III. MEDICAL/MENTAL HEALTH EVALUATION[[1]](#footnote-1)

**Adult Type I, II, III and IV Facilities**

| **ARTICLE/SECTION** | **YES** | **NO** | **N/A** | **COMMENTS** |
| --- | --- | --- | --- | --- |
| **Article 11. MEDICAL/MENTAL Health Services** |
| 1200 Responsibility for Health Care Services(a) In Type I, II, III and IV facilities, the facility administrator shall have the responsibility to ensure provision of emergency and basic health care services to all incarcerated persons. |[ ] [ ] [ ]   |
| Medical, dental, and mental health matters involving clinical judgments are the sole province of the responsible qualified health care professionals, dentist, and psychiatrist or psychologist respectively; however, security regulations applicable to facility personnel also apply to health personnel. |[ ] [ ] [ ]   |
| Each facility shall have at least one physician available. |[ ] [ ] [ ]   |
| In Type IV facilities, compliance may be attained by providing access into the community; however, in such cases, there shall be a written plan for the treatment, transfer, or referral in the event of an emergency. |[ ] [ ] [ ]   |
| **1202** **Health Service Audits** The health authority shall develop and implement a written plan for annual statistical summaries of health care and pharmaceutical services that are provided. |[ ] [ ] [ ]   |
| The responsible physician shall also establish a mechanism to assure that the quality and adequacy of these services are assessed annually. |[ ] [ ] [ ]   |
| The plan shall include a means for the correction of identified deficiencies of the health care and pharmaceutical services delivered. |[ ] [ ] [ ]   |
| Based on information from these audits, the health authority shall provide the facility administrator with an annual written report on health care and pharmaceutical services delivered. |[ ] [ ] [ ]   |
| **1203** **Health Care Staff Qualifications** State and/or local licensure and/or certification requirements and restrictions, including those defining the recognized scope of practice specific to the profession, apply to health care personnel working in the facility the same as to those working in the community. |[ ] [ ] [ ]   |
| Copies of licensing and/or certification credentials shall be on file in the facility or at a central location where they are available for review. |[ ] [ ] [ ]   |
| **1204** **Health Care Procedures** Health care performed by personnel other than a physician shall be performed pursuant to written protocol or order of the responsible health care staff. |[ ] [ ] [ ]   |
| **1205** **Health Care Records**(a) The health authority shall maintain individual, complete and dated health records in compliance with state statute to include, but not be limited to:(1) receiving screening form/history; |[ ] [ ] [ ]   |
| (2) health evaluation reports; |[ ] [ ] [ ]   |
| (3) complaints of illness or injury; |[ ] [ ] [ ]   |
| (4) names of personnel who treat, prescribe, and/or administer/deliver prescription medication; |[ ] [ ] [ ]   |
| (5) location where treated; and, |[ ] [ ] [ ]   |
| (6) medication records in conformance with section 1216. |[ ] [ ] [ ]   |
| (b) The physician/patient confidentiality privilege applies to the health care record. Access to the health record shall be controlled by the health authority or designee. |[ ] [ ] [ ]   |
| The health authority shall ensure the confidentiality of each incarcerated person's health care record file (paper or electronic) and such files shall be maintained separately from and in no way be part of the person's other jail records. |[ ] [ ] [ ]   |
| Within the provisions of HIPAA 45 C.F.R., Section 164.512(k)(5)(i), the responsible physician or designee shall communicate information obtained in the course of health screening and care to jail authorities when necessary for the protection of the welfare of the incarcerated person or others, management of the jail, or maintenance of jail security and order. |[ ] [ ] [ ]   |
| (c) Written authorization by the incarcerated person is necessary for transfer of health care record information unless otherwise provided by law or administrative regulations having the force and effect of law. |[ ] [ ] [ ]   |
| (d) Incarcerated persons shall not be used for health care recordkeeping. |[ ] [ ] [ ]   |
| **1206** **Health Care Procedures Manual** The health authority shall, in cooperation with the facility administrator, set forth in writing, policies and procedures in conformance with applicable state and federal law, which are reviewed and updated at least every two years and include but are not limited to:(a) summoning and application of proper medical aid; |[ ] [ ] [ ]   |
| (b) contact and consultation with other treating health care professionals; |[ ] [ ] [ ]   |
| (c) emergency and non-emergency medical and dental services, including transportation; |[ ] [ ] [ ]   |
| (d) provision for medically required dental and medical prostheses and eyeglasses; |[ ] [ ] [ ]   |
| (e) notification of next of kin or legal guardian in case of serious illness which may result in death; |[ ] [ ] [ ]   |
| (f) provision for screening and care of pregnant and lactating people, including prenatal and postpartum information and health care, including but not limited to access to necessary vitamins as recommended by a doctor, information pertaining to childbirth education and infant care; |[ ] [ ] [ ]   |
