

**TO: Chairman and Members**

**DATE: November 8, 2012**

**SUBJECT: Public Policy Institute Research Proposal**

**AGENDA ITEM: N**

**ACTION: X**  
**INFORMATION:**

**RESOURCE PERSON: Patricia Mazzilli**

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**Summary:**

The Public Policy Institute of California (PPIC) is proposing to partner with the Board of State and Community Corrections to conduct a research project related to the impacts of Public Safety Realignment of 2011 in a representative number of counties throughout California. Ryken Grattet of the PPIC will present an overview of the proposal to the Board and answer questions relative to the proposed project. The PPIC proposal is attached for the Boards reference. (Attachment A)

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**Recommendation/Action Needed:**

The Chair to open discussion of the project proposal.

The Chair to entertain a motion with regard to proceeding with the project as a partner with the Public Policy Institute.

If a decision is made to proceed, grant the BSCC Executive Director authority to enter into an agreement with the PPIC and work with BSCC legal counsel and PPIC on the required legal agreements.

**The Public Policy Institute of California**  
**Measuring Performance and Outcomes of California's Public Safety Realignment**

The Public Policy Institute of California (PPIC) is initiating a new multi-county project to identify the most effective and efficient practices under California's public safety realignment. PPIC is interested in working with the California Board of State and Community Corrections (BSCC) to document county activities and analyze outcomes across a set of key performance measures. The ultimate goals of the project are to identify the sanctions, interventions and services that are most effective for reducing recidivism and to provide the necessary information for counties to plan further steps to reduce criminal justice costs while maintaining public safety. We intend to develop a set of data collection procedures that will aid BSCC in the reporting of progress on the implementation of realignment and which will be scalable to other counties in the state. Moreover, the data gathered in this project will aid the participating counties by providing them with the ability to better gauge the effectiveness of their practices and it will aid policymakers, county program evaluators, and other researchers in evaluating the statewide impact of realignment.

**County Selection**

The first phase in this project involves selecting a subset of 8-12 counties for analysis based on their representativeness of the state as a whole and the diversity of their approaches to realignment. As a group, these counties should capture the majority of the CA population and the majority of the projected realignment population. This group of counties should also approximate the state population well in terms of demographic and economic characteristics, as well as the regional and urban/rural diversity of the state.

**Data Collection**

The second phase of the project will involve identifying the sources of data elements needed to assess the impacts of realignment policy at the county and state level. Target counties and statewide data holders (e.g., CDCR and DOJ) will provide individual level data, including: demographic and criminogenic characteristics, incarceration period, alternative sanctions experienced, services accessed, and recidivism measures. Table 1 lists the general data elements to be collected for the realigned populations. PPIC and BSCC will work with the target counties to develop data collection strategies and establish quality control practices. Collected data will be submitted by participating counties to BSCC. BSCC will provide PPIC with full individual level datasets collected from the counties as the data become available.

**Analysis**

The data described above will allow PPIC and other researchers to analyze the relationship between county practices and reentry and public safety outcomes. These findings will help participating counties to better understand how their policy interventions are affecting outcomes, allowing them to best target sanctions and services to effectively and efficiently reduce crime and recidivism. The study will also allow us to use the variation across counties in these practices to identify effective practices and then share this information with participating and non-participating counties to inform policy interventions statewide. This work will focus on how practices compare across counties rather than how counties compare in general. Finally, the findings from this project will also be useful in the interpretation of state level findings on the effects of realignment on recidivism.

