**Santa Clara County Behavioral Health Services Grant Program**

# **Local Evaluation Plan (Level 1)**

Submitted by:

### Santa Clara County

Prepared by:

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## Project Overview

The Santa Clara County Proposition 47 Project is intended to fill gaps in mental health and substance use treatment for justice-involved individuals with moderate-severe or persistent mental illness, substance use, and co-occurring disorders by expanding an existing program. This will be achieved through the: 1) increase in slots for outpatient co-occurring treatment; 2) increase in slots for outpatient substance use treatments; and 3) expansion of transitional housing units (THU) for individuals participating in one of the aforementioned outpatient programs. Furthermore, the Project will also have housing case managers available to provide individuals with housing related services, as well as referrals to employment/educational services.

SCC-BHSD Outpatient Co-occurring program will provide evidence-based services that include at minimum one (1) of the following models: Trauma Informed Care, Dialectical Behavioral Therapy, Motivational Interviewing, Interactive Journaling, Multisystemic Therapy, Brief Strategic Family Therapy, and/or Cognitive Behavioral Therapy, to justice involved adults who have co-occurring disorders and whose level of functioning, symptoms, and psychiatric history necessitate service intervention to maintain the individual in community settings. Participants served identified as having complex risk factors including substance use; community violence; interpersonal family violence; general neglect and exposure to trauma; social and emotional isolation; and physical decline and losses. Furthermore, individuals receiving treatment services in an outpatient program will also be eligible for Recovery Residence (Transitional Housing Units) which provide a safe, clean and sober environment where participants can live for a transitional period as they continue to work on their substance use issues in an outpatient setting, prepare for employment, and seek an independent and safe living situation. Individuals will receive food, bedding, and household items during their stay, and assistance when needed with other levels of care.

Individuals receiving Proposition 47 co-occurring or outpatient treatment services also have access to linkage to employment and educational services. Services will include evidence-based assessments to evaluate an individual’s employment/educational skill level and individualized job readiness, training, transitional employment, peer support, and connection to employment/educational opportunities. Moreover, individuals will also be able to meet with Housing Coordinators who will conduct Vulnerability Index-Service Prioritization Decision Assistance Tools (VI-SPDAT) assessments which will facilitate linkage to housing resources, enrollment in emergency assistance programs providing immediate and short-term housing support, and assistance with other housing related case management needs.

## Data Collection Plan for Goal 1

| **Goal 1: To increase access to outpatient treatment and transitional housing for justice-involved individuals with moderate to severe or persistent mental illness and co-occurring disorders.** | | | | |
| --- | --- | --- | --- | --- |
| **Objective a: 75% of participants are enrolled in treatment.** | | | | |
| **Objective b: 90% of participants will be linked to treatment within 10 days of referral.** | | | | |
| **Objective c: 75% of participants with identified need for transitional housing units receive them, in conjunction with outpatient treatment services.** | | | | |
|  | **Data Elements** | **Data Sources** | **Frequency of Collection** | **Target** |
| 1. | Number of participants enrolled in treatment | BHSD services database | Quarterly | Goal  Objective(s): a |
| 2. | Date of referral for treatment and enrollment date. | BHSD services database | Quarterly | Goal  Objective(s): b |
| 3. | Number of participants who received transitional housing units | BHSD housing database | Quarterly | Goal  Objective(s): c |
| 4. | Case management notes and treatment attendance | BHSD services database | Quarterly | Goal  Objective(s): a, b |

## Data Collection Plan for Goal 2

| **Goal 2: To stabilize and reduce recidivism of justice-involved individuals with moderate-severe or persistent mental illness and co-occurring disorders through community-based treatment.** | | | | |
| --- | --- | --- | --- | --- |
| **Objective a: 65% of participants will maintain engagement in BHSD treatment and services or successfully complete treatment.** | | | | |
| **Objective b: Decrease the target population recidivism rate from 45% to 40%.** | | | | |
|  | **Data Elements** | **Data Sources** | **Frequency of Collection** | **Target** |
| 1. | Case management notes, treatment attendance, progress towards personal goals | BHSD services database | Quarterly | Goal  Objective(s): a |
| 2. | Convictions of a new misdemeanor or felony after enrolling in treatment | Santa Clara County Probation Department | Annually | Goal  Objective(s): b |
| 3. | Conviction/recidivism rates for all probationers with moderate-severe mental illness and co-occurring disorders. | Santa Clara County Probation Department | Annually | Goal  Objective(s): b |