| (g) screening, referral, and care of incarcerated persons who may be in behavioral crisis or have developmental disabilities; |[ ] [ ] [ ]   |
| (h) implementation of special medical programs; |[ ] [ ] [ ]   |
| (i) management of incarcerated persons suspected of or confirmed to have communicable diseases; |[ ] [ ] [ ]   |
| (j) the procurement, storage, repackaging, labeling, dispensing, administration/delivery to incarcerated persons, and disposal of pharmaceuticals; |[ ] [ ] [ ]   |
| (k) use of non-physician personnel in providing medical care; |[ ] [ ] [ ]   |
| (l) provision of medical diets; |[ ] [ ] [ ]   |
| (m) patient confidentiality and its exceptions; |[ ] [ ] [ ]   |
| (n) the transfer of pertinent individualized health care information, or individual documentation that no health care information is available, to the health authority of another correctional system, medical facility, or mental health facility at the time each incarcerated person is transferred and prior notification pursuant to Health and Safety Code Sections 121361 and 121362 for incarcerated persons with known or suspected active tuberculosis disease. |[ ] [ ] [ ]   |
| Procedures for notification to the transferring health care staff shall allow sufficient time to prepare the summary. |[ ] [ ] [ ]   |
| The summary information shall identify the sending facility and be in a consistent format that includes the need for follow-up care, diagnostic tests performed, medications prescribed, pending appointments, significant health problems, and other information that is necessary to provide for continuity of health care. |[ ] [ ] [ ]   |
| Necessary medication and health care information shall be provided to the transporting staff, together with precautions necessary to protect staff and incarcerated passengers from disease transmission during transport;  |[ ] [ ] [ ]   |
| (o) forensic medical services, including drawing of blood alcohol samples, body cavity searches, and other functions for the purpose of prosecution shall not be performed by medical personnel responsible for providing ongoing care to incarcerated people; |[ ] [ ] [ ]   |
| (p) provisions for application and removal of restraints on pregnant people consistent with Penal Code Section 3407; |[ ] [ ] [ ]   |
| (q) other Services mandated by statute; and, |[ ] [ ] [ ]   |
| (r) provisions for timely and appropriate medical and mental health screenings, access to medical and mental health services within seven days of request, and no-cost access to contraception and STD treatment, for incarcerated persons who have reported sexual abuse or sexual harassment, regardless of the location where the incident(s) occurred. |[ ] [ ] [ ]   |
| **1206.5** **Management of Communicable Diseases**(a) The responsible physician, in conjunction with the facility administrator and the county health officer, shall develop a written plan to address the identification, treatment, control and follow-up management of tuberculosis and other communicable diseases. |[ ] [ ] [ ]   |
| The plan shall cover the intake screening procedures, identification of relevant symptoms, referral for a medical evaluation, treatment responsibilities during incarceration and coordination with public health officials for follow-up treatment in the community.  |[ ] [ ] [ ]   |
| The plan shall reflect the current local incidence of communicable diseases which threaten the health of incarcerated people and staff. |[ ] [ ] [ ]   |
| (b) Consistent with the above plan, the health authority shall, in cooperation with the facility administrator and the county health officer, set forth in writing, policies and procedures in conformance with applicable state and federal law, which include, but are not limited to: |[ ] [ ] [ ]   |
| (1) the types of communicable diseases to be reported; |[ ] [ ] [ ]   |
| (2) the persons who shall receive the medical reports; |[ ] [ ] [ ]   |
| (3) sharing of medical information with incarcerated persons and custody staff; |[ ] [ ] [ ]   |
| (4) medical procedures required to identify the presence of disease(s) and lessen the risk of exposure to others; |[ ] [ ] [ ]   |
| (5) medical confidentiality requirements; |[ ] [ ] [ ]   |
| (6) housing considerations based upon behavior, medical needs, and safety of the affected incarcerated persons; |[ ] [ ] [ ]   |
| (7) provision for consent by an incarcerated person that address the limits of confidentiality; and, |[ ] [ ] [ ]   |
| (8) reporting and appropriate action upon the possible exposure of custody staff to a communicable disease. |[ ] [ ] [ ]   |
| 1207 Medical Receiving ScreeningA screening shall be completed on all incarcerated persons at the time of intake |[ ] [ ] [ ]   |
| This screening shall be completed in accordance with written procedures and shall include but not be limited to medical and mental health problems, developmental disabilities, and communicable diseases. |[ ] [ ] [ ]   |
| The screening shall be performed by licensed health personnel or trained facility staff, with documentation of staff training regarding site specific forms with appropriate disposition based on responses to questions and observations made at the time of screening. The training depends on the role staff are expected to play in the receiving screening process. |[ ] [ ] [ ]   |
| The facility administrator and responsible physician shall develop a written plan for complying with Penal Code Section 2656 (orthopedic or prosthetic appliance used by incarcerated persons). |[ ] [ ] [ ]   |