Table 1. Data Elements: PRCS, State Parole Violators, and 1170h Populations

<b>Data Elements</b>	<b>Source: PRCS</b>	<b>Source: State Parole Violators</b>	<b>Source: 1170(h)</b>
Individual Identifier	CDCRNO, CII	CDCRNO, CII	CII
Static Demographics	CDCR	CDCR	County
Year of Birth	CDCR	CDCR	County
Gender	CDCR	CDCR	County
Race/Ethnicity	CDCR	CDCR	County
Supplemental Dynamic Attributes*	County	County	County
Marital/Cohabitation Status	County	County	County
Parental Status	County	County	County
Education Level	County	County	County
Employment Status	County	County	County
Sources of Income	County	County	County
Current Offense/Violation	CDCR	County	County
Offense/Violation Type	CDCR	County	County
Sentence	CDCR	County	County
Time Served	CDCR	County	County
Sanctions Received	CDCR, County	CDCR, County	County
Offense History	CDCR, DOJ, County	CDCR, DOJ, County	CDCR, DOJ, County
Prior Arrests	CDCR,DOJ	CDCR,DOJ	DOJ, County
Prior Convictions	CDCR,DOJ	CDCR,DOJ	DOJ, County
Prior Prison Stays	CDCR	CDCR	CDCR
Prior Serious Offenses	CDCR	CDCR	DOJ, County
Prior Violent Offenses	CDCR	CDCR	DOJ, County
Prior Sex Offenses	CDCR	CDCR	DOJ, County
Prior Returns to Prison	CDCR	CDCR	DOJ, County
Prior Gang Affiliation	CDCR, County	CDCR, County	County
Risk Assessment	CDCR	CDCR	County
Needs Assessment	CDCR, County	CDCR, County	County
Substance Abuse	CDCR, County	CDCR, County	County
Mental Health	CDCR, County	CDCR, County	County
Disability	CDCR, County	CDCR, County	County
Healthcare	CDCR, County	CDCR, County	County
Housing	County	County	County
Income Support	County	County	County
Services Received	County	County	County
Recidivism			
Re-arrest	DOJ	DOJ	DOJ
Re-conviction	DOJ	DOJ	DOJ
Return to Custody	CDCR, County	CDCR, County	County

\* Supplemental demographic data is subject to verification with individual counties.

## **Memorandum of Understanding [DRAFT]**

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### **The Public Policy Institute of California and the California Board of State and Community Corrections**

The Public Policy Institute of California (PPIC) will work with the California Board of State and Community Corrections (BSCC). This Memorandum of Understanding describes the institutional background, purpose, and scope of the project and release of the documents.

### **Purpose of the Study**

PPIC is initiating a new multi-county project to identify the most effective and efficient practices under California's public safety realignment. PPIC is interested in working with the BSCC to document county activities and analyze outcomes across a set of key performance measures. The ultimate goals of the project are to identify the sanctions, interventions and services that are most effective for reducing recidivism and to provide the necessary information for counties to plan further steps to reduce criminal justice costs while maintaining public safety.

### **Scope of Work**

PPIC and BSCC will develop a set of data collection procedures with participating counties that will aid BSCC in the reporting of progress on the implementation of realignment and which will be scalable to other counties in the state. The project will involve identifying the sources of data elements needed to assess the impacts of realignment policy at the county and state level. Target counties and statewide data holders (e.g., CDCR and DOJ) will provide individual level data, including: demographic and criminogenic characteristics, incarceration period, alternative sanctions experienced, services accessed, and recidivism measures. PPIC and BSCC will work with the target counties to develop data collection strategies and establish quality control practices. Collected data will be submitted by participating counties to BSCC. BSCC will provide PPIC with full individual level datasets collected from the counties as the data become available.

### **Project Leadership**

The project will be led by PPIC policy fellows, Mia Bird and Ryken Grattet, and PPIC policy associate, Sonya Tafoya, and managed by Robert Gleeson, PPIC Vice President of Policy Research. Project staff will work closely with BSCC Board member Susan Mauriello, Executive Director Patricia Mazzilli, and Deputy Director Jean L. Scott.

### **Review and Publication of PPIC Research**

PPIC has a full Institutional Review Board (IRB) established to protect the rights and welfare of human research subjects recruited to participate in research activities conducted under the institute's auspices. All research projects involving PPIC staff must receive IRB approval if they involve human subjects, regardless of the performance site. PPIC ensures that all data will remain confidential and that no individual-level data will be made available in any publications. (PPIC's Federalwide Assurance number is 00005253, expiring 5/13/2013.)

PPIC's work is guided by a number of core principles and expectations. The content and timing of PPIC's publications and other materials will be determined solely by PPIC and will not be subject to prior approval from the BSCC or the counties. PPIC will own the copyrights of any documents created during this project. PPIC will also be solely responsible for the development and the content of any press release related to its activities and will determine the timing of such a release.