## Data Collection Plan for Goal 3

| **Goal 3: To stabilize and reduce recidivism of justice involved individuals with moderate to severe or persistent mental illness and co-occurring disorders through housing supports.** | | | | |
| --- | --- | --- | --- | --- |
| **Objective a: 75% of participants will exit THU to permanent housing.** | | | | |
| **Objective b: Decrease the target population recidivism rate from 45% to 40%.** | | | | |
|  | **Data Elements** | **Data Sources** | **Frequency of Collection** | **Target** |
| 1. | Residential status (homeless, transitional housing, permanent housing, etc.) | BHSD housing database | Quarterly | Goal  Objective(s): a |
| 2. | Convictions of a new misdemeanor or felony after moving into a transitional housing unit | Santa Clara County Probation Department | Annually | Goal  Objective(s): b |
| 3. | Convictions of a new misdemeanor or felony after moving out of transitional housing into permanent housing | Santa Clara County Probation Department | Annually | Goal  Objective(s): a, b |
| 4. | Conviction/recidivism rates for all probationers with moderate-severe mental illness and co-occurring disorders. | Santa Clara County Probation Department | Annually | Goal  Objective(s): b |

## Data Management

BHSD currently utilizes Unicare to store data related to participant referrals, participants receiving outpatient co-occurring and substances use services which include participant demographics, clinical screenings, level of care service(s) individual is open to, treatment entry and exit dates, and discharge reasons. The Unicare system designates individuals with a unique identifier which follows the participant throughout the SCC-BHSD Mental Health Systems of Care and allows for data to be tracked. Community Based Organizations also utilize their own secure Electronic Health Records, such as MyAvatar, which comply with HIPAA regulations. Access to these systems will be restricted to the Mental Health Program Specialist responsible for implementing and overseeing the data collection plan, including running reports and monitoring services under Prop 47. Additionally, the Homeless Management and Information Systems (HMIS) will store information on participant demographics, housing status, and homelessness/risk of homelessness gathered through the VI-SPDAT assessment. This information will be used to assist participants with determining each participant’s housing need, including the need for permanent housing. CAIS is another data source which will be used by our probation partners to assess and inform of a participant’s risk to re-offend. Furthermore, SCC-BHSD has developed a capacity management tracking system which allows for weekly reporting and capacity management services which will ensure that data are entered accurately, Prop 47 Co-occurring slots are maximized, and that participants are being transitioned to higher or lower levels of care, when appropriate. Weekly reporting will also allow staff to monitor the project and identify potential changes that need to be made during the implementation of the program. Capacity management services will ensure that the use of in-patient treatment beds and transitional housing units are maximized. This will allow for throughput which will allow individuals to access Prop 47 services throughout the course of the three-year project.

## Data Analysis and Reporting

SCC-BHSD will be contracting with an outside evaluator who will utilize a mixed method evaluation to assess the effectiveness of Proposition 47 project at meeting the identified goals and objectives. Additionally, information gathered will be used to inform Proposition 47 providers on areas of improvement and overall effectiveness of program implementation. This will include the gathering of quantitative and qualitative data through already established databases and participant surveys, coordinated data sharing agreements, and on-site focus groups. The evaluator will conduct a pre- post-comparison of recidivism rates for one year before and one year after enrolling in the Proposition 47 program.

In addition to already existing databases, the evaluator with input from SCC-BHSD, will develop data collection tools and train staff on data collection protocols. In the interim, the evaluator will utilize Excel, Stata, and SPSS databases for data collection and analysis. The evaluator will also develop a pre-post survey for participants enrolled in outpatient treatment intended to fill any data gaps. In order to ensure that Proposition 47 Services are implemented and conducted to fidelity, the evaluator will continuously work with SCC-BHSD, law enforcement partners, over the life of the grant, to analyze, monitor and gather participant/staff feedback on all Proposition 47 services including through evaluation planning work sessions and LAC meetings. This in turn, will allow for the identification of issues/barriers, program recommendations, improvements to services and processes, and a determination whether services are achieving intended deliverables. Finally, the evaluator will be responsible for preparing the final local evaluation report for Santa Clara County’s Proposition 47 project.