| There shall be a written plan to provide care for any incarcerated person who appears at this screening to be in need of or who requests medical, mental health, or developmental disability treatment. |[ ] [ ] [ ]   |
| Written procedures and screening protocol shall be established by the responsible physician in cooperation with the facility administrator. |[ ] [ ] [ ]   |
| **1207.5** **Special behavioral health Assessment**An additional mental health screening will be performed, according to written procedures, on incarcerated persons who have given birth within the past year and are charged with murder or attempted murder of their infants. Such screening will be performed at intake and if the assessment indicates postpartum psychosis a referral for further evaluation will be made. |[ ] [ ] [ ]   |
| **1208** **Access to Treatment**The health authority, in cooperation with the facility administrator, shall develop a written plan for identifying and referring any incarcerated person who appears to be in need of medical, mental health, dental, or developmental disability treatment at any time during their incarceration subsequent to the receiving screening. |[ ] [ ] [ ]   |
| The written plan shall also include the assessment and treatment of such persons as described in Section 1207, Medical Receiving Screening. |[ ] [ ] [ ]   |
| Assessment and treatment shall be performed by either licensed health personnel or by persons operating under the authority and direction of licensed health personnel. |[ ] [ ] [ ]   |
| **1208.5** **Health Care Maintenance**For people undergoing prolonged incarceration, an age appropriate and risk factor-based health maintenance visit shall take place within the person’s second year of incarceration.  |[ ] [ ] [ ]   |
| The specific components of the health maintenance examinations shall be determined by the responsible physician based on the age, gender, and health. |[ ] [ ] [ ]   |
| Thereafter, the health maintenance examinations shall be repeated at reasonable intervals, but not to exceed one year, as determined by the responsible physician. |[ ] [ ] [ ]   |
| 1209 Mental Health Services and Transfer to a Treatment Facility(a) The health authority, in cooperation with the mental health director and facility administrator, shall establish policies and procedures to provide mental health services. These services shall include but not be limited to: |[ ] [ ] [ ]   |
| 1. Identification and referral of incarcerated persons with mental health needs; |[ ] [ ] [ ]   |
| 2. Mental health treatment programs provided by qualified staff, including the use of telehealth. |[ ] [ ] [ ]   |
| 3. Crisis intervention services; |[ ] [ ] [ ]   |
| 4. Basic mental health services provided to incarcerated persons as clinically indicated;  |[ ] [ ] [ ]   |
| 5. Medication support services; |[ ] [ ] [ ]   |
| 6. The provision of health services sufficiently coordinated such that care is appropriately integrated, medical and mental health needs are met, and the impact of any of these conditions on each other is adequately addressed.  |[ ] [ ] [ ]   |
| (b) Unless the county has elected to implement the provisions of Penal Code Section 1369.1, a mentally disordered incarcerated person who appears to be a danger to themself or others, or to be gravely disabled, shall be transferred for further evaluation to a designated Lanterman Petris Short treatment facility designated by the county and approved by the State Department of Health Care Services for diagnosis and treatment of such apparent mental disorder pursuant to Penal Code section 4011.6 or 4011.8 unless the jail contains a designated Lanterman Petris Short treatment facility. Prior to the transfer, the person may be evaluated by licensed health personnel to determine if treatment can be initiated at the correctional facility. Licensed health personnel may perform an onsite assessment to determine if the person meets the criteria for admission to an inpatient facility, or if treatment can be initiated in the correctional facility. |[ ] [ ] [ ]   |
| (c) If the county elects to implement the provisions of Penal Code Section 1369.1, the health authority, in cooperation with the facility administrator, shall establish policies and procedures for involuntary administration of medications. The procedures shall include, but not be limited to: |[ ] [ ] [ ]  *Please note that Penal Code Section 1369.1 has been repealed; however, the provisions of Section 1370 continue to allow the involuntary administration of medications under court order and under the direction and supervision of a licensed psychiatrist.* |
| 1. Designation of licensed personnel, including psychiatrist and nursing staff, authorized to order and administer involuntary medication; |[ ] [ ] [ ]   |
| 2. Designation of an appropriate setting where the involuntary administration of medication will occur; |[ ] [ ] [ ]   |
| 3. Designation of restraint procedures and devices that may be used to maintain the safety of the incarcerated person and facility staff; |[ ] [ ] [ ]   |
| 4. Development of a written plan to monitor the incarcerated person’s medical condition following the initial involuntary administration of a medication, until the person is cleared as a result of an evaluation by, or consultation with, a psychiatrist; |[ ] [ ] [ ]   |