Publications will be produced and distributed by PPIC and will be delivered to appropriate statewide audiences. PPIC, the BSCC, and the counties may agree to have PPIC distribute the final products to additional contacts. All publications and related activities will acknowledge the participation of the BSCC and the counties. The publication(s) will be available for free download from the PPIC website. The authors will be available to brief their findings at policy forums, as requested by the BSCC and others.

PPIC maintains a rigorous review process for all publications. This process includes reviews by the Vice President of Policy Research and Director of Communications. PPIC will also seek internal and external reviews by academic and policy experts.

PPIC does take or support positions on any ballot measure or on any local, state, or federal legislation — nor does it endorse, support, or oppose any political parties or candidates for public office.

## **Indemnification**

BSCC shall defend, indemnify and hold PPIC harmless from and against any and all liability, loss, expense, attorneys' fees, or claims for injury or damages arising out of the performance of activities to be implemented pursuant to this research project but only in proportion to and to the extent such liability, loss, expense, attorneys' fees, or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of PPIC, its officers, agents, or employees.

This MOU shall be effective from the date executed by both PPIC and BSCC and shall continue in effect post publication of the final PPIC publication.

### **PUBLIC POLICY INSTITUTE OF CALIFORNIA**

By: \_\_\_\_\_  
Mark Baldassare, President and CEO

\_\_\_\_\_  
Date

### **California Board of State and Community Corrections**

By: \_\_\_\_\_  
Name, Title

\_\_\_\_\_  
Date



# County of Santa Cruz

## COUNTY ADMINISTRATIVE OFFICE

701 OCEAN STREET, SUITE 520, SANTA CRUZ, CA 95060-4073

(831) 454-2100 FAX: (831) 454-3420 TDD: (831) 454-2123

SUSAN A. MAURIELLO, J.D., COUNTY ADMINISTRATIVE OFFICER

November 6, 2012

Board of State & Community Corrections  
600 Bercut Drive  
Sacramento, CA 95811

Dear Members of the Board of State and Community Corrections:

It is with great enthusiasm that I write to you today to urge your approval of Item N on the November 2012 Board of State & Community Corrections (BSCC) agenda. Item N is a proposal by the Public Policy Institute of California (PPIC) to measure performance and outcomes of the State's public safety realignment initiative. As you know, SB 92 assigns broad responsibilities to the BSCC to collect data and evaluate the effectiveness of California's justice system with particular emphasis on the new provisions established by AB109 and related statutes.

While the responsibilities are clear, no specific resources have been established for this purpose. As such, the BSCC will need to creatively rely on existing State and local data and restructure the work program of BSCC staff to accomplish the goals set forth in the statutes. In addition, California is very fortunate to have rich and capable policy and academic resources available to assist, many of whom are already working on various aspects of evaluating the implementation of justice realignment.

Recently, through the work of the Stanford Criminal Justice Center, I became aware of the efforts of Ryken Grattet, a Policy Fellow at the PPIC. Under his leadership, the PPIC is proposing to conduct an evaluation of realignment by sampling 8-12 counties which are representative of the diversity of the state. Using data from the Department of Justice, California Department of Corrections and Rehabilitation and the Counties, PPIC researchers will analyze the practices and data in an effort to document public safety outcomes. The PPIC is requesting our approval of a Memorandum of Understanding that will allow their work to proceed, encourage cooperation among

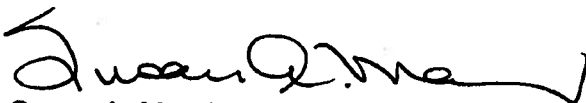
**SERVING THE COMMUNITY - WORKING FOR THE FUTURE**

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Counties and the State, and establish a solid framework for the objective evaluation of California's Justice Realignment. The research is proposed to be financed by PPIC at no additional cost to the State.

I have offered my support to Director Mazzilli and the research team, and I urge your approval of this important proposal.

Very truly yours,

A handwritten signature in black ink, appearing to read "Susan A. Mauriello", with a stylized flourish at the end.

Susan A. Mauriello  
County Administrative Officer

Cc: Ryken Grattet, PPIC  
Professor Joan Petersilia, Stanford Criminal Justice Center