4) Number of inmates needing, and actually assigned to, mental health beds on the last day of the month	<input type="text"/>

Section D: Inmates Requiring Medical Attention

D1) Number of inmates that were seen at inmate sick call this month	<input type="text"/>
D2) Number of physician/mid-level practitioner occurrences (excluding dental) during this month	<input type="text"/>
D3) Number of off-site medical appointments during this month	<input type="text"/>
D4) Number of dental encounters during this month	<input type="text"/>
D5) Number of inmates assigned to medical beds on the last day of the month	<input type="text"/>

Section E: Holding Areas

E1) Average number of inmates not assigned to housing (e.g., holding, sobering and safety cells) during this month	<input type="text"/>
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Section F: Contract Housing

F1) Average number of your inmates in contract beds in other public/private institutions during the month	<input type="text"/>
F2) Average number of federal inmates housed in your system on contract during the month	<input type="text"/>
F3) Average number of state inmates housed in your system on contract during the month	<input type="text"/>
F4) Average number of inmates from other counties housed in your jurisdiction on contract during the month	<input type="text"/>

Section G: Other Inmate Categories

G1) Average number of inmates in your system who are sentenced and awaiting transport to state prison during the month	<input type="text"/>
G2) Average number of inmates in hospital(s) outside of your jail facilities during the month	<input type="text"/>

Section H: Additional Information

H1) Total number of persons booked this month	<input type="text"/>
H2) Total number of pre-trial release (e.g., cite out, felony O.R.) DUE TO LACK OF HOUSING CAPACITY	<input type="text"/>
H3) Total number of sentenced inmates released early (e.g., work release, electronic monitoring, court-ordered, or other capacity release) DUE TO LACK OF HOUSING CAPACITY	<input type="text"/>
H4) Total number of juveniles in custody this month (per WIC Section 707)	<input type="text"/>

Please refer to the JPS Workbook for survey instructions.
 Submit completed surveys to: <https://app.bscc.ca.gov/jps/login.asp>
 For questions, contact BSCC's FSO Analyst at JPS@bscc.ca.gov; (916) 323-9704
 As of September 2018

Attachment G-2

BOARD OF STATE AND COMMUNITY CORRECTIONS QUARTERLY JAIL PROFILE SURVEY

Agency:	<input type="text"/>	[Quarter]	[Year]
Person Reporting:	<input type="text"/>	Reporting Period:	<input type="text"/>
Phone:	<input type="text"/>	Date:	<input type="text"/>

Section A. Quarterly Data

1) Current number of inmates classified as "3rd strike"	(No longer collected)	<input type="text" value="NC"/>
2) Current number of inmates classified as "2nd strike"	(No longer collected)	<input type="text" value="NC"/>
3) Current number of unserved felony warrants in your county	(No longer collected)	<input type="text" value="NC"/>
4) Current number of unserved misdemeanor warrants in your county	(No longer collected)	<input type="text" value="NC"/>
5) Percentage of your current inmates believed to be undocumented aliens	(No longer collected)	<input type="text" value="NC"/>
6) Number of inmate assaults on staff during this quarter		<input type="text"/>
7) Amount of money spent on medication during the <u>previous</u> quarter		<input type="text"/>
8) Amount of money spent on psychotropic medication during the <u>previous</u> quarter		<input type="text"/>

Section B. Average Length of Stay

1) All releases from your system	<input type="text"/>
A) Pre-trial [non-sentenced] releases	<input type="text"/>
B) Sentenced releases	<input type="text"/>

Please refer to the JPS Workbook for survey instructions.
 Submit completed surveys to: <https://app.bscc.ca.gov/jps/login.asp>
 For questions, contact BSCC's FSO Analyst at JPS@bscc.ca.gov; (916) 323-9704
 As of September 2018

Attachment G-3

BOARD OF STATE AND COMMUNITY CORRECTIONS Monthly AB109 Jail Survey*		Month:	
		Year:	
Jurisdiction:		Date:	
Person Reporting:		Phone:	
Person Reporting Email:			
Section A Penal Code Section 1170 (h)			
A1)	Number of offenders sentenced to local custody <i>Enter the total number of PC1170(h)(1) offenders (non-violent, non-serious, non-sex offenders) sentenced to the county jail for the reporting month as a new commitment. Include both straight and split sentences. Do not include offenders who are state parolees (see C4).</i>		
A2)	Number of offenders released to a sheriff's alternative custody program <i>Enter the total number of PC1170(h) offenders who were placed into alternative programs such as electronic monitoring, GPS, work furlough, etc. for the reporting month. This number will have been included in A1) either in this or a previous month.</i>		
A3)	Number of offenders in a sheriff's alternative custody program returned to custody <i>Enter the total number of PC1170(h) offenders, for the reporting month, previously in alternative programs (A2), who have violated either probation, a condition of the program or committed a new criminal offense.</i>		
Section B Post Release Community Supervision (PRCS)			
B1)	Number of PRCS offenders booked on a PC3454 (c) flash incarceration only <i>Enter the total number of PRCS offenders booked, for the reporting month, for a flash incarceration, pursuant to PC3454(c).</i>		
B2)	Number of PRCS offenders booked during the month <i>Enter the number of PRCS offenders booked during the reporting month pursuant to PC3455(a). Do not include those violators who have also been booked on a new offense.</i>		
B3)	Number of PRCS offenders booked with a new local charge(s) <i>Enter the number of PRCS offenders, for the reporting month, booked with a new local charge.</i>		
B4)	Number of PRCS offenders who received jail time as a result of a revocation hearing <i>Enter the number of PRCS offenders, for the reporting month, who received jail time as a result of a revocation. Include offenders who, at the time of the hearing, received time served.</i>		
Section C State Parolees Penal Code Section 3056			
C1)	Number of persons booked on a PC3056 parole violation only during the month <i>Enter the number of state parole violators who were booked during the reporting month, pursuant to PC3056(a). Do not include those violators who have also been booked on a new offense (see C3).</i>		
C2)	Number of parole violators who received jail time as a result of revocation hearing <i>Enter the number of state parole violators, for the reporting month, who received jail time as a result of a parole revocation hearing. Include violators who, at the time of the hearing, received time served. Do not include violators who are in custody on new offenses in addition to the violation.</i>		
C3)	Number of parole violators booked with new local charges <i>Enter the number of state parole violators, for the reporting month, who were booked on any new offense(s) including 1170(h) charges.</i>		
C4)	Number of parole violators who received a local sentence <i>Enter the number of state parole violators, for the reporting month, who were sentenced to serve jail time on a new local offense including 1170(h) sentences.</i>		
<p>*BSCC is requesting each county provide data.</p> <p>If data is unavailable for any element place a "U" in the corresponding box.</p> <p>Please return survey to the FSO Report Analyst by the 20th of the following month at:</p> <p>Board of State and Community Corrections, 2590 Venture Oaks Way, Ste. 200, Sacramento, CA 95833 FAX: (916) 322-2461 or (916) 327-3317; Email: PSR@bscc.ca.gov; Questions? Call: (916) 323-9704</p>			