| 5. Development of a written plan to provide a minimum level of ongoing monitoring of the incarcerated person following return to facility housing. This monitoring may be performed by custody staff trained to recognize signs of possible medical problems and alert medical staff when indicated; and |[ ] [ ] [ ]   |
| 6. Documentation of the administration of involuntary medication in the incarcerated person’s medical record. |[ ] [ ] [ ]   |
| 1210 Individualized Treatment Plans(a) For each person treated by a mental health service in a jail, the responsible mental health care provider shall develop a written treatment plan. |[ ] [ ] [ ]   |
| The custody staff shall be informed of the treatment plan when necessary, to ensure coordination and cooperation in the ongoing care of the incarcerated person. This treatment plan shall include referral to treatment after release from the facility when recommended by treatment staff. |[ ] [ ] [ ]   |
| (b) For each person treated for health conditions for which additional treatment, special accommodations or a schedule of follow-up care is needed during the period of incarceration, responsible health care staff shall develop a written treatment plan. The custody staff shall be informed of the treatment plan when necessary, to ensure coordination and cooperation in the ongoing care of the incarcerated person. This treatment plan shall include referral to treatment after release from the facility when recommended by treatment staff. |[ ] [ ] [ ]   |
| 1211 Sick CallThe facility administrator, in cooperation with the health authority, shall develop written policies and procedures, which provide daily sick call for all incarcerated persons or provision made that any incarcerated person requesting medical/mental health attention be given such attention. |[ ] [ ] [ ]   |
| 1212 Vermin ControlThe responsible physician shall develop a written plan for the control and treatment of incarcerated persons who are found to be vermin-infested. There shall be written, medical protocols, signed by the responsible physician, for the treatment of persons suspected of being infested or having contact with a vermin-infested incarcerated person. |[ ] [ ] [ ]   |
| 1213 Detoxification TreatmentThe responsible physician shall develop written medical policies on detoxification which shall include a statement as to whether detoxification will be provided within the facility or require transfer to a licensed medical facility. The facility detoxification protocol shall include procedures and symptoms necessitating immediate transfer to a hospital or other medical facility. |[ ] [ ] [ ]   |
| Facilities without medically licensed personnel in attendance shall not retain incarcerated people undergoing withdrawal reactions judged or defined in policy, by the responsible physician, as not being readily controllable with available medical treatment. Such facilities shall arrange for immediate transfer to an appropriate medical facility. |[ ] [ ] [ ]   |
| 1214 Informed ConsentThe health authority shall set forth in writing a plan for informed consent of incarcerated persons in a language understood by the incarcerated person. |[ ] [ ] [ ]   |
| Except for emergency treatment, as defined in Business and Professions Code Section 2397 and Title 15, Section 1217, all examinations, treatments and procedures affected by informed consent standards in the community are likewise observed for care of incarcerated people. |[ ] [ ] [ ]   |
| In the case of minors, or conservatees, the informed consent of parent, guardian or legal custodian applies where required by law. Any incarcerated person who has not been adjudicated to be incompetent may refuse non-emergency medical and mental health care. |[ ] [ ] [ ]   |
| Absent informed consent in non-emergency situations, a court order is required before involuntary medical treatment can be administered to an incarcerated person. |[ ] [ ] [ ]   |
| 1215 Dental CareThe facility administrator shall develop written policies and procedures to ensure emergency and medically required dental care is provided to each incarcerated person, upon request, under the direction and supervision of a dentist licensed in the state. |[ ] [ ] [ ]   |
| 1216 Pharmaceutical Management(a) The health authority in consultation with a pharmacist and the facility administrator, shall develop written plans, establish procedures, and provide space and accessories for the secure storage, the controlled administration, and disposal of all legally obtained drugs. Such plans, procedures, space and accessories shall include, but not be limited to, the following: |[ ] [ ] [ ]   |
| (1) securely lockable cabinets, closets and refrigeration units: |[ ] [ ] [ ]   |
| (2) a means for the positive identification of the recipient of the prescribed medication; |[ ] [ ] [ ]   |
| (3) procedures for administration/delivery of medicines to incarcerated persons as prescribed; |[ ] [ ] [ ]   |
| (4) confirming that the recipient has ingested the medication or accounting for medication under self-administration procedures outlined in Section 1216(d); |[ ] [ ] [ ]   |
| (5) that prescribed medications have or have not been administered, by whom, and if not, for what reason; |[ ] [ ] [ ]   |
| (6) prohibiting the delivery of drugs by incarcerated people; |[ ] [ ] [ ]   |
| (7) limitation to the length of time medication may be administered without further medical evaluation; and,  |[ ] [ ] [ ]   |
| (8) limitation to the length of time required for a physician's signature on verbal orders. |[ ] [ ] [ ]   |
| (9) A written report shall be prepared by a pharmacist, no less than annually, on the status of pharmacy services in the institution. The pharmacist shall provide the report to the health authority and the facility administrator. |[ ] [ ] [ ]   |
| (b) Consistent with pharmacy laws and regulations, the health authority shall establish written protocols that limit the following functions to being performed by the identified personnel:(1) Procurement shall be done by a physician, dentist, pharmacist, or other persons authorized by law. |[ ] [ ] [ ]   |
| (2) Storage of medications shall assure that stock supplies of legend medications shall be accessed only by licensed health personnel. Supplies of legend medications that have been dispensed and supplies of over-the-counter medications may be accessed by either licensed or non-licensed personnel. |[ ] [ ] [ ]   |
| (3) Repackaging shall only be done by a physician, dentist, pharmacist, or other persons authorized by law. |[ ] [ ] [ ]   |
| (4) Preparation of labels can only be done by a physician, dentist, pharmacist or other persons, either licensed or non-licensed, provided the label is checked and affixed to the medication container by the physician, dentist, or pharmacist before administration or delivery to the incarcerated person. Labels shall be prepared in accordance with section 4076, Business and Professions Code. |[ ] [ ] [ ]   |
| (5) Dispensing shall only be done by a physician, dentist, pharmacist, or persons authorized by law. |[ ] [ ] [ ]   |
| (6) Administration of medication shall only be done by licensed health personnel who are authorized to administer medication acting on the order of a prescriber. |[ ] [ ] [ ]   |
| (7) Delivery of medication may be done by either licensed or non-licensed personnel, e.g., custody staff, acting on the order of a prescriber. |[ ] [ ] [ ]   |
| (8) Disposal of legend medication shall be done in accordance with pharmacy laws and regulations and requires any combination of two of the following classifications: physician, dentist, pharmacist, or registered nurse. Controlled substances shall be disposed of in accordance with the Drug Enforcement Administration disposal procedures. |[ ] [ ] [ ]   |
| (c) Policy and procedures on “over-the-counter” medications shall include, but not be limited to, how they are made available, documentation when delivered by staff and precautions against hoarding large quantities. |[ ] [ ] [ ]   |
| (d) Policy and procedures may allow self-administration of prescribed medications under limited circumstances. Policies and procedures shall include but are not limited to the following considerations: |[ ] [ ] [ ]   |
| (1) Medications permitted for self-administration are limited to those with no recognized abuse potential. Medications for treatment of tuberculosis, psychotropic medication, controlled substances, injectables and any medications for which documentation of ingestion is essential are excluded from self-administration. |[ ] [ ] [ ]   |
| (2) Incarcerated persons with histories of frequent rule violations of any type, or who are found to be in violation of rules regarding self-administration, are excluded from self-administration. |[ ] [ ] [ ]   |
| (3) Prescribing health care staff document that each incarcerated person participating in self-administration is capable of understanding and following the rules of the program and instructions for medication use. |[ ] [ ] [ ]   |
| (4) Provisions are made for the secure storage of the prescribed medication when it is not on the incarcerated person. |[ ] [ ] [ ]   |
| (5) Provisions are made for the consistent enforcement of self-medication rules by both custody and health care staff, with systems of communication among them when either one finds that an incarcerated person is in violation of rules regarding self-administration. |[ ] [ ] [ ]   |
| (6) Provisions are made for health care staff to perform documented assessments of an incarcerated person’s compliance with self-administration medication regimens. Compliance evaluations are done with sufficient frequency to guard against hoarding medication and deterioration of the person’s health. |[ ] [ ] [ ]   |
| **1217** **Psychotropic Medications**The responsible physician, in cooperation with the facility administrator, shall develop written policies and procedures governing the use of psychotropic medications.  |[ ] [ ] [ ]   |
| An incarcerated person found by a physician to be a danger to themself or others by reason of mental disorders may be involuntarily given psychotropic medication appropriate to the illness on an emergency basis.  |[ ] [ ] [ ]   |
| Psychotropic medication is any medication prescribed for the treatment of symptoms of psychoses and other mental and emotional disorders |[ ] [ ] [ ]   |
| An emergency is a situation in which action to impose treatment over the incarcerated person’s objection is immediately necessary for the preservation of life or the prevention of serious bodily harm to the incarcerated person or others, and it is impracticable to first gain consent. It is not necessary for harm to take place prior to treatment. |[ ] [ ] [ ]   |
| If psychotropic medication is administered during an emergency, such medication shall be only that which is required to treat the emergency condition. The medication shall be prescribed by a physician following a clinical evaluation. The responsible physician shall develop a protocol for the supervision and monitoring of incarcerated persons involuntarily receiving psychotropic medication. |[ ] [ ] [ ]   |
| Psychotropic medication shall not be administered to an incarcerated person absent an emergency unless the person has given informed consent in accordance with Welfare and Institutions Code Section 5326.2, or has been found to lack the capacity to give informed consent consistent with the county's hearing procedures under the Lanterman-Petris-Short Act for handling capacity determinations and subsequent reviews. |[ ] [ ] [ ]   |
| There shall be a policy which limits the length of time both voluntary and involuntary psychotropic medications may be administered and a plan of monitoring and re-evaluating all incarcerated people receiving psychotropic medications, including a review of all emergency situations. |[ ] [ ] [ ]   |
| The administration of psychotropic medication is not allowed for disciplinary reasons. |[ ] [ ] [ ]   |
| **1220** **First Aid Kits**First aid kit(s) shall be available in all facilities. |[ ] [ ] [ ]   |
| The responsible physician shall approve the contents, number, location and procedure for periodic inspection of the kit(s).  |[ ] [ ] [ ]   |
| **ARTICLE 4. RECORDS AND PUBLIC INFORMATION** |
| 1046 Death in Custody(a) The facility administrator shall develop written policy and procedures to comply with the in-custody death reporting requirements of Government Code section 12525. The facility administrator shall submit a copy of the report filed pursuant to section 12525 to the BSCC within 10 days of an in-custody death. |[ ] [ ] [ ]   |
| (b) The facility administrator, in cooperation with the health administrator, shall developwritten policy and procedures to conduct an initial review and complete a written report of every in-custody death within 30 days of the death. |[ ] [ ] [ ]   |
| The team that conducts the initial review shall include, at a minimum, the facility administrator or designee, the health administrator, the responsible physician and other health care, and supervision staff who are relevant to the incident. |[ ] [ ] [ ]   |
| Deaths shall be reviewed to determine the appropriateness of clinical care; whether changes to policies, procedures, or practices are warranted; and to identify issues that require further study. |[ ] [ ] [ ]   |
| (c) The facility administrator shall submit a copy of the initial review report of every in-custodydeath to the BSCC within 60 days of the death. The facility administrator shall provide a copy of the initial review report that comports with the disclosure requirements of section 832.10 of the Penal Code. |[ ] [ ] [ ]   |
| The initial review report shall contain the following information:(1) Demographic information(A) Full name of the decedent(B) Date of birth(C) Date of death(D) Time of death(E) Gender(F) Race and ethnicity(G) Relevant medical history(2) Facility Information(A) Name and location of the detention facility(B) Description of the location where the death occurred within the facility(C) Date and time of the incident(D) Detention facility personnel (including names and roles) involved in the reporting of the death or incident(3) Any relevant circumstances leading up to death, including behavioral health or medical issues. |[ ] [ ] [ ]   |
| (d) In any case in which a minor dies while detained in a jail, lockup, or court holding facility the BSCC may inspect and evaluate the jail, lockup, or court holding facility pursuant to the provisions of this subchapter within 30 calendar days of the death. Any inquiry made by the Board shall be limited to the standards and requirements set forth in these regulations. |[ ] [ ] [ ]   |
| **ARTICLE 3. TRAINING, PERSONNEL AND MANAGEMENT** |
| 1030 Suicide Prevention ProgramThe facility shall have a comprehensive written suicide prevention program developed by the facility administrator or designee, in conjunction with the health authority and mental health director, to identify, monitor, and provide treatment to those incarcerated persons who present a suicide risk. The program shall include the following: |[ ] [ ] [ ]   |
| (a) Annual suicide prevention training for all custodial personnel. |[ ] [ ] [ ]   |
| (b) Intake screening for suicide risk immediately upon intake and prior to housing assignment. |[ ] [ ] [ ]   |
| (c) Suicide prevention screening during special situations, including placement in restrictive housing, following a hearing, and after a transfer or change in classification. |[ ] [ ] [ ]   |
| (d) Provisions facilitating communication among arresting/transporting officers, facility staff, court staff, medical and mental health personnel in relation to suicide risk. |[ ] [ ] [ ]   |
| (e) Housing recommendations for people at risk of suicide that balance safety and environment. The least restrictive environment should be considered. |[ ] [ ] [ ]   |
| (f) Supervision depending on level of suicide risk. |[ ] [ ] [ ]   |
| (g) Suicide attempt and suicide intervention policies and procedures. |[ ] [ ] [ ]   |
| (h) Provisions for reporting suicides and suicides attempts. |[ ] [ ] [ ]   |
| (i) Multi-disciplinary administrative review of suicides and attempted suicides as defined by the facility administrator, including the development of a corrective action plan to address deficiencies identified in the administrative review. |[ ] [ ] [ ]   |
| (j) Provisions for follow up care as needed. |[ ] [ ] [ ]   |
| (k) Plan for mental health consultation following return from court as determined by the mental health director. |[ ] [ ] [ ]   |
| **ARTICLE 5. CLASSIFICATION AND SEPARATION** |
| 1051 Communicable DiseasesThe facility administrator, in cooperation with the responsible physician, shall develop written policies and procedures specifying those symptoms that require medical isolation of an incarcerated person until a medical evaluation is completed. |[ ] [ ] [ ]   |
| At the time of intake into the facility, an inquiry shall be made of the person being booked as to whether the person has or has had any communicable diseases, such as tuberculosis or has observable symptoms of tuberculosis or any other communicable diseases, or other special medical problem identified by the health authority. |[ ] [ ] [ ]   |
| The response shall be noted on the medical screening form. |[ ] [ ] [ ]   |
| 1052 behavioral crisis identificationThe facility administrator, in cooperation with the responsible physician, shall develop written policies and procedures to identify and evaluate all incarcerated people who may be in behavioral crisis. Evaluation of behavioral crisis may include telehealth. If an evaluation from medical or mental health staff is not readily available, an incarcerated person shall be considered in behavioral crisis for the purpose of this section if they appear to be a danger to themselves or others or appear gravely disabled. |[ ] [ ] [ ]   |
| An evaluation from medical or mental health staff shall be secured within 24 hours of identification or at the next daily sick call, whichever is earliest. Separation may be used if necessary, to protect the safety of the person in crisis or others. |[ ] [ ] [ ]   |
| 1055 Use of Safety CellThe safety cell described in Title 24, Part 2, Section 1231.2.5, shall be used to hold only those people who display behavior which results in the destruction of property or reveals an intent to cause physical harm to self or others.  |[ ] [ ] [ ]   |
| The facility administrator, in cooperation with the responsible physician, shall develop written policies and procedures governing safety cell use and may delegate authority to place an incarcerated person in a safety cell to a physician.  |[ ] [ ] [ ]   |
| Policies and procedures shall include, but not be limited to: (a) In no case shall the safety cell be used for punishment or as a substitute for treatment. |[ ] [ ] [ ]   |
| (b) A person shall be placed in a safety cell only with the approval of the facility manager or designee, or responsible health care staff; continued retention shall be reviewed a minimum of every four hours. |[ ] [ ] [ ]   |
| (c) A medical assessment shall be completed as soon as possible, but not more than 12 hours from the time of placement in the safety cell. The person shall be medically cleared for continued retention, referral to advanced treatment, or removal from the safety cell a minimum of every 24 hours thereafter. |[ ] [ ] [ ]   |
| (d) The facility manager, designee or responsible health care staff shall obtain a mental health opinion/consultation with responsible health care staff on placement and retention, which shall be secured as soon as possible, but not more than 12 hours from placement.  |[ ] [ ] [ ]   |
| (e) Direct visual observation shall be conducted at least twice every 30 minutes, with no more than a 15-minute lapse between safety checks. Such observation shall be documented. |[ ] [ ] [ ]   |
| (f) Procedures shall be established to assure administration of necessary nutrition and fluids. |[ ] [ ] [ ]   |
| (g) People placed in the safety cell shall be allowed to retain sufficient clothing, or be provided with a suitably designed “safety garment,” to provide for their personal privacy unless specific identifiable risks to the person’s safety or to the security of the facility are documented. |[ ] [ ] [ ]   |
| 1056 Use of Sobering CellThe sobering cell described in Title 24, Part 2, Section 1231.2.4, shall be used for temporary holding of incarcerated people who are a threat to their own safety or the safety of others due to their state of intoxication. A person shall be removed from the sobering cell as soon as they are able to continue the admission process or are no longer a risk to themselves or others. In no case shall a person remain in a sobering cell over six hours without an evaluation by medical or custody staff to determine whether the person has an urgent medical problem, pursuant to section 1213 of these regulations. |[ ] [ ] [ ]   |
| At 12 hours from the time of placement, all persons must receive an evaluation by responsible health care staff. Intermittent direct visual observation of people held in the sobering cell shall be conducted no less than every half hour.  |[ ] [ ] [ ]   |
| Such observation shall be documented. |[ ] [ ] [ ]   |
| 1057 Developmental DisabilitiesThe facility administrator, in cooperation with the responsible physician, shall develop written policies and procedures for the identification and evaluation, appropriate classification and housing, protection, and nondiscrimination of all incarcerated persons with developmental disabilities. |[ ] [ ] [ ]   |
| The health authority or designee shall contact the regional center for any incarcerated person suspected or confirmed to have a developmental disability for the purposes of diagnosis or treatment within 24 hours of such determination, excluding holidays and weekends. |[ ] [ ] [ ]   |
| **1058** **Use of Restraint Devices**The facility administrator, in cooperation with the responsible physician, shall develop and implement written policies and procedures for the use of restraint devices. Restraint devices include any devices which immobilize extremities or prevent the incarcerated person from being ambulatory. The provisions of this section do not apply to the use of handcuffs, shackles, or other restraint devices when used to restrain incarcerated people for security reasons. The facility manager may delegate authority to place an incarcerated person in restraints to responsible health care staff. |[ ] [ ] [ ]   |
| (a) The policy shall address the following areas: (1) acceptable restraint devices;  |[ ] [ ] [ ]   |
| (2) signs or symptoms which should result in immediate medical/mental health referral; availability of cardiopulmonary resuscitation equipment; |[ ] [ ] [ ]   |
| (3) protective housing of restrained persons; |[ ] [ ] [ ]   |
| (4) provision for hydration and sanitation needs; and, |[ ] [ ] [ ]   |
| (5) exercising of extremities. |[ ] [ ] [ ]   |
| (b) Policy shall also include, but not be limited to, the following requirements:(1) In no case shall restraints be used for punishment or as a substitute for treatment. |[ ] [ ] [ ]   |
| (2) Restraint devices shall only be used on incarcerated people who display behavior which results in the destruction of property or reveal an intent to cause physical harm to self or others.  |[ ] [ ] [ ]   |
| (3) Restraint devices should be used only when less restrictive alternatives, including verbal de-escalation techniques, have been attempted and are deemed ineffective. |[ ] [ ] [ ]   |
| (4) An incarcerated person shall be placed in restraints only with the approval of the facility manager, the facility watch commander, or responsible health care staff; continued retention shall be reviewed a minimum of every hour. |[ ] [ ] [ ]   |
| (5) Continuous direct visual observation shall be maintained until a medicalopinion can be obtained. |[ ] [ ] [ ]   |
| (6) A medical opinion on placement and retention shall be secured within one hour from the time of placement.  |[ ] [ ] [ ]   |
| (7) A medical assessment shall be completed within four hours of placement. |[ ] [ ] [ ]   |
| (8) Continuous direct visual observation shall be conducted at least twice every 30 minutes to ensure that the restraints are properly employed, and to ensure the safety and well-being of the incarcerated person. Such observation shall be documented. While in restraint devices all incarcerated persons shall be housed alone or in a specified housing area which makes provisions to protect the person from abuse. |[ ] [ ] [ ]   |
| (9) If the facility manager, or designee, in consultation with responsible health care staff determines that an incarcerated person cannot be safely removed from restraints after eight hours, the person shall be taken to a medical facility for further evaluation. |[ ] [ ] [ ]   |
| (10) Where applicable, the facility manager shall use the restraint device manufacturer’s recommended maximum time limits for placement. |[ ] [ ] [ ]   |
| (11) All events and information related to the placement in restraints shall be documented and shall be video recorded unless exigent circumstances prevent staff from doing so. The documentation shall include: the reason for placement; person authorizing placement; names of staff involved in the placement; injuries sustained; and the duration of placement. |[ ] [ ] [ ]   |
| **1058.5** **Restraints and Pregnant persons**The facility administrator, in cooperation with the responsible physician, shall develop written policies and procedures for the use of restraint devices on pregnant people. In accordance with Penal Code Section 3407, the policy shall include reference to the following: |[ ] [ ] [ ]   |
| (1) An incarcerated person known to be pregnant or in recovery after delivery or termination of the pregnancy shall not be restrained by the use of leg or waist restraints, or handcuffs behind the body. |[ ] [ ] [ ]   |
| (2) An incarcerated pregnant person in labor, during delivery, or in recovery after delivery or termination of the pregnancy, shall not be restrained by the wrists, ankles, or both, unless deemed necessary for the safety and security of the incarcerated person, the staff, or the public. |[ ] [ ] [ ]   |
| (3) Restraints shall be removed when a professional who is currently responsible for the medical care of an incarcerated pregnant person during a medical emergency, labor, delivery, or recovery after delivery or termination of the pregnancy determines that the removal of restraints is medically necessary. |[ ] [ ] [ ]   |
| (4) Upon confirmation of an incarcerated person's pregnancy, they shall be advised, orally or in writing, of the standards and policies governing incarcerated pregnant people. |[ ] [ ] [ ]   |

Summary of medical/mental health evaluation:

1. This document is intended for use as a tool during the inspection process; this worksheet may not contain each Title 15 regulation that is required. Additionally, many regulations on this worksheet are SUMMARIES of the regulation; the text on this worksheet may not contain the entire text of the actual regulation. Please refer to the complete California Code of Regulations, Title 15, Minimum Standards for Local Facilities, Division 1, Chapter 1, Subchapter 4 for the complete list and text of regulations. [↑](#footnote-ref-